

9/8/03

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(Rev. 10/02)  
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U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks, please return the attached original documents or copy thereof.

1. Name of conveying party(ies):

Edward J. Wehmer

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 06/16/2003

2. Name and address of receiving party(ies)

Name: Wintrust Financial Corporation

Internal Address: \_\_\_\_\_

Street Address: 727 Bank Lane

City: Lake Forest State: IL Zip: 60045

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 10/449,556

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Joseph P. Krause

Internal Address: Vedder, Price, Kaufman  
& Kammholz, P.C.

Street Address: 222 N. LaSalle Street

City: Chicago State: IL Zip: 60601

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

22-0259

09/11/2003 10:11 AM 00000150 220259 10449556

01 FC:0021 40.00 BA

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9. Signature.

Joseph P. Krause

Name of Person Signing

*Joseph P. Krause*  
Signature

9/4/2003  
Date

Total number of pages including cover sheet, attachments, and documents: 4

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

PATENT  
REEL: 014467 FRAME: 0568

## ASSIGNMENT

WHEREAS, Edward J. Wehmer, of 454 Buena Road, Lake Forest, Illinois 60045, hereinafter called the "Assignor", has made new and useful inventions and improvements in

### BROKERAGE ACCOUNT FUND MANAGEMENT METHOD

for a full description of which reference is hereby made to application number 10/449,556, filed on May 30, 2003, for Letters Patent of the United States, executed on June 16, 2003, by said Assignor and identified as Case No. 00630.00.0172;

WHEREAS, Wintrust Financial Corporation, a corporation organized and existing under the laws of the State of Illinois, having a place of business at 727 Bank Lane, Lake Forest, Illinois 60045, is desirous of acquiring the entire right, title and interest in and to said invention, to the application above identified, and in, to and under Letters Patent which may be obtained for said invention, and to other applications or patents covering said inventions, as hereinafter more fully set forth;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that, for valuable consideration, the receipt and sufficiency of which is hereby acknowledged by said Assignor, the Assignor has sold, assigned, and transferred, and by these presents does sell, assign, and transfer unto the said Assignee, the entire right, title and interest for the United States in and to the invention and application hereinabove identified, and any Letters Patent of the United States that may issue for

said invention, together with the entire right, title and interest in and to said invention and applications for Letters Patent and Letters Patent therefor in all countries foreign to the United States, including the right to claim priority under the International Convention for the Protection of Industrial Property and other agreements and treaties of like purpose; to have and to hold for the sole and exclusive use and benefit of the said Assignee, its successors and assigns, to the full end of the term or terms for which any and all of said Letters Patent for said invention may issue.

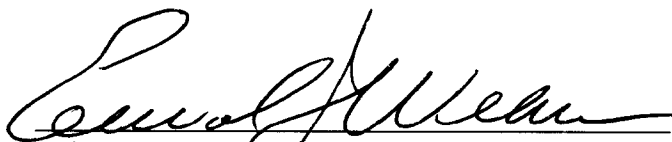
And the Assignor does hereby covenant and agree, for himself and his legal representatives, that he will assist his said Assignee in the prosecution of any of the applications herein referred to; in the making and prosecution of any other applications for Letters Patent that the said Assignees may elect to make covering the invention herein referred to, including any divisional, continuation, or continuation-in-part application; or any application to reissue any patent referred to herein; in vesting in the said Assignee exclusive title in and to all applications and Letters Patent referred to herein; and in the prosecution of any interference or infringement action which may arise involving said invention or any claim thereto or thereunder, or any application or Letters Patent herein referred to; and he will execute and deliver to the said Assignee any and all additional papers which may be requested by said Assignee to vest title in said Assignee in the United States and in

countries foreign thereto, and will otherwise fully carry out the terms of this agreement.

And the Commissioner of Patents and Trademarks is hereby authorized and requested to issue Letters Patent to the said Assignee in accordance with the terms of this assignment.

IN TESTIMONY WHEREOF, the said Assignor has hereunto set his hand and affixed his seal.

Date: June 16, 2003

  
Edward J. Wehmer

STATE OF ILLINOIS     )  
COUNTY OF Lake     )     SS

I, Monica L. Rinchiuso, a Notary Public in and for the county and state aforesaid, do hereby certify that Edward J. Wehmer, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he signed, sealed and delivered the said instrument as his free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this 16th day of June, 2003.

  
Notary Public

My Commission Expires:

01/17/06

SEAL

