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U.S. Department of Commerce
Patent and Trademark Office

Our Ref.: 4061-17

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Commissioner of Patents

P.O. Box 1450

Alexandria, VA 22313-1450

8/25/03

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Berislav V. Zlokovic

2. Name and address of receiving party(ies):

Name: The University of Southern California

Internal Address:

Street Address: 3716 South Hope Street, Suite 313

Additional name/s of conveying party/ies attached? ☐

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Assignment

☐ Change of Name

☐ Other

City: Los Angeles

State/Country: California

Zip: 90007-4344

Execution Date: August 7, 2003

Additional name/s & address/es attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No(s).

(1) 10/296,168

B. Patent No(s).

(1)

Additional numbers attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Gary R. Tanigawa

Internal Address: _____

Street Address: Nixon & Vanderhye P.C.

1100 North Glebe Road

8th Floor

City: Arlington State: VA Zip: 22201

6. Total number of applications & patents involved: 1

7. Total fee (37 CFR 3.41)

\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account #14-1140

8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our **Account No. 14-1140.**

DO NOT USE THIS SPACE

9. Statements and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gary R. Tanigawa

Name of Person Signing

Reg. No. 43,180

[Signature]
Signature

August 25, 2003

Date

Total number of pages including **original** cover sheet, attachments, and document:

[3]

08/27/2003 ECDOPER 00000179 10256166

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ASSIGNMENT OF PATENT APPLICATION

Inventor **Berislav V. ZLOKOVIC**

In consideration of the sum of one dollar (\$1.00) and other good and valuable considerations paid to the undersigned, the undersigned agrees to assign, and hereby does assign, transfer and set over to The University of Southern California of 3716 South Hope Street, Suite 313, Los Angeles, California 90007-4344 (hereinafter designated as the Assignee)

the undersigned's entire right, title and interest for the United States, its territories, dependencies and possession in the invention, and all applications for patent and any Letters Patent which may be granted therefrom, known as

**Amended
Title of
Application**

ROLE OF LDL RECEPTOR PROTEIN 1 (LRP-1) IN ALZHEIMER'S DISEASE (Case No. 4061-17)

for which the undersigned has executed on even date herewith a Rule 63 Declaration for an application for patent in the United States of America on June 10, 2003 (U.S. Appln. No. 10/296,168, filed on November 22, 2002). The undersigned acknowledges an obligation of assignment of this invention to said assignee at the time the invention was made.

The undersigned agrees to execute all papers and documents necessary in connection with the application or any interference which may be declared and any continuing or divisional applications thereof and also to execute separate assignments

in connection with such applications as the Assignee may deem necessary or expedient and further to perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

The undersigned agrees to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

The undersigned hereby authorizes and requests the Commissioner of Patents to issue any and all Letters Patent of the United States resulting from said application or any division or divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has full right to convey the entire interest herein assigned, and that he has not executed and will not execute, any agreement in conflict herewith.

The undersigned hereby grants the firm of NIXON & VANDERHYE P.C. the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recording of this document. It is understood and agreed that ASSIGNEE'S attorneys Nixon & Vanderhye P.C. have represented only ASSIGNEE and will continue to represent only ASSIGNEE with respect to this invention.

In witness whereof, executed by the undersigned on the date opposite the undersigned signature.

Date: 7 August 2003 Signature: 

Berislav V. ZLOKOVIC

Witnessed by: 

Date: 7 August 2003