

09-04-2003

Form PTO-1595  
(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

Tab settings ⇌ ⇌ ⇌



102540460

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Tsunami Optics, Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 08/26/03

## 2. Name and address of receiving party(ies):

Name: Stratos Lightwave, Inc.

Internal Address: \_\_\_\_\_

Street Address: 7444 W. Wilson Avenue

City: Chicago State: IL Zip: 60706

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 09/938,911;  
09/952,286; and 10/172,684

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Stratos Lightwave, Inc.

Internal Address: Karl D. Kovach

Street Address: 7444 W. Wilson Avenue

City: Chicago State: IL Zip: 60706

## 6. Total number of applications and patents involved: 3

7. Total fee (37 CFR 3.41).....\$ 120.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account

## 8. Deposit account number:

50-1413

DO NOT USE THIS SPACE

## 9. Signature.

6TON11 00000039 501413 09938911

120.00 DA

Karl D. Kovach, Reg. No. 40,278

Name of Person Signing

Karl D. Kovach  
Signature

08/27/03

Date

Total number of pages including cover sheet, attachments, and documents: 1

Mail documents to be recorded with required cover sheet information to:  
 Commissioner of Patents & Trademarks, Box Assignments  
 Washington, D.C. 20231

PATENT  
 REEL: 014441 FRAME: 0236

OFFICE OF PUBLIC RECORDS  
 2003 SEP -2 PM 4:02  
 FINANCE SECTION

09/04/2003 6TON11  
 01 FC:802

## PATENT APPLICATION ASSIGNMENT

THIS PATENT APPLICATION ASSIGNMENT ("Assignment") is made as of August 26, 2003 by Tsunami Optics, Inc., a California corporation having its principal place of business at 1371 Shorebird Way, Mountain View, California 96043 (the "Assignor"), in favor of Stratos Lightwave, Inc., a Delaware corporation having its principal place of business at 7444 West Wilson Avenue, Chicago, Illinois 60706 (the "Assignee").

WHEREAS, Assignor is the owner of record of the U.S. patent applications (collectively, the "Patent Applications") having the Serial Numbers disclosed below:

09/938,911;

09/952,286; and

10/172,684; and

WHEREAS, Assignor is wholly owned by Assignee.

NOW, THEREFORE, in consideration of the mutual promises contained herein, and for other good and valuable consideration, the receipt and sufficiency of which are hereby expressly acknowledged, the parties agree as follows:

1. Assignor hereby sells, assigns, conveys and transfers unto Assignee all right, title, and interest in, to and under the Patent Applications together with the entire right, title, and interest in and to all continuations, divisions, renewals, and extensions of the Patent Applications and all rights and privileges granted and secured thereby, including, without limitation, the right to sue and recover for any past or continuing infringement of the Patent Applications, as well as the right to seek any and all remedies available at law or in equity;

2. All right, title, and interest in the Patent Applications shall be held and enjoyed by Assignee, for its own use and benefit and for the use and benefit of its successors, assigns, and other legal representatives, as fully and entirely as the same would have been held and enjoyed by Assignor if this Assignment had not been made. Without limiting the foregoing, Assignor assigns with the Patent Applications all associated income, royalties, damages, and payments due from or payable by any third party (including, without limitation, damages and payments for past, present, or future infringements or misappropriations thereof) and any and all corresponding rights that, now or hereafter, may be secured throughout the world; and

3. Assignor shall, without further consideration, execute any additional documents, bills of sale, assignments, recordation forms, or other instruments of transfer as may be reasonably requested to vest in Assignee clear and marketable title to the Patent Applications.

IN WITNESS WHEREOF, Assignor has caused this Assignment to be executed as of the date first written above.

Tsunami Optics, Inc.

Signature: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Title: \_\_\_\_\_

State of \_\_\_\_\_ )  
County of \_\_\_\_\_ )

SS:

Before me personally appeared said \_\_\_\_\_  
and acknowledged the foregoing instrument to be his free act and deed this \_\_\_\_\_  
day of August, 2003.

seal

(Notary Public)