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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Randall L. Peeters

8-21-03

2. Name and address of receiving party(ies)

Name: Ocean Power Corporation

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Street Address: 5000 Robert J. Mathews Parkway

City: El Dorado Hills State: CA Zip: 95762

Execution Date: August 7, 2003

Additional name(s) & address(es) attached? ☐ Yes ☐ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/339,066

10339066

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bernhard Kreten

Internal Address: 300 Capitol Mall, Suite 1100

Street Address: same as above

City: Sacramento State: CA Zip: 95814

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

11-1734

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Bernhard Kreten

Name of Person Signing

[Signature]
Signature

August 18, 2003

Date

Total number of pages including cover sheet, attachments, and documents: 5

08/22/2003 ECDOPER 00000102 10339066

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Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 014411 FRAME: 0872

ASSIGNMENT OF PATENT APPLICATION

WHEREAS, I, **Randall L. Peeters**, of **Foresthill, California**, did file a Patent Application in the United States for an improvement in a **Stirling Engine Having Platelet Heat Exchanging Elements**, in application filed on January 8, 2003, as serial number 10/339,066;

WHEREAS, I am one of the joint owners of said Patent Application; and

WHEREAS, **Ocean Power Corporation**, of **5000 Robert J. Mathews Parkway, El Dorado Hills, California**, a Delaware corporation, is desirous of acquiring the entire interest in the same;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and other good and valuable considerations, I, **Randall L. Peeters**, by these presents do sell, assign and transfer unto the said **Ocean Power Corporation**, the entire right, title and interest in and to the said Patent Application, and all original and reissued Patents granted therefore, and all divisions and continuations thereof, including the subject-matter of any and all claims which may be obtained in every such Patent, and the right to apply for and obtain Patents, Utility Model Registrations and Inventor's Certificates in countries foreign to the United States, and in and to any Letters Patent, Utility Model Registration or Inventor's Certificate which may be granted thereon in such foreign countries, including all priority rights under the International Convention associated therewith for each country of the Union, and authorize and request the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States, whose duty it is to issue Patents on applications as aforesaid, to issue the said Letters Patent, Utility Model Registration or Inventor's Certificate to the said **Ocean Power Corporation**, its successors, assigns, nominees or their legal representatives, as assignee of the entire interest, and I covenant that I have full right to convey the entire interest herein assigned and that I have not executed and will not execute any agreement in conflict herewith, and agree that I will communicate to said **Ocean Power Corporation**, its successors, assigns, nominees or other legal representatives all facts known to us respecting said invention, whenever requested, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and do all lawful acts requisite for the application for such divisional, continuing or reissue applications, or the procuring thereof, and that if and when said representatives desire to file a disclaimer relating thereto, I will, upon request, sign all lawful papers requisite for the filing of such disclaimer, and I further covenant and agree that I will, at any time upon request, do everything legally possible to aid said **Ocean Power Corporation**, its successors, assigns, nominees or other legal representatives, either in its or their own name, to apply for, obtain and enforce Patent, Utility Model and/or Inventor's Certificate protection for said improvements in all countries, all without further consideration, but at the



expense of said **Ocean Power Corporation**, its successors, assigns, nominees, or other legal representatives.

Executed this 7th day of AUGUST, 2003, at GOLD RIVER, CALIFORNIA.

Randall L. Peeters
Randall L. Peeters

State of CALIFORNIA }

SS:

County of SACRAMENTO }

On AUGUST 7th, 2003, before me, EVELYN KLAAS, a Notary Public in and for said State, personally appeared **Randall L. Peeters**, personally known to me (or ~~proved to me on the basis of satisfactory evidence~~) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Evelyn Klaas
NOTARY PUBLIC

