

08-27-2003

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U.S. DEPARTMENT OF COMMERCE
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102536041

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To the honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Juerg Leuthold
Xiang Liu
Xing Wei

8-15-03

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Execution Date: 08/13/2003

2. Name and address of receiving party(ies)

Name: Lucent Technologies, Inc.

Internal Address: _____

Street Address: 600 Mountain Avenue

City: Murray Hill State: NJ Zip: 07974-0636

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 08/13/2003

A. Patent Application No.(s)

10641414

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Peter H. Priest

Priest & Goldstein, PLLC

Internal Address: _____

Street Address: 5015 Southpark Drive

Suite 230

City: Durham State: NC Zip: 27713-7736

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41). \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy
is a true copy of the original document.

Peter H. Priest

Name of Person Signing

Signature

August 15, 2003

Date

Total number of pages including cover sheet, attachments, and documents: 5

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

08/18/2003 ANABI1 00000054 10641414

03 FC:8021

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PATENT
REEL: 014415 FRAME: 0875

ASSIGNMENT AND AGREEMENT

For value received, we, Juerg Leuthold of Eatontown in the State of New Jersey, Xiang Liu of Marlboro in the State of New Jersey, and Xing Wei of New Providence in the State of New Jersey, hereby sell, assign and transfer to Lucent Technologies Inc., a corporation of the State of Delaware, having an office at 600 Mountain Avenue, Murray Hill, New Jersey 07974-0636, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Methods and Apparatus for Optical Pulse Generator with Progressive Phase Shift** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Lucent Technologies Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Lucent Technologies Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

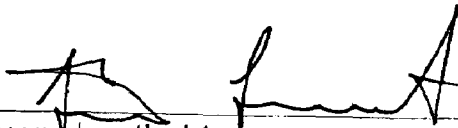
We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Lucent Technologies Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Lucent Technologies Inc. in the United States and in all countries foreign to the United States, or to such nominees as Lucent Technologies Inc. they may designate.

We agree that, when requested, we shall, without charge to Lucent Technologies Inc. but at its expense, sign all papers, and do all acts which may be

Leuthold 15-21-14

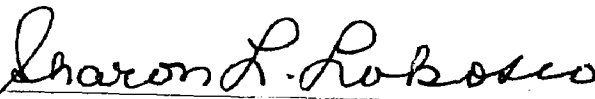
necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.


Juerg Leuthold

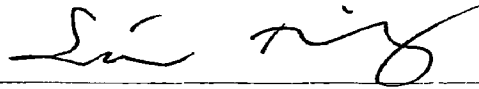
Date: August 13, 2003

United States of America)
State of New Jersey) ss.:
County of Monmouth)

On this 13th day of August, 2003, before me
personally came Juerg Leuthold, to me known to be the individual described in and
who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

SHARON L. LOEBOSCO
Notary Public, State of New Jersey
No. 2278076
Qualified in Ocean County
Commission Expires August 14, 2006



Xiang Liu

Date: 8/13/2003

United States of America)

State of New Jersey) ss.:

County of Monmouth)

On this 13th day of August, 2003, before me personally came Xiang Liu, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Notary Public

SHARON L. LOBOSCO
Notary Public, State of New Jersey
No. 2278076
Qualified in Ocean County
Commission Expires August 14, 2006

Xing Wei
Xing Wei
Date: 8/13/03

United States of America)
State of New Jersey) ss.:
County of Monmouth)

On this 13th day of August, 2003, before me
personally came Xing Wei, to me known to be the individual described in and who
executed the foregoing instrument, and acknowledged execution of the same.

Sharon L. Lobosco
Notary Public

SHARON L. LOBOSCO
Notary Public, State of New Jersey
No. 2278076
Qualified in Ocean County
Commission Expires August 14, 2006

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