08-26-2003



Form PTO-1595 (Rev. 03/01)

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

| 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the new application is: A. Patent Application No.(s): 10/356,314 Additional numbers attached? Additional numbers attached? Yes X No 5. Name and address of party to whom correspondence concerning document should be mailed: Name: Gregory S. Gewirtz LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP Street Address: 600 South Avenue West City: Westfield Street State: Zip: NJ 07090 DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Gregory S. Gewirtz Name of Person Signing Total number of pages including cover sheet, attachments, and documents: 4 LLER 00000197 121095 10356314 | OMB No. 0651-0027 (exp. 5/31/2002) | 833_ (LLOYDW 3.0-005) |
|---|---|--|
| Ulrich Egger (05/28/2003), Haoren Zhuang (05/28/2003), Kasuhiro (05/28/2003), Voshinori Kumura (05/28/2003), Kasuhiro Tornicka (05/28/2003) (05/28/2003), Voshinori Kumura (05/28/2003), Kasuhiro Tornicka (05/28/2003) (05/28/200 | To the Honorable Commissioner of Patents and Trademarks: F | Please record the attached original documents or copy thereof |
| Security Agreement | Ulrich Egger (05/28/2003), Haoren Zhuang (05/28/2003), Yoshinori Kumura (05/28/2003), Kazuhiro Tomioka (05/30/2003), and Hiroyuki Kanaya (05/28/2003) Additional name(s) of conveying party(ies) | Name: Infineon Technologies AG Internal Address: Street Address: |
| Additional numbers attached? Yes x No Additional number of applications and patents involved: 1 To tal fee (37 CFR 3.41) \$ 40.00 Enclosed X Authorized to be charged to deposit according to the charged to credit card (Form 2038 enclosed) 8. Deposit account number: Authorized to be charged to credit card (Form 2038 enclosed) 8. Deposit account number: Authorized to be charged to credit card (Form 2038 enclosed) 8. Deposit account number: Authorized to be charged to deposit according to the charged to credit card (Form 2038 enclosed) 8. Deposit account number: Authorized to be charged to credit card (Form 2038 enclosed) 8. Deposit account number: Authorized to be charged to credit card (Form 2038 enclosed) 8. Deposit account number: Authorized to be charged to deposit according to the charged to credit card (Form 2038 enclosed) 8. Deposit account number: Authorized to be charged to deposit according to the charged to credit card (Form 2038 enclosed) 8. Deposit account number: Authorized to be charged to deposit according to the charged to credit card (Form 2038 enclosed) 8. Deposit account number: Authorized to be charged to deposit according to the charged to credit card (Form 2038 enclosed) 8. Deposit account number: Authorized to be charged to deposit according to the charged to credit card (Form 2038 enclosed) 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. A | x Assignment | Country: Germany Zip: 81669 Additional name(s) & x Yes No |
| Name: Gregory S. Gewirtz LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP 7. Total fee (37 CFR 3.41) \$ 40.00 Enclosed X Authorized to be charged to deposit acc (Form 2038 enclosed) 8. Deposit account number: City: Westfield NJ O7090 DO NOT USE THIS SPACE Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Gregory S. Gewirtz Name of Person Signing Total number of pages including cover sheet, attachments, and documents: 4 LER 00000197 121095 10356314 | If this document is being filed together with a new application, the exe A. Patent Application No.(s): | B. Patent No.(s): |
| Name: Gregory S. Gewirtz LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP Street Address: 600 South Avenue West City: State: Zip: Authorized to be charged to deposit accomposition of the property of the property of the original document. DO NOT USE THIS SPACE Do Not Use This page if paying by deposit accomposition is true and correct and any attached copy is a true copy of the original document. Gregory S. Gewirtz Name of Person Signing Total number of pages including cover sheet, attachments, and documents: Authorized to be charged to deposit accomposition and included to the charged to deposit accomposition in the composition of the compos | | ed? Yes XNo |
| LERNÉR, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP Street Address: 600 South Avenue West City: Westfield State: Zip: Westfield NJ 07090 DO NOT USE THIS SPACE DO NOT USE THIS SPACE D. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Gregory S. Gewirtz Name of Person Signing Total number of pages including cover sheet, attachments, and documents: LER 00000197 121095 17. Total fee (37 CFR 3.41) Authorized to be charged to deposit accomposite accomposite account number: (Form 2038 enclosed) Authorized to be charged to credit card (Form 2038 enclosed) (Form 2038 enclosed) 8. Deposit account number: (Attach duplicate copy of this page if paying by deposit account number is true and correct and any attached copy is a true copy of the original document. Signature August 20, 2003 Date Total number of pages including cover sheet, attachments, and documents: 4 | | 6. Total number of applications and patents involved: |
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| City: Westfield State: Zip: 07090 (Attach duplicate copy of this page if paying by deposit accordance) DO NOT USE THIS SPACE Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Gregory S. Gewirtz Name of Person Signing Total number of pages including cover sheet, attachments, and documents: 4 LER 00000197 121095 10356314 | | |
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| Form PTO-1595 RECORDATION FORM COVER SHEET (continued) | | | | |
|--|--------------------------|--|--------------------|--|
| Additional Conveying Party(ies) (1. Continued): | | | | |
| | | | | |
| | | | | |
| Additional Ass | ignees (2. Continued): | | | |
| Additional Ass | ignoco (2. Continuca). | | | |
| Assignee Name: Internal Address: | Kabushiki Kaisha Toshiba | | | |
| | 1-1, Shibaura 1-chome | | | |
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| City: | Tokyo Country: | Japan | Zip Code: 105-8001 | |
| Assignee Name: | | | | |
| Internal Address: | | | | |
| Street Address: | | | | |
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| City: | State: | | Zip Code: | |
| Assignee Name: | | | | |
| Internal Address: | | | | |
| Street Address: | | | | |
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| City: | State: | | Zip Code: | |
| Additional Applications and/or Patents (4. Continued): | | | | |
| Additional Patent A 4A. Continued: | pplication Numbers | Additional Patent Numl 4B. Continued: | bers | |
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| Additional numbers attached? Yes No | | | | |

