

09-11-2003

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. 1. Name of conveying party(ies): W. BRANDT GOLDSWORTHY & ASSOCIATES, INC. Additional name(s) of conveying party(ies) attached? Yes No. 3. Nature of conveyance: Assignment Merger Security Agreement Change of Name Other City: Petaluma State: CA Zip: 9499; Additional name(s) & address(es) attached? Yes 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) 10/037814 including any successor patent and all maturing Patents Additional numbers attached? Yes No. 5. Name and address of party to whom correspondence concerning document should be mailed:	v 1
W. BRANDT GOLDSWORTHY & ASSOCIATES, INC. Name: JAMES M. DOMBROSK! Internal Address: None	of.
Additional name(s) of conveying party(es) attached? Yes No 3. Nature of conveyance: Assignment Merger Street Address: 6039 Bodega Avenue City: Petaluma State: CA Zip: 9498 City: Petaluma State: CA Zip: 9498 Additional name(s) & address(es) attached? Yes 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) 10/037814 including any successor patent and all maturing Patents Additional numbers attached? Yes No 5. Name and address of party to whom correspondence concerning document should be mailed:	
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Assignment Merger Security Agreement Change of Name Other	
Street Address: 6039 Bodega Avenue Other	
City: Petaluma State: CA Zip: 9495 Og/02/2002	
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Execution Date:	
Additional name(s) & address(es) attached? Yes 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) 10/037814 including any successor patent and all maturing patents Additional numbers attached? Yes No 5. Name and address of party to whom correspondence concerning document should be mailed:	<u>i2</u>
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5. Name and address of party to whom correspondence concerning document should be mailed:	
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concerning document should be mailed: Name: JAMES M. DOMBROSKI 7. Total fee (37 CFR 3.41)\$	ved: 1
ivame:	
None Enclosed	
Authorized to be charged to deposit acco	ount
8. Deposit account number:	
Street Address: 6039 Bodega Avenue	
	
City: Petaluma State: CA Zip: 94952	
DO NOT USE THIS SPACE	
9. Signature.	
JAMES M. DOMBROSKI 9/5/03	
Name of Person Signing Signature Date	
Total number of pages including cover sheet, attachments, and documents: Mail documents to be recorded with required cover sheet information to:	

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Washington, D.C. 20231

RETAINER AGREEMENT

This Retainer Agreement will set forth the terms and conditions for the representation of W. Brandt Goldsworthy & Associates, Inc., a California corporation, and W.B.G., Inc., a California corporation ("Clients") by the Law Offices of James M. Dombroski ("Attorney") in connection with the Clients' claims and defenses against Transmission Technology Corporation, a Nevada corporation, currently pending in the United States District Court, Central District of California, Case No. CV-01-07118 (AJWX) (hereinafter "Claims").

1. LEGAL SERVICES COVERED BY AGREEMENT.

Attorney will represent Clients regarding the claims and defenses in the pending action in the United States District Court, Central District of California, referenced above, and possible claims against other individuals and/or entities who may have been involved directly or indirectly with respect to the claims.

2. LEGAL FEES.

- a. Non-Refundable Retainer. Client agrees to pay to Attorney an initial retainer in the sum of \$5,000.00 at the time of the execution of the Retainer Agreement and an additional sum of \$5,000.00 within three weeks of the date of the execution of the Retainer Agreement. The retainer is a legal fee and is non-refundable. It is not an advance for costs of this litigation.
- b. Hourly Rate. Attorney will be compensated at the rate of \$250.00 per hour, which amount will be credited against the retainer fee. Client shall maintain a balance of at least \$5,000.00 on a monthly basis and referenced in Attorney's monthly billing statement. Attorney's bill shall be paid within 15 days of billing.
- c. Attorney may retain associate counsel at an hourly rate not to exceed the sum of \$150.00 per hour, including a paralegal whose rate shall not exceed the sum of \$85.00 per hour.

