

09-04-2003

Form PTO-1595  
(Rev. 10/02)  
OMB No. 0651-0027 (exp. 09-30-2005)  
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RECORDATIO  
28-3 PA1



DEPARTMENT OF COMMERCE  
Patent and Trademark Office

102541769

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
**William Nelson**

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)  
Name: **Clean Air and Water Systems, Inc.**

Internal Address: \_\_\_\_\_

Street Address: **15374 Larm Road N.E.**

City: **Poulsbo** State: **WA** Zip: **98730**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger

☐ Security Agreement ☐ Change of Name

☐ Other \_\_\_\_\_

Execution Date: **08/21/2003**

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) \_\_\_\_\_

B. Patent No.(s) **5,005,364** **5,865,995**  
**6,054,046** **6,361,688B1**

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Anthony T. Caso**

Internal Address: **Inslee, Best, Doezie & Ryder,**  
**P.S.**

Street Address: **777 - 108th Ave. N.E.,**  
**Suite 1900**

City: **Bellevue** State: **WA** Zip: **98004**

6. Total number of applications and patents involved: **4**

7. Total fee (37 CFR 3.41).....\$ **160.00**

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number: \_\_\_\_\_

09/04/2003 DBYRNE 00000004 5005364  
01 FC:8021 160.00 00

DO NOT USE THIS SPACE

9. Signature.

**Anthony T. Caso**  
Name of Person Signing

Signature

**8/21/03**  
Date

Total number of pages including cover sheet, attachments, and documents: **4**

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231



DB

# INSLEE, BEST, DOEZIE & RYDER, P.S.

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\*\* Also Admitted in California  
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Call Direct Dial:  
(425) 450-4214

August 22, 2003

## VIA CERTIFIED MAIL

Commissioner of Patents and Trademarks  
BOX ASSIGNMENTS  
Washington, D.C. 20231

Re: Recordation of Assignments of Patents

Dear Sir or Madam:

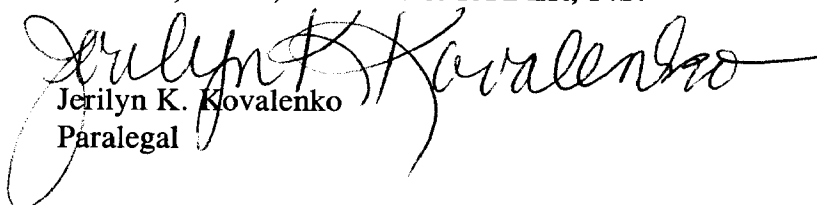
I have enclosed a Recordation Form Cover Sheet to record the assignment of four patents from William Nelson, Assignor, to Clean Air and Water Systems, Inc., Assignee, as follows, along with a check for \$160.00 for the fee:

1. Patent No. 5,005,364;
2. Patent No. 5,865,995;
3. Patent No. 6,054,046; and
4. Patent No. 6,361,688 B1.

Please record the assignments and return acknowledgment to Anthony T. Caso at the address above. Should you have any questions, please call me at (425) 450-2414.

Very truly yours,

INSLEE, BEST, DOEZIE & RYDER, P.S.

  
Jerilyn K. Kovalenko  
Paralegal

Enclosures

cc: Anthony T. Caso (w/enc.)

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PATENT  
REEL: 014438 FRAME: 0336

## Assignment of Patents

This Assignment is made by William Nelson, residing at 15374 Larm Road N.E., Poulsbo, Washington, 98370 ("Assignor"), to Clean Air and Water Systems, Inc., a Washington corporation, with its principal office located 15374 Larm Road N.E., Poulsbo, Washington, 98370 ("Assignee").

WHEREAS,

- A. Assignor is the owner of the entire right, title, and interest in and to the United States patents listed in Schedule 1.1.3 attached hereto and made part of this Assignment, which are registered in the United States Patent and Trademark Office, and all rights associated with the same (the "Patents").
- B. Assignee desires to acquire the Patents, principally for the purpose of transferring them to a third party in connection with a sale of substantially all the assets of Assignee.
- C. Assignor desires the right to license the Patents from Assignee in the event Assignee should reacquire the Patents from its intended transferee.

NOW, THEREFORE,

- 1. For good and valuable consideration, receipt of which is hereby acknowledged, Assignor does transfer and assign to Assignee, its successors, transferees, and assigns, all of Assignor's present and future right, title, and interest in and to the Patents and the inventions described therein, and all rights associated with and all proceeds of the Patents.
- 2. In the event Assignee does not transfer the Patents to a third party within one year of the date hereof, or if for any reason after transferring them Assignee should reacquire the Patents, Assignee agrees, upon the request of Assignor, to license them to Assignor on commercially reasonable terms (which shall include financial

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consideration only out of the proceeds of their subsequent commercial exploitation) for the remaining duration of their validity.

Dated: 8-21-03

William R Nelson

William Nelson

State of Washington )  
                                  )  
County of King ) ss.

On this day personally appeared before me William Nelson, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged that he executed the same as his free and voluntary act and deed, for the uses and purposes mentioned therein.

Given under my hand and official seal this 21st day of August, 2003.

John E. King  
Signature  
John E. King

[Seal]

Typed or Printed Name

Notary Public in and for the State of Washington,  
residing at Belleve

my commission expires: 12-30-03

**Schedule 1.1.3**  
**Intellectual Property**

**United States Patents**

Patent number 5,005,364, issued April 9, 1991

Patent number 5,865,995, issued February 2, 1999

Patent number 6,054,046, issued April 25, 2000

Patent number 6,361,688 B1, issued March 26, 2002