

Form PTO-1595  
(Rev. 10/02)  
OMB No. 0651-0027 (exp. 6/30/2005)

# RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
**Patriot Scientific Corporation**

2. Name and address of receiving party(ies)

Name: **ASI Technology Corporation**

Internal Address: \_\_\_\_\_

Street Address: **980 American Pacific Drive, #111**

City: **Henderson** State: **NV** Zip: **89014-7866**

Additional name(s) of conveying parties attached? ☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☒ Other **Record to correct assignor's and assignee's name on an Assignment document previously recorded at reel/frame 010238/0483**

Execution Date: **09/07/99**

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)  
**5,594,456**

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Clifton W. Thompson**

Mailing Address: **Thorpe, North & Western, LLP**

**P.O. Box 1219**

**Sandy, UT 84091-1219**

Street Address: **8180 South 700 East**

City: **Sandy** State: **Utah** Zip: **84070**

6. Total number of applications and patents involved: **1**

7. Total fee (27 CFR 3.41) **\$40.00**

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

**20-0100**

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Clifton W. Thompson**

Name of Person Signing

Signature

Date

Total number of pages including this cover sheet, attachments, and document: **5**

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REEL: 014438 FRAME: 0784

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FORM PTO-1596

RECOF

09-22-1999

(Rev. 6-93)

OMG No. 0851-0011 (exp. 4/94)

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Patriot Scientific

9-20-99

Additional name(s) of conveying parties attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: September 7, 1999

## 2. Name and address of receiving party(ies)

Name: ASI

Internal Address: 980 American Pacific Drive, Suite 111

Henderson, Nevada 89014

Street Address:

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

B. Patent No(s).

5,594,456

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Vaughn W. North

Mailing Address: P.O. Box 1219

Sandy, UT 84081-1219

Street Address:

City: State: Zip:

## 6. Total number of applications and patents involved: 1

7. Total fee (27 CFR 3.41) \$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number:

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

9/22/1999 RTW11 00000051 3394456

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40.00

Vaughn W. North

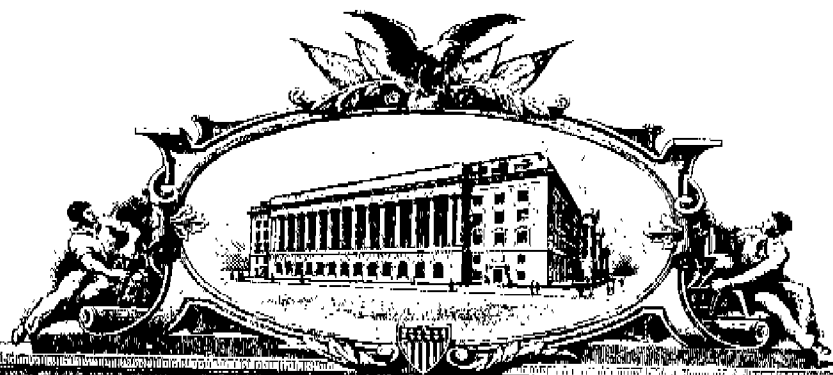
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Signature

September 16, 1999  
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# THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

March 05, 2004

THIS IS TO CERTIFY THAT ANNEXED IS A TRUE COPY FROM THE  
RECORDS OF THIS OFFICE OF A DOCUMENT RECORDED ON  
September 20, 1999.

By Authority of the  
COMMISSIONER OF PATENTS AND TRADEMARKS



*P. Swain*  
P. SWAIN  
Certifying Officer

PATENT

REEL 014438 FRAME 0786

Patent - Docket No. T2038

A S S I G N M E N T

WHEREAS, Elwood G. Norris and David W. O'Bryant executed an Assignment on September 19, 1994 to ~~Patriot Scientific Corporation~~ <sup>Patriot Scientific Corporation</sup> for an improvement in a GAS TUBE RF ANTENNA, for which a United States Letters Patent was issued on January 14, 1997, under Patent No. 5,594,456; and

WHEREAS, ~~ASI~~ <sup>AST Technology Corporation</sup>, A Nevada corporation, having a place of business at 980 American Pacific Drive, Suite 111, Henderson, Nevada 89014, is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of one dollar (\$1.00), the receipt and sufficiency whereof is hereby acknowledged, and other good and valuable consideration, the said ~~Patriot Scientific Corporation~~ <sup>AST Technology Corporation</sup>, by these presents do sell, assign and transfer unto said ~~AST~~ <sup>AST Technology Corporation</sup>, the full and exclusive right, title and interest to the said invention and the Letters Patent aforesaid and any reissue of said Letters Patent, for its own use and enjoyment and the use and enjoyment of its successors, assigns or other legal representatives, to the end of the term for which said Letters Patent of the United States is

PATENT  
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granted or reissued, as fully and entirely as the same would have been held by ~~Patriot Scientific~~ <sup>Patriot Scientific Corporation</sup>, had this assignment not been made, ~~ANT 17 March 2004~~ together with all claims for damages by reason of past infringement of said Letters Patent with the right to sue for and collect the same for its own use and enjoyment, and for the use and enjoyment of its successors, assigns or other legal representatives.

Executed this 7 day of Sept, 1999, at San Diego, CA.

Patriot Scientific

By: James T. Lunny  
James T. Lunny  
President

STATE OF California )  
County of San Diego ) ss

Before me personally appeared said Jim Lunny and acknowledged the foregoing instrument to be his free act and deed this 7<sup>th</sup> day of September, 1999.



Renee M. Warden  
Notary Public