

FORM PTO-1619A
Expires 06/30/09
OMB 0651-0027U.S. Department of Commerce
Patent and Trademark Office
PATENT**RECORDATION FORM COVER SHEET
PATENTS ONLY**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- ☒ New
- ☐ Resubmission (Non-Recordation)
Document ID#
- ☐ Correction of PTO Error
Reel # Frame #
- ☐ Corrective Document
Reel # Frame #

Conveyance Type

- ☐ Assignment ☐ Security Agreement
- ☐ License ☐ Change of Name
- ☐ Merger ☒ Other **Summary Statement of the Exclusive License Agreement**
- U.S. Government**
(For Use ONLY by U.S. Government Agencies)
- ☐ Departmental File ☐ Secret File

Conveying Party(ies)☐ Mark if additional names of conveying parties attachedName (line 1) **Norwalk Industrial Components, LLC**Execution Date
Month Day Year
07 30 03Name (line 2) **Second Party**Name (line 1) Execution Date
Month Day Year
Name (line 2) **Receiving Party**☐ Mark if additional names of receiving parties attachedName (line 1) **Advanced Fiber Technologies (AFT) Trust**☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)Name (line 2) Address (line 1) **72 Queen Street**Address (line 2) Address (line 3) **Lenoxville****Quebec, Canada****J1M 2C3**

City

State/Country

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name **Michael G. Panian**Address (line 1) **Buchanan Ingersoll, P.C.**Address (line 2) **301 Grant Street, 20th Floor**Address (line 3) **Pittsburgh, PA 15219**Address (line 4) **FOR OFFICE USE ONLY**

Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

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PATENT
REEL: 014468 FRAME: 0718

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FORM PTO-1619B
Expires 06/30/99
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Page 2

U.S. Department of Commerce
Patent and Trademark Office
PATENT**Correspondent Name and Address**Area Code and Telephone Number **412-562-3743**Name **Michael G. Panian**Address (line 1) **Buchanan Ingersoll, P.C.**Address (line 2) **301 Grant Street, 20th Floor**Address (line 3) **Pittsburgh, PA 15219**

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

4**Application Number(s) or Patent Number(s)**☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09/462,696**60/420,865**

Patent Number(s)

5,740,972**5,921,486****5,954,283**

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)Enter PCT application number
only if a U.S. Application Number
has not been assigned.PCT **US98/16974**

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

6**Fee Amount**Fee Amount for Properties Listed (37 CFR 3.41): \$ **240.00**

Method of Payment:

Deposit Account

Enclosed ☐Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

02-4553

Authorization to charge additional fees:

Yes ☒No ☐**Statement and Signature***To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.***Michael G. Panian**

Name of Person Signing

Signature

Date

PATENT

REEL: 014468 FRAME: 0719

THIS SUMMARY STATEMENT OF THE EXCLUSIVE LICENSE AGREEMENT is made as of July 30, 2003.

BETWEEN:

ADVANCED FIBER TECHNOLOGIES (AFT) TRUST, a trust constituted under the laws of the province of Quebec pursuant to a Trust Agreement made as of February 12, 2002 as amended and restated as of March 19, 2002, having its registered office in the City of Lennoxville, Quebec, herein acting and represented by Roch Leblanc, one of its Trustees and its President and Chief Executive Officer, duly authorized for the purposes hereof, as he so declares;

(hereinafter referred to as "AFT")

AND:

NORWALK INDUSTRIAL COMPONENTS, LLC, a limited liability Company incorporated under the laws of Delaware and having its registered office in the City of Manchester, Connecticut, herein acting and represented by John Matthew, its President and Chief Executive Officer, duly authorized for the purposes hereof, as he so declares;

(hereinafter referred to as "NIC")

WHEREAS the parties hereto have entered into an Exclusive License Agreement on July 30, 2003.

WHEREAS the parties have agreed that such Exclusive License Agreement may be registered with the USPTO in the form of a Summary Statement.

NOW IT IS HEREBY AGREED:

1. DEFINITIONS

As used herein the following terms are used as defined below:

- 1.1 **"Licensed Patents"** means the following patents and all corresponding patent applications, divisional applications, divisional continuation applications, continuation-in-part applications and inventions thereto related and trade secrets, know-how and improvements, additions and modifications for the manufacture, use and sale of Products as listed in Schedule 1.1 attached hereto.



- 2 -

- 1.2 **"Trademarks"** means the following trademarks and all corresponding applications as listed in Schedule 1.2 attached hereto as well as any other trademarks or tradename that NIC uses with the Products or that it may indicate from time to time to AFT and all goodwill attached thereto.

