

Form PTO-1595  
(Rev. 10/02)

### RECORDATION FORM COVER SHEET PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 6/30/2005)

Tab settings ⇨ ⇨ ⇨

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Christopher Edward Williams

Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party(ies)  
Name: Auckland UniServices Limited

Internal Address: \_\_\_\_\_

Street Address: UniServices House  
Level 10, 70 Symonds Street  
Auckland, New Zealand

Additional name(s) & address(es) attached?  Yes  No

3. Nature of conveyance:

Assignment  Merger

Security Agreement  Change of Name

Other \_\_\_\_\_

Execution Date: August 12, 2002

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 10/140,360

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: D. Benjamin Borson

Internal Address: \_\_\_\_\_

Street Address: Fliesler Meyer LLP  
Four Embarcadero Center, Suite 400  
City: San Francisco State: CA Zip: 94111-4156

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41).....\$ 40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number:  
06-1325

DO NOT USE THIS SPACE

9. Signature.

D. Benjamin Borson  
Name of Person Signing

*D. Benjamin Borson*  
Signature

Monday 23, 2004  
Date

Reg. No.: 42,349 Total number of pages including cover sheet, attachments, and documents:

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

CFR \$40.00 061325 10140360

**SOLE TO CORPORATE ASSIGNMENT**

WHEREAS, the undersigned, Christopher Edward Williams, a resident of Auckland, New Zealand, (hereinafter termed "Inventor"), has invented certain new and useful improvements in:

**PROCESSING EEG SIGNALS TO PREDICT BRAIN DAMAGE**

and has executed a declaration or oath for an application for a United States patent disclosing and identifying the invention.

✓ Said application having SC/Serial Number 10/140,360 and filed on the 6th day of May, 2002.

WHEREAS Auckland Uniservices, Ltd. (hereinafter termed "Assignee"), a New Zealand company, having a place of business at Uniservices House, Level 10, 70 Symonds Street, Auckland, Country of New Zealand, wishes to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventor (all collectively hereinafter termed "said invention"), and in and to any and all patents, certificates of invention and other forms of protection thereon (hereinafter termed "patents") applied for or granted in the United States and/or other countries.

NOW THEREFORE, for good and valuable consideration acknowledged by said Inventor to have been received in full from said Assignee:

1. Said Inventor does hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply in any and all countries of the world for patents, certificates of inventions or other governmental grants on said invention, including the right to apply for patents pursuant to the International Convention for the Protection of Industrial Property or pursuant to any other convention, treaty, agreement or understanding; (c) in and to any and all applications filed and any and all patents, certificates of inventions or other governmental grants granted on said invention in the United States or any other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; (d) in and to each and every reissue or extension of any of said patents; and (e) in and to each and every patent claim resulting from a reexamination certificate for any and all of said patents.

2. Said Inventor hereby covenants and agrees to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and other countries. Such cooperation by said Inventor shall include prompt production of pertinent facts and documents, giving of testimony, executing of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for complying with any duty of disclosure; (c) for prosecuting any of said applications; (d) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (e) for filing and prosecuting applications for reissue of any of said patents; (f) for interference or other priority proceedings involving said invention; and (g) for legal proceedings

involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, reexamination proceedings, compulsory licensing proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this Assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor, said Inventor's heirs, legal representatives and assigns.

4. Said Inventor hereby warrants and represents that said Inventor has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventor has executed this instrument on the date of acknowledgement before the Witness as given below and delivered this instrument to said Assignee:

Date: 12 Aug 02 C. Williams  
Christopher Edward Williams

in the presence of:

WITNESS

Signature: Krg Jones

Name: Kathryn Jones

Address: 1-75 Benson Rd, Remuera, Auckland

Occupation: Chief Intellectual Property Officer

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