	PTO-1595 31-92		08-29-2003	ET	 	U.S. Department of Patent and Tradema Attorney Docket No Attorney Customer	ark Office 5. 08445.0019-00000
To the Direct	tor of the U.S.	<del></del>	102538987	<del></del>			ecordation Services
	ne of conveying		8.26.03	2.	Name and	address of receiving	party(ies):
Dr. F	Robert Charles	Ladner	0.50 D	Name:	Protein	Engineering Corpor	ation
Additional na	me(s) of conve	eying party(ies)	) attached? ⊠ Yes □ No	Internal Address		[Text]	
3. Natu	re of conveya	nce:		Street A	Address:	3827 Green Valley R	Road
⊠ As	ssignment	☐ Me	rger	City:	ljamsville		
	ecurity greement	☐ Cha	ange of Name	State: MD	[Text]	Zip Code: 21754	[Text]
	ther: [Des	cribe]		Addition	nal name(s)	& Address(es) attach	ned?
Execution Da	te: Februa	ry 16, 1989		_	☐ Yes	⊠ No	
	lication numbe application: [T		number(s): If this document is be information]	eing filed to	ogether with	a new application, t	he execution date of
A. Pate	ent Application	Number(s):		В.	Patent Nu	mber(s):	
07/2	93,980 (filed J	anuary 6, 198	9)		5,096,815	(issued March 17, 19	992)
		Ad	ditional numbers attached?	Yes	×	] No	
	ne and address cerning docum		nom correspondence mailed:	6.	Total numi	ber of applications ar 1	nd registrations
Name: Mic	hael T. Siekm	an		7.	Total fee (	37 CFR 3.41): \$40	
						sed (Please charge	deficiency to deposit
				_	accou	orized to be charged	755777817
Internal Addr			RSON, FARABOW, GARRETT		Autho	orized to be charged	to deposit account
& DUNNER, L.L.P. Street Address: 1300 I Street, N.W.					AUG	2 2003	
City:	Washingto	n, D.C.		:			
State:		Zip:	20005-3315	8.	Deposit A	cco <del>unt No.: <u>06-09</u>18</del>	A CONTRACT CONTRACTOR
9. Stat	ement and sig	nature.					
To the best o document.	f my knowledg	e and belief, tl	ne foregoing information is true	and correc	ct and any a	ttached copy is a true	e copy of the original
			Middant	+ Cont	<b></b> €		
Mich	nael T. Siekma	n	_/ <b>/ I//////</b> Signa	ature		August 2 D	6, 2003 ate
Total number of pages including cover sheet, attachments and documents: 8							
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FC:8021		40.00 OP					
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# **ADDITIONAL NAMES OF CONVEYING PARTIES**

Dr. Sonia Kosow Guterman 20 Oakly Road Belmont, MA

Dr. Rachel Baribault Kent 51 Houghton Road Wilmington, MA

Dr. Arthur Charles Ley 122 Adena Road Newton, MA

### ASSIGNMENT

WHEREAS I own all or part of an invention entitled

GENERATION AND SELECTION OF NOVEL DNA-BINDING PROTEINS AND POLYPEPTIDES

for which application for Letters Patent have been (check one)

- (\_\_\_\_) executed on even date herewith,
- (X ) filed on <u>January 6, 1989</u>

  as Serial No. 07/293,980

AND WHEREAS <u>Protein Engineering, Corporation</u>

of <u>3827 Green Valley Road, Ijamsville, MD, 21754</u>

(hereinafter ASSIGNEE) is interested in acquiring the entire interest in said invention:

## NOW THEREFORE

1. For good and valuable consideration, the full receipt and sufficiency of which is hereby acknowledged, I hereby assign to ASSIGNEE all of my right, title and interest in the aforestated invention, in any draft applications based thereon, and in any application for Letters Patent which assignee at its expense may cause to be filed in the United States, under an

2

Title: GENERATION AND SELECTION OF NOVEL DNA-BINDING PROTEINS AND

POLYPEPTIDES

international treaty, or in any foreign country, and in any United States or foreign patent or any other form of protection which may be granted on said invention, including any extensions, divisions, reissues, continuations or continuations-in-part, and

in any rights of priority which I am entitled to assert.

2. I agree to execute, whenever requested by ASSIGNEE, all

patent applications, assignments, lawful declarations, and other

papers which ASSIGNEE may deem necessary or desirable for

securing or maintaining said Letters Patent or other forms of

protection; to provide ASSIGNEE with all pertinent facts and

documents relating to said application as may be known and

accessible to me; and to testify as to the same in any legal

proceeding related thereto; all without further compensation to

me (except for compensation of reasonably incurred out-of-pocket

expenses), and in an expeditious and conscientious manner.

3. I hereby authorize and request the patent office

officials throughout the world to issue any and all Letters

Patent(s) resulting from the aforesaid applications to ASSIGNEE,

as ASSIGNEE of the entire interest assigned.

3

Title: GENERATION AND SELECTION OF NOVEL DNA-BINDING PROTEINS AND

POLYPEPTIDES

4. I hereby covenant and warrant that I have full right to

convey the entire interest herein assigned, that I have not

executed, and will not execute, any agreement in conflict

therewith, and that I have and will comply with the duty of

disclosure with respect to said application.

5. I hereby grant Iver P. Cooper, Reg. No. 28,005 a

delegable power to insert on this Assignment any further

identification which may be necessary or desirable in order to

comply with the rules of the United States Patent and Trademark

Office for recordation of this document.

6. I assign to ASSIGNEE all right, title and interest in

any biological materials I have developed, propagated of

deposited during the course of employment with ASSIGNEE, or which

are referred to in said application, or which may be necessary to

enable one to make or use said invention, and agree that ASSIGNEE

shall have control over any deposits which I have caused to be

made of such biological materials.

