

03-2003
09-08-2003

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I COVER SHEET
ONLYResulm
Attorney Docket Number 9516-065-999

102543732

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS
Box Assignment
Washington, DC 20231

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Peter W. Schafer
George W. Muller
Hon-Wah Man
Chuansheng Ge

3-19-03

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

Merger

Security Agreement

Change of Name

Other

Execution Date: March 19, 2003

2. Name and address of receiving party(ies):

Name: Celgene CorporationAddress: 7 Powder Horn Drive
Warren, New Jersey 07059

Country (if other than USA):

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: March 19, 2003A. Patent Application No.(s) 10/392,195

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2711

6. Number of applications and patents involved:

One7. Total fee (37 CFR 3.41):.....\$ 40.00
Please charge to the deposit account listed in Section 8.

8. Deposit account number:

16-1150

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Anthony M. Insogna

35,203

Name of Person Signing

Reg. No.

Signature

March 19, 2003

Date

Total number of pages including cover sheet:

3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignment
Washington, D.C. 20231

03/26/2003 TBIAZ1 00000065 161150 A. INSOGNA

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NY2: 1413912.1

PATENT
REEL: 014452 FRAME: 0476

JOINT

ASSIGNMENT

WHEREAS, WE, PETER H. SCHAFER, citizen of the United States, residing at 126 John E. Busch Avenue, Somerset, New Jersey 08873; GEORGE W. MULLER, citizen of the United States, residing at 250 Windmill Court, Bridgewater, New Jersey, 08807; Hon-Wah Man, citizen of the United States, residing at 27 Grant Way, Princeton, New Jersey, 08540; and Chuansheng Ge, citizen of China, residing at 9 Breckenridge Court, Belle Mead, New Jersey, 08502, ASSIGNORS, are the inventors of the invention in (+)-2-[1-(3-ETHOXY-4-METHOXYPHENYL)-2-METHYLSULFONYLETHYL]-4-ACETYLAMINOINDOLINE-1,3-DIONE: METHODS OF USING AND COMPOSITIONS THEREOF for which we have executed an application for a Patent of the United States

☒ which is executed on ☒ even date herewith or ☐

☒ which is identified by Pennie & Edmonds LLP docket no. 9516-065-999

☐ We hereby authorize and request our attorney, , of Pennie & Edmonds, LLP, , to insert here in parentheses (Application number, filed) the filing date and application number of said application when known.

and WHEREAS, CELGENE CORPORATION, a Delaware Corporation, having a place of business at 7 Powder Horn Drive, Warren, New Jersey 07059, ASSIGNEE is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date 3/19/03, 2003 George W. Muller L.S.
George W. Muller

On March 19, 2003, before me, Juli Anne Rutkowski, Notary Public, personally appeared George W. Muller, personally known to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Juli Anne Rutkowski #2292411 exp 10/1/07

Date 3/19/03, 2003 Peter H. Schafer L.S.
Peter H. Schafer

On March 19, 2003, before me, Juli Anne Rutkowski, Notary Public, personally appeared Peter H. Schafer, personally known to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Juli Anne Rutkowski #2292411 exp 10/1/07

Date 3/19, 2003, Hon-Wah Man L.S.
Hon-Wah Man

On March 19, 2003, before me, Juli-Anne Rutkowski Notary Public, personally appeared Hon-Wah Man, personally known to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Juli-Anne Rutkowski #2292411 exp 10/1/07

Date 3/19, 2003, Chuanzhong Ge L.S.
Chuanzhong Ge

On March 19, 2003, before me, Juli-Anne Rutkowski Notary Public, personally appeared Chuanzhong Ge, personally known to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

Juli-Anne Rutkowski #2292411 exp 10/1/07

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Schafer *et al.*

Confirmation No.: 9778

Application No.: 10/392,195

Assignment Division

Filed: March 19, 2003

Examiner: Sharon Latimer

For: (+)-2-[1-(3-ETHOXY-4-METHOXYPHENYL)-2-METHYLSULFONYLETHYL]-4-ACETYLAMINOISOINDOLINE 1,3-DIONE: METHODS OF USING AND COMPOSITIONS THEREOF

Attorney Docket No.: 9516-065-999

RECEIVED OPR
2003 SEP -8 PM 12:29
ASSIGNMENTS DIV

RESPONSE TO NOTICE OF NON-RECORDATION OF DOCUMENT

U.S. Patent and Trademark Office
Assignment Division
Box Assignments, CG-4
1213 Jefferson Davis Highway, Suite 320
Washington, D.C. 20231

Sir:

This is in response to the Notice of Non-Recordation of Document dated August 19, 2003, and bearing Document I.D. No.: 102402229. Attorneys for Applicants submitted an Assignment for recordation on March 19, 2003 concerning the above-identified application. A Notice of Non-Recordation was issued on August 19, 2003, because the cover sheet submitted for recording allegedly does not adequately identify the property number.

In accordance with this Notice, enclosed is a resubmission of the Assignment document and a revised cover sheet reflecting the complete application number and a copy of the Notice of Non-Recordation of Document. Applicants respectfully submit that the document is now complete for recordation.

Finally, in accordance with 37 C.F.R. § 3.51, Applicants are entitled to their original recordation date of March 19, 2001.

No fee is believed due in connection with this Response. However, should the Patent and Trademark Office determine otherwise, please charge any required fee to Pennie & Edmonds LLP Deposit Account Number 16-1150 for the appropriate amount.

Respectfully submitted,

Date: September 8, 2003

Anthony M. Insogna 35,203

Anthony M. Insogna (Reg. No.)

PENNIE & EDMONDS LLP

1155 Avenue of the Americas

New York, New York 10036-2711

(212) 790-9090

By: *Nicholas J. O. Castiglione*

Reg. No. 51, 615



UNITED STATES
PATENT AND
TRADEMARK OFFICE

AUGUST 19, 2003

PTAS

Under Secretary of Commerce For Intellectual Property and
Director of the United States Patent and Trademark Office
Washington, DC 20231
www.uspto.gov

PENNIE & EDMONDS LLP
ANTHONY M. INSOGNA
1155 AVENUE OF THE AMERICAS
NEW YORK, NY 10036-2711



102402229

Resubmit Assgn.: 9/18/03

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF NON-RECORDATION OF DOCUMENT

DOCUMENT ID NO.: 102402229

THE ENCLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S) FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABOVE.

THE ORIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAMP WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 CFR 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 1.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

1. THE COVER SHEET SUBMITTED FOR RECORDING DOES NOT ADEQUATELY IDENTIFY THE PROPERTY NUMBER(S). THE APPLICATION NUMBER AND SERIES CODE OR THE SERIAL NUMBER AND FILING DATE IS REQUIRED.

SHARON LATIMER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

REFERRED TO <u>Deegan</u> REC'D
AUG 28 2003
Pennie & Edmonds O.K. for filing

RECORDED: 03/19/2003

PATENT
REEL: 014452 FRAME: 0481