

09-17-2003

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102554182

To the Director of the United States Patent and Trademark Office, please forward the attached original documents or copy thereof.

1. Name of conveying party(ies):

Patrick L. Hagans and Richard D. Breault

MRD

9-05-03

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other _____

Execution Date: August 26 and August 28, 2003

2. Name and address of receiving party(ies):

Name: UTC Fuel Cells, LLC

Address: 195 Governor's Highway

City: South Windsor State/Prov.: CT

Country: USA ZIP: 06074

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

10656529

If this document is being filed together with a new application, the execution date of the application is: 8/26/2003 & 8/28/2003

Patent Application No. Filing date

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert H. Kelly

Registration No. 28,961

Address: UTC Fuel Cells, LLC

MS 601-03

195 Governor's Highway

City: South Windsor State/Prov.: CT

Country: USA ZIP: 06074

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

8. Deposit account number:

50-1307

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Stephen E. Revis

Name of Person Signing

Signature

September 5, 2003

Date

3

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:
Mail Stop Assignment Recordation Services
Director of the United States Patent and Trademark Office
P.O. Box 1450, Alexandria, VA 22313-1450

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ASSIGNMENT

of Columbia, CT 

WHEREAS, we, **Richard D. Breault**, of North Kingstown, RI, and Patrick L. Hagans, have invented certain improvements in "**Method of Operating a Fuel Cell System under Freezing Conditions**" identified as Attorney Docket No. C-3053, and described in a patent application executed by the undersigned on the date(s) set after the signature(s) hereto, being owner of all right, title and interest in and to said application and in and to any invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, UTC FUEL CELLS, LLC, of South Windsor, Connecticut, a limited liability company of the State of Delaware (assignee) is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged by the undersigned, the undersigned does hereby sell, assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorizes and requests the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The undersigned further agrees, without any further payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said assignee, its successors or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

IN TESTIMONY WHEREOF, the undersigned has hereunto set his hand and seal on the date after his signature.

(L.S.) Richard D. Breault
Richard D. Breault

8 / 28, 2003
Date

(L.S.) Patrick L. Hagans
Patrick L. Hagans

8 - 26, 2003
Date

State of Connecticut

County of Hartford) ss: South Windsor

On this 28th day of AUGUST, 2003 before me personally appeared **Richard D. Breault**, to me personally known, and known to me to be the person who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.

Darlene S. Sutton
Notary Public

S E A L

DARLENE S. SUTTON
My Commission Expires: **NOTARY PUBLIC**
My Commission Expires May 31, 2007

State of Connecticut

County of Hartford) ss: South Windsor

On this 26th day of AUGUST, 2003 before me personally appeared **Patrick L. Hagans**, to me personally known, and known to me to be the person who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.

Darlene S. Sutton
Notary Public

S E A L

My Commission Expires: _____

DARLENE S. SUTTON
NOTARY PUBLIC
My Commission Expires May 31, 2007