G&C 30448.110-US-U1 FORM PTO-1595

**RECORD** 

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2003 SEP -5 AM 11: Docket-G&C 30448.110-US-U1

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- KING-NING TU
- (2) YA-HONG XIE (3) CHANG-CHING YEH

2. Name and address of receiving party(ies):

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Additional name(s) of conveying party(ies) attached?

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Request for Corrected Notice of Recordation of Assignment Document on Reel 013876, Frame 0600 (due to Applicants' error)

Execution Date: (1) March 6, 2003, (2) March 6, 2003, (3) January 30, 2003

4. Application number(s) or patent number(s):

Serial No. 10/299,451 filed November 19, 2002

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name:

George H. Gates

Address:

**GATES & COOPER LLP** 

6701 Center Drive West, Suite 1050

Los Angeles, CA 90045

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41):

\$40

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George H. Gates

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September 2, 2003

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> PATENT REEL: 014466 FRAME: 0367

J&C 30448.110-US-U1 FORM PTO-1595

OMB No. 0651-0011 (exp. 4/94)

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Docket-G&C 30448.110-US-U1 To the Commissioner of Patents and Trademarks: Pleas Trademarks: Pleas Trademarks are the Commissioner of Patents and Trademarks: Pleas Trademarks are the Commissioner of Patents and Trademarks: Pleas Trademarks are the Commissioner of Patents and Trademarks. 2. Name and address of receiving party(ies): 1. Name of conveying party(ies): (1) KING-NING TU 2001-241-2 (2) YA-HONG XIE 1111 Franklin Street (3) CHANG-CHING YEH 12th Floor Oakland, California 94607 Additional name(s) of conveying party(ies) attached? Additional name(s) & address(es) attached? 

No Nature of conveyance: Execution Date: (1) March 6, 2003, (2) March 6, 2003, (3) January 30, 2003 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: January 30, 2003 B. Patent No.(s) A. Patent Application No.(s) Additional numbers attached? 

No 5. Name and address of party to whom correspondence concerning 6. Total number of applications and patents involved: One document should be mailed: 7. Total fee (37 CFR 3.41): Name: George H. Gates Authorized to be charged to Deposit Account No. 50-0494 Address: **GATES & COOPER LLP** of Gates and Cooper LLP. 6701 Center Drive West, Suite 1050 Los Angeles, CA 90045 8. Please charge any additional fees or credit any overpayments to Deposit Account No. 50-0494. DO NOT USE THIS SPACE 9. Statement and signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. George H. Gates March 19, 2003 Name of Person Signing Date Total number of pages including cover sheet, attachments, and document: Three Do not detach this portion Mail documents to be recorded with required cover sheet information to: Director - United States Patent and Trademark Office **Box Assignment** Washington, D.C. 20231 Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of information systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork

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PATENT

REEL: 014466 FRAME: 0368

## **ASSIGNMENT**

U.C. Case No. 2001-241

and which is found in

G & C Case No. <u>G&C 30435.136-US-U1</u>

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. King-Ning Tu

2. Ya-Hong Xie

3. Chang-Ching Yeh

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, 12<sup>th</sup> Floor, Oakland, California 94607, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

## METHODS OF FABRICATING HIGHLY CONDUCTIVE REGIONS IN SEMICONDUCTOR SUBSTRATES FOR RADIO FREQUENCY APPLICATIONS

X U.S. patent application filed herewith and listing the above named persons as inventors

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue,

reexamination or extension of said Letters Patent and Protection of Industrial Property;	all rights under all International Conventions for the
ASSIGNOR(S) hereby covenants that no assignment, made or entered into which would conflict with this as	sale, agreement or encumbrance has been or will be ssignment;
ASSIGNOR(S) further covenants that ASSIGNEE was pertinent facts and documents relating to said inventions be known and accessible to ASSIGNOR and will test proceeding relating thereto and will promptly execute any and all papers, instruments or affidavits required application, said invention and said Letters Patent and desirable to carry out the purposes thereof. An attorney of this assignment to insert into this assignment the fit officially known.  AND the ASSIGNOR(S) requests the Commissioner States and any reissue or extension thereof to the ASSIGNOR was perfectly said inventions.	n and said Letters Patent and legal equivalents as may stify as to the same in any interference, litigation, or and deliver to ASSIGNEE or its legal representative to apply for, obtain, maintain, issue or enforce said d said equivalents thereof which may be necessary or y of record is authorized and requested by the execution iling date and serial number of said application when for Patents to issue said Letters Patent of the United
executed this	Signature of Inventor(s)
(H) day of March 2003	X N Tu
6th day of March, 2003	King-Ning Tu
day of	Ya-Hong Xie  Chang-Ching Yeh
	Chang-Ching I th

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PATENT REEL: 014466 FRAME: 0369

## **ASSIGNMENT**

U.C. Case No. 2001-241-2

G & C Case No. G&C 30448.110-US-U1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. King-Ning Tu

2. Ya-Hong Xie

3. Chang-Ching Yeh

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, 12<sup>th</sup> Floor, Oakland, California 94607, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

an improvements which are discressed in the invention entitled.	
METHODS OF FABRICATING HIGHLY CONDUCTION SUBSTRATES FOR RADIO FREQUE	
and which is found in	nber 19, 2002, and listing the above named
and any legal equivalent thereof in a foreign country, including Letters Patent to be obtained for said invention by the about continuation-in-part, extension, conversion to 35 USC 11 reexamination or extension of said Letters Patent and all right Protection of Industrial Property;	ove application or any continuation, division, 1(a) or substitute thereof, and any reissue,
ASSIGNOR(S) hereby covenants that no assignment, sale, as made or entered into which would conflict with this assignment	
ASSIGNOR(S) further covenants that ASSIGNEE will, upon pertinent facts and documents relating to said invention and sabe known and accessible to ASSIGNOR and will testify as proceeding relating thereto and will promptly execute and deany and all papers, instruments or affidavits required to appropriation, said invention and said Letters Patent and said edesirable to carry out the purposes thereof. An attorney of reconfiting the sassignment to insert into this assignment the filing datofficially known.	aid Letters Patent and legal equivalents as may to the same in any interference, litigation, or diver to ASSIGNEE or its legal representative dly for, obtain, maintain, issue or enforce said quivalents thereof which may be necessary or ord is authorized and requested by the execution
AND the <u>ASSIGNOR(S)</u> requests the Commissioner for Pat States and any reissue or extension thereof to the ASSIGNEE,	
executed this	Signature of Inventor(s)
day of,,	King-Ning Tu
day of,	Ya-Hong Xie
30 th day of January, 2003	Chang-Qhing Yell

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PATENT REEL: 014466 FRAME: 0370 Dated: March 19, 2003

ASSIGNEE: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA

Signature:

021 /-1

Name:

Linda S. Stevenson

Title:

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Office of Technology Transfer 1111 Franklin Street, 5th Floor Oakland, California 94607

UC Docket No. 2001-241-2 GC Docket No. G&C 30448.110-US-U1

PATENT RECORDED: 09/05/2003 REEL: 014466 FRAME: 0371