

08-27-2003



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Attorney Docket No.:  
TUC920030083US1

8-20-03

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

**Scott M. Fry, Mark E. Hill, and David L. Swanson**

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

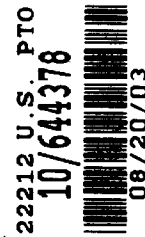
Name: **International Business Machines Corporation**

Internal Address:

Street Address: **New Orchard Road**

City: **Armonk** State/Country: **New York** Zip: **10504**

Additional name(s) and address(es) attached? ☐ Yes ☒ No



3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: **8/19/03**

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: **August 20, 2003**

A. Patent Application No.(s)

**10/644378**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Brian C. Kunzler**

Internal Address:

Street Address: **10 West 100 South, Suite 450**

City: **Salt Lake City** State: **Utah** ZIP: **84101**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41) ..... \$ **40.00**

- ☒ Enclosed  
☒ Authorized to be charged to deposit account (any deficiencies)

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

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**Brian C. Kunzler**  
Name of Person Signing

Signature

**August 20, 2003**  
Date

Total number of pages including cover sheet, attachments, and document: **2**

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents and Trademarks, Box Assignments  
Washington, D.C. 20231

**PATENT**  
**REEL: 014419 FRAME: 0400**

ASSIGNMENT

Whereas, We

INVENTOR  
AND CITY(1) Scott Milton Fry  
County of Pimaof Tucson  
and State of Arizona(2) Mark Edward Hill  
County of Pimaof Tucson  
and State of Arizona(3) David Lee Swanson  
County of Pimaof Tucson  
and State of Arizona

have invented certain improvements in

APPARATUS, SYSTEM, AND METHOD FOR DEVELOPING FAILURE PREDICTION SOFTWARE

and executed a United States patent application therefore on

DATES THAT  
INVENTOR  
SIGNED THE  
DECLARATION(1) 8/19, 2003



(2) \_\_\_\_\_, 2003

(3) 8/18, 2003

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefore;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

CITY  
DATE(1) at Tucson  
on 8/19/03, 2003  
Scott Milton Fry SIGNATURE  
INVENTOR(2) at \_\_\_\_\_  
on \_\_\_\_\_, 2003\_\_\_\_\_  
Mark Edward Hill SIGNATURE  
INVENTOR(3) at Tucson  
on 8/18, 2003  
David Lee Swanson SIGNATURE  
INVENTOR

Docket No.: TUC920030083US1

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Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

CITY  
DATE(1) at \_\_\_\_\_  
on \_\_\_\_\_, 2003\_\_\_\_\_  
Scott Milton Fry SIGNATURE  
INVENTOR(2) at Yamato, Japan  
on 8-18, 2003Mark Edward Hill  
Mark Edward Hill SIGNATURE  
INVENTOR(3) at \_\_\_\_\_  
on \_\_\_\_\_, 2003\_\_\_\_\_  
David Lee Swanson SIGNATURE  
INVENTOR

RECORDED: 08/20/2003

PATENT  
REEL: 014419 FRAME: 0402

03-8-19: (大正) 74・E・I・A (特) 74・HCS B6S W

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