Docket No.: LLOYDW 3.0-005

447294_1.DOC

PATENT REEL: 014415 FRAME: 0374 Attorney Docket No:

14580-013001

ASSIGNMENT

For valuable consideration, we, <u>ULRICH EGGER</u> of <u>Kanagawa-ken, Japan</u>, HAOREN ZHUANG of Tokyo-to, Japan, YOSHINORU KUMURA of Tokyo, Japan, KAZUHIRO TOMIOKA of Tokyo, Japan, and HIROYUKI KANAYA of Tokyo, Japan, hereby assign to:

- 1) Infineon Technologies AG, a corporation of Germany having a place of business at: St.-Martin-Strasse 53, 81669 Munich, Germany; and
- 2) Kabushiki Kaisha Toshiba, a corporation of Japan having a place of business at: 1-1, Shibaura 1-chome, Minato-ku, Tokyo, Japan; and their successors and assigns (collectively hereinafter called "the Assignee") the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled <u>HARDMASK WITH HIGH</u> SELECTIVITY FOR IR BARRIERS FOR FERROELECTRIC CAPACITOR MANUFACTURING, filed January 31, 2003 with attorney docket number 14580-013001 and assigned U.S. Serial Number ______ 10/356,314 ______; and we authorize and request the attorneys appointed in said application to hereafter complete this assignment by inserting above the filing date and serial number of said application when known; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions

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CLIENT DOCKET NO: FDA-IT02-080/200250113

FP1840Assignment.doc

REEL: 014415 FRAME: 0375

Attorney Docket No:

14580-013001

and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

DATE: 10y 28, 2003

ULRICH EGGER

DATE: May 28, 2003

HAOREN ZHUANG

DATE: May, 28, 2003

YOSHINORU KUMURA

Joshinoi Humara

Lazuhia Vomioke

DATE: May 30, 2003

KAZUHIRO TOMIOKA

DATE: May 28, 2003

Hiroyuki Kanaya

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