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Title: GENERATION AND SELECTION OF NOVEL DNA-BINDING PROTEINS AND POLYPEPTIDES

7. I hereby covenant and warrant that I possess some right, title and interest in said invention or in one or more of said draft applications, applications, patents or other forms of protection, that I have fully disclosed to ASSIGNEE all encumbrances, clouds or limitations upon my right, title and interest, in the United States and any foreign country, and that I have likewise disclosed to ASSIGNEE the identity of all other persons, natural or juristic, possessing or claiming to possess some right, title or interest in the foregoing; copies of said disclosures are appended to this Assignment and incorporated by reference therein.

- 8. The terms and covenants of this assignment shall inure to the benefit of said ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon my heirs, legal representatives and assigns.
- 9. I recognize that this assignment of my rights in this application makes the ASSIGNEE the real party in interest with respect thereto and that the ASSIGNEE's interests will then be represented by the attorney(s) and/or agent(s) appointed by them to the exclusion of any of my personal interests which may conflict therewith. In the event of any dispute which I may have

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Title: GENERATION AND SELECTION OF NOVEL DNA-BINDING PROTEINS AND POLYPEPTIDES

with ASSIGNEE over the subject matter of this assignment, I acknowledge that I will have to retain independent legal counsel with respect thereto at my own expense.

	(FULL NAME)	(SIGNATURE)
DATE	Dr. Robert Charl ley Road, Ijamsv Dr. Sonia Kosow Belmont, MA Dr. Rachel Barib ad, Wilmington,	GutermanCITIZ.U.S GutermanCITIZ.U.S bault Kent MACITIZ.U.S
RESIDENCE ADDR. 122 Adena Road	, Newton, MA	CITIZU.S
NOT	TARIZATION	
STATE OF <u>Massachusetts</u> ) ss:		
COUNTY OF Middlesex )		
Subscribed and sworn to	before me this _	Sixteenth day of
	<u>.</u> .	
	- 55 (1	

Notary Public
James R. Eckenrode

My Commission Expires: Sept. 16, 1994

### ASSIGNMENT

WHEREAS I own all or part of an invention entitled

GENERATION AND SELECTION OF NOVEL DNA-BINDING PROTEINS AND POLYPEPTIDES

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()	executed	on	even	date	herewith,

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## NOW THEREFORE

1. For good and valuable consideration, the full receipt and sufficiency of which is hereby acknowledged, I hereby assign to ASSIGNEE all of my right, title and interest in the aforestated invention, in any draft applications based thereon, and in any application for Letters Patent which assignee at its expense may cause to be filed in the United States, under an

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Title: <u>GENERATION AND SELECTION OF NOVEL DNA-BINDING PROTEINS AND POLYPEPTIDES</u>

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- 2. I agree to execute, whenever requested by ASSIGNEE, all patent applications, assignments, lawful declarations, and other papers which ASSIGNEE may deem necessary or desirable for securing or maintaining said Letters Patent or other forms of protection; to provide ASSIGNEE with all pertinent facts and documents relating to said application as may be known and accessible to me; and to testify as to the same in any legal proceeding related thereto; all without further compensation to me (except for compensation of reasonably incurred out-of-pocket expenses), and in an expeditious and conscientious manner.
- 3. I hereby authorize and request the patent office officials throughout the world to issue any and all Letters Patent(s) resulting from the aforesaid applications to ASSIGNEE, as ASSIGNEE of the entire interest assigned.

- 4. I hereby covenant and warrant that I have full right to convey the entire interest herein assigned, that I have not executed, and will not execute, any agreement in conflict therewith, and that I have and will comply with the duty of disclosure with respect to said application.
- 5. I hereby grant Iver P. Cooper, Reg. No. 28,005 a delegable power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.
- 6. I assign to ASSIGNEE all right, title and interest in any biological materials I have developed, propagated or deposited during the course of employment with ASSIGNEE, or which are referred to in said application, or which may be necessary to enable one to make or use said invention, and agree that ASSIGNEE shall have control over any deposits which I have caused to be made of such biological materials.

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Title: GENERATION AND SELECTION OF NOVEL DNA-BINDING PROTEINS AND POLYPEPTIDES

7. I hereby covenant and warrant that I possess some right, title and interest in said invention or in one or more of said draft applications, applications, patents or other forms of protection, that I have fully disclosed to ASSIGNEE all encumbrances, clouds or limitations upon my right, title and interest, in the United States and any foreign country, and that I have likewise disclosed to ASSIGNEE the identity of all other persons, natural or juristic, possessing or claiming to possess some right, title or interest in the foregoing; copies of said disclosures are appended to this Assignment and incorporated by reference therein.

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**REEL: 014430 FRAME: 0738** 

**RECORDED: 08/26/2003** 

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with ASSIGNEE over the subject matter of this assignment, I acknowledge that I will have to retain independent legal counsel with respect thereto at my own expense.

	(FULL NAME)	(SIGNATURE)
DATE	Dr. Robert Charle ley Road, Ijamsvi Dr. Sonia Kosow C Belmont, MA Dr. Rachel Bariba ad, Wilmington, M	SutermanCITIZ.U.S  GutermanCITIZ.U.S  Ault Kent  MACITIZ.U.S
DATE Fal. 16.16.50 INVENTOR RESIDENCE ADDR.122 Adena Road	Dr. Arthur Charle	es Ley
STATE OF Massachusetts )  COUNTY OF Middlesex )	PARIZATION	
Subscribed and sworn to	before me this _	Sixteenth day of
	_•	
	Notary Pub James R. Eck My Commission E	lic enrode xpires: Sept. 16, 1994