3. LITIGATION COSTS AND EXPENSES.

Client is responsible for all costs and expenses of negotiation and litigation incurred through trial and, if necessary, appeal. These costs include, but are not limited to, court reporter fees for depositions, necessary travel and lodging expenses, computer time on a database for legal research, long distance telephone charges, facsimile charges, witness fees, discovery referee fees and fees to experts for

consultation and/or for their appearances at trial. Clients shall maintain a cost advance fund in Attorney's Client Trust account of \$1,000.00. The advance cost in the sum of \$1,000.00 shall be paid at the time of the execution of this Retainer Agreement. Attorney shall provide a monthly account of all costs and attorney's fees.

4. DISCHARGE AND WITHDRAWAL.

Client may discharge Attorney at any time, upon written notice to Attorney. Attorney may withdraw from representation of Client (a) with Client's consent or (b) upon court approval.

5. ENTIRE AGREEMENT.

This Agreement contains the entire agreement of the parties. No other agreement, statement or promise made on or before the effective date of this Agreement will be binding of the parties.

6. MODIFICATION BY SUBSEQUENT AGREEMENT.

This Agreement may be modified by subsequent agreement of the parties only by an instrument in writing signed by both of them.

The Law Offices of James M. Dombroski is self-insured. If the terms and conditions detailed above are acceptable, then please so indicate by signing below on behalf of Clients and return a signed copy to me. Please retain a copy for your files. I look forward to representing you in this matter.

DATED: August <u>29</u>, 2001.

Law Offices of James M. Dombroski

James M. Dombroski

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I have read and understood the foregoing terms and agree to them, and acknowledge receipt of a signed copy of this Agreement.

DATED:

August <u>28</u> 2001.

W. Brandt Goldsworthy & Associates, Inc.,

a California corporation

By:<u>//</u>/

V. Brandt Goldsworthy

DATED:

August <u>28,</u> 2001.

W.B.G., Inc.

a California corporation

By:

W. Brandt Goldsworthy

SEP 02 '02 12:07PM JAMES DOMBROSKI ATTY

P.4/5

Law Offices of JAMES M. DOMBROSKI

P.O. BOX 751027 PETALUMA, CALIFORNIA 94975-1027 TELEPHONE (707) 762-7807 FAX (707) 769-0419 E-mail Address: jdomski@201.com

September 2, 2002

<u>VIA FACSIMILE</u> (310 375-1146)

Mr. Mike Golden Chief Financial Officer W. Brandt Goldsworthy & Associates, Inc. 23930-40 Madison Street Torrance, California 90505

> Amendment to Retainer Agreement dated 8/28/01 Re:

Dear Mike:

This confirms that the Retainer Agreement dated August 28, 2001, is amended as follows:

- Legal services covered by the Agreement shall be amended to include the following actions:
 - TTC vs. WBG and WBGA pending in the United States District (a) Court, Central District of California, including the arbitration before the American Arbitration Association;
 - Hiel, et al., vs. W. Brandt Goldsworthy, et al., pending in the **(b)** Superior Court, County of Los Angeles, Southwest Division;
 - TTC, et al., vs. Mike Winterhalter, pending in the Superior (c) Court, County of Orange;
 - W. Brandt Goldsworthy & Associates, Inc. vs. The Regents of (d) the University of California, pending in the Superior Court, County of Los Angeles, Southwest Division.

SEP 02 '02 12:07PM JAMES DOMBROSKI ATTY

P.5/5

Mr. Mike Golden Page Two September 2, 2002

- 2. Pursuant to paragraph 6 of the Retainer Agreement allowing modification by subsequent agreement, the following provision is added as paragraph 7:
 - "7. Lien: Clients hereby grant Attorney a lien on all assets of W. Brandt Goldsworthy & Associates, Inc. and W.B.G., Inc. and on any and all claims or causes of action that are the subject of Attorney's representation under this Agreement. Attorney's lien will be for any and all sums owing to Attorney for any unpaid costs and/or attorney's fees. The lien will attach to all assets of Client and to any recovery Client may obtain, whether by arbitration award, judgment or settlement. This lien shall have priority pursuant to California Civil Code § 2897.

Please sign in the appropriate space below.

Very/truly yours,

JAMES M. DOMBROSKI

JMD:sd

This confirms that the Agreement may be modified as stated above.

DATED: September 0 \(\frac{1}{2} \) 2002.

RECORDED: 09/08/2003

Michael Golden

Chief Financial Officer

W. Brandt Goldsworthy & Associates, Inc.\

WBG, INC.