2. GRANT OF LICENSE

- 2.1 During the life of the Licensed Patents but subject to certain terms, NIC hereby grants AFT and/or its Affiliates an exclusive worldwide license to sell and distribute the Products. AFT and/or its Affiliates are hereby authorized to sell the products under the Trademarks, either alone or in conjunction with any other brand name or trademark incorporating the name "AFT" in or in association with the Trademarks or such other name as may be chosen from time to time by AFT in a mutually agreed upon format, which agreement cannot be unreasonably withheld by NIC.
- 2.2 In addition to the rights granted under sub-paragraph 2.1, NIC hereby grants AFT and/or its Affiliates under certain circumstances an exclusive worldwide license to use and to practise the Licensed Patents in connection with the manufacture of the Products.
- 2.3 This Summary Statement of the Exclusive License Agreement does not replace nor supersede the Exclusive License Agreement and is executed in this form only for purposes of recording the license rights and to protect confidentiality.

This Agreement has been executed as of the day and year first above written.

**ADVANCED FIBER
TECHNOLOGIES (AFT) TRUST**

By: 

Roch Leblanc
Trustee, President and CEO

**NORWALK INDUSTRIAL
COMPONENTS, LLC**

By: 

John Matthew
President and Chief Executive
Officer

SCHEDULE 1.1**LIST OF PRODUCTS AND LICENSED PATENTS****I. PAPERMAKING REFINER PLATES**

United States Patent No. 5, 740, 972

United States Patent No. 5, 921, 486

United States Patent No. 5, 954, 283

Patent Cooperation Treaty application Serial No. PCT/US98/01726

Australian Patent No. 753, 128

European Patent Application No. 98908456.1 filed January 30, 1998 designates Austria, Holland, Belgium, Italy, Ireland, Denmark, Finland, France, Portugal, Germany, Spain, Great Britain, Sweden, Greece and Switzerland.

Japanese Patent Application No. 2000-528371 filed July 18, 2000.

II. PAPERMAKING REFINER PLATES AND METHOD OF MANUFACTURE

Patent Cooperation Treaty application Serial No. PCT/US98/16974 filed August 17, 1998 directed to Papermaking Refiner Plates and Method of Manufacture.

United States Patent Application No. 09/462,696 filed January 12, 2000.

Australian Patent Application No. 89085/98.

Canadian patent application No. 2340895.

European Patent Application No. 98940920.6 filed August 17, 1998 designates Austria, Holland, Belgium., Italy, Ireland, Denmark, Finland, France, Portugal, Germany, Spain, Great Britain, Sweden, Greece and Switzerland.

Japanese Patent Application 2000-564770 filed February 15, 2001.

III. REFINER PLATE DESIGN AND METHOD OF MANUFACTURE

United States Provisional Patent Application Serial No. 60/420,865 was filed October 24, 2002.

SCHEDULE 1.2

LIST OF TRADEMARKS

FINEBAR United States Trademark Registration No. 2,400,583 dated October 13, 2000 in Class 7 for refiner plates for papermaking machines.

Buchanan Ingersoll PC
ATTORNEYS

Vicki J. Cremonese
Legal Assistant
412 562 8912
cremoncsevjb@bipc.com

One Oxford Centre
301 Grant Street, 20th Floor
Pittsburgh, PA 15219-1410

T 412 562 8800
F 412 562 1041
www.buchananingersoll.com

March 30, 2004

BY TELEFAX: 703-306-5995

Director of the US Patent and Trademark Office
P. O. Box 1450
Alexandria, Virginia 22313-1450

**Re: Recordation Form Cover Sheet - Patents
 Summary Statement of the Exclusive License Agreement
 Conveying Party: Norwalk Industrial Components, LLC
 Receiving Party: Advanced Fiber Technologies (AFT) Trust**

Dear Sir/Madam:

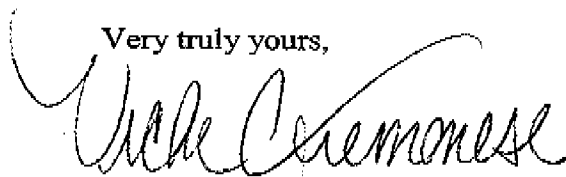
Attached please find one (1) executed copy of the following documents for recordation:

1. Recordation Form Cover Sheet - Patents with duplicate copy from Norwalk Industrial Components, LLC to Advanced Fiber Technologies (AFT) Trust; and
2. Copy of Summary Statement of the Exclusive License Agreement executed by Norwalk Industrial Components and Advanced Fiber Technologies (AFT) Trust.

Please debit our Deposit Account (Account No. 02-4553) in the amount of \$240.00 for the recordation fee. Please fax the confirmation of recordation of the attached Recordation Form Cover Sheet to Vicki Cremonese at fax number 412-562-1041.

If you have any questions or comments, please do not hesitate to contact me at the above referenced number. Thank you for your attention to this matter.

Very truly yours,



Vicki Cremonese
Legal Assistant

vjc
Enclosure

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Expires 06/30/99
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U.S. Department of Commerce
Patent and Trademark Office
PATENT

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Name Michael G. Panian

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RECORDED: 03/30/2004

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