

8-8-03

08-18-2003

B/O FORM PTO-1595 (Rev. 4/00)



U.S. Department of Commerce Patent and Trademark Office

102527378

To the Commissioner for Patents. Please record the attached original documents or copies thereof.

1. Name of Conveying Party(ies):

Sheng-Chu KUO
Li-Jiau HUANG
Ya-Yun LAI
Chun-Jen CHEN
Mei-Hua HSU
Ya-Ling FANG
Huo-Hsing LEE
Che-Ming TENG

2. Name and Address of Receiving Party(ies):

Name: China Medical University

Street Address: No. 91, Syueshih Road
China Medical University

City, State, Zip: Taichung, Taiwan

☐ Additional name(s) and address(es) attached.

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date: 8/5/03, 8/5/03, 8/5/03, 8/5/03
8/5/03, 8/5/03, 7/30/03, 8/7/03

4. (A) Patent Application Number(s):

10,636,603

4. (B) Patent Number(s):

If this document is being filed together with a new application, the execution date of the application is:

8/5/03, 8/5/03,
8/5/03, 8/5/03,
8/5/03, 8/5/03,
7/30/03, 8/7/03☐ Additional Numbers Attached.

5. Name and Address of Party to whom Correspondence Concerning this Document Should be Mailed:

Name: Eugene Mar

23364
CUSTOMER NUMBERBacon & Thomas, PLLC
625 Slaters Lane Fourth Floor
Alexandria, VA 22314

Total Number of Applications and Patents Involved:

1

7. Total Fee:
(37 CFR 3.41)

\$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit Account Number: 02-0200

ATTACH DUPLICATE COPY OF THIS PAGE IF PAYING BY DEPOSIT ACCOUNT

9. Statement and Signature:

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

Eugene Mar

Name of Person Signing

Signature

August 8, 2003

Date

Total number of pages including cover sheet, attachments and document: 7

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PATENT
REEL: 014414 FRAME: 0584

Patent Application
Docket #: KUOS3002/EM

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned do hereby:

SELL, ASSIGN AND TRANSFER to China Medical University (the "Assignees"), whose post office address is: No. 91, Syueshih Road, China Medical University, Taichung, Taiwan, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned on August 5 and August 7, 2003, and is entitled "Substituted 2-phenyl-4-quinolone-3-carboxylic acid compounds and their use as antitumor agents" such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, that when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for securing, maintaining and enforcing proper patent protection for such improvements and for vesting title to such improvements in the Assignee;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

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PATENT
REEL: 014414 FRAME: 0587

Patent Application
Docket #. _____

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SELL, ASSIGN AND TRANSFER to China Medical University (the "Assignees"), whose post office address is No. 91, Syueshih Road, China Medical University, Taichung, Taiwan, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned on July 30, 2003, and is entitled "Substituted 2-phenyl-4-quinolone-3-carboxylic acid compounds and their use as antitumor agents"; such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

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PATENT
REEL: 014414 FRAME: 0588

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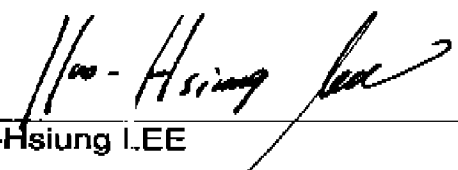
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