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**PATENT**

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

<b>Submission Type</b> <input checked="" type="checkbox"/> New <input type="checkbox"/> Resubmission (Non-Recordation) Document ID # <input type="checkbox"/> Correction of PTO Error Reel #                      Frame # <input type="checkbox"/> Corrective Document Reel #                      Frame #	<b>Conveyance Type</b> <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> License <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other:
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Conveying Party(ies)	Execution Date(s)
1. Cisco Systems, Inc.	4/9/2003
2.	
3.	
4.	
5.	
6.	
<input type="checkbox"/> Mark if Additional Names of Conveying Parties Attached	

<b>Receiving Party</b>			
Name	Cisco Technology, Inc.		
Name	a California corporation		
Address	170 W. Tasman Drive		
Address			
Address	<u>San Jose</u>	<u>CA</u>	<u>95134</u>
	City	State/Country	Zip Code
<input type="checkbox"/> Mark if Additional Names of Receiving Parties Attached			

<b>Correspondent Name and Address</b> Robert Barr Worldwide Patent Counsel 170 West Tasman Drive San Jose, CA 95134	<b>Telephone:</b> 408-525-9706 <b>Facsimile:</b> 408-526-5952 <b>Attorney Docket No.</b>
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<b>Pages</b>	Enter the total number of pages of the attached conveyance document including any attachments:	3
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**Application Number(s) or Patent Number(s)** ☐ Mark if additional numbers attached  
Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Numbers					
10/395,711	10/071,328	10/172,305	60/298,590	60/298,592	

If this document is being filed together with a <i>new</i> Patent Application, enter the date the patent application was signed by the first named executing inventor.	Month Day Year
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<b>Patent Cooperation Treaty (PCT)</b>			
Enter PCT application number <i>only if</i> a U.S. Application Number has not been assigned.	PCT	PCT	PCT

<b>Number of Properties</b>	Enter the total number of properties involved: 5
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**Fee Amount**


Method of Payment:

☐ Enclosed is check No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_

☒ Charge Deposit Account No. 502314 in the amount of \$ \_\_\_\_\_

Authorization to Charge Additional Fees to Deposit Account No. 502314: ☒ Yes ☐ No

Fee Amount for Properties Listed (37 CFR 3.41): \$200

<b>Statement and Signature</b> To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.		
Robert Barr		4/5/04
Name of Person Signing	Signature	Date

CH \$200.00 602314 10396711

## PATENT APPLICATION(S) ASSIGNMENT

This **PATENT APPLICATION ASSIGNMENT** (the "Assignment") is entered into as of April 9, 2003 by **CISCO SYSTEMS, INC.**, a California corporation ("Cisco"), **CISCO ACQUISITION I, INC.** ("CAI"), a Delaware corporation, and **CISCO SYSTEMS HOLDING, INC.** ("CHI"), a Delaware corporation.

**WHEREAS**, Cisco, CAI, CHI and **CISCO TECHNOLOGY INCORPORATED**, a California corporation ("CTI"), have entered into an Asset Transfer Agreement, executed on April 9, 2003, pursuant to which Cisco, among other things, Cisco agreed to assign certain patent rights to CAI; subsequently CAI agreed to assign such patent rights to CHI; and subsequently CHI agreed to assign such patent rights to CTI.

**NOW, THEREFORE**, for good and valuable consideration, Cisco, CAI, and CHI agree as follows:

### Article I CISCO PATENTS

"Cisco Patents" shall mean the filed U.S. patent applications and patent applications for which serial numbers have not yet been issued listed on Schedule 1, as well as any reexaminations, extensions and reissues thereof and any divisionals, continuations and continuation-in-parts thereof and any other applications or patents that claim priority from such applications, including, without limitation, any foreign applications or patents corresponding thereto.

### Article II ASSIGNMENTS

Cisco assigns, transfers and conveys to CAI all of the rights, title and interest throughout the world in and to the Cisco Patents, and all rights, claims and privileges pertaining to the Cisco Patents, including rights to the underlying inventions and the right to sue and recover for past, present and future damages.

CAI assigns, transfers and conveys to CHI all of the rights, title and interest throughout the world in and to the Cisco Patents, and all rights, claims and privileges pertaining to the Cisco Patents, including rights to the underlying inventions and the right to sue and recover for past, present and future damages.

CHI assigns, transfers and conveys to CTI all of the rights, title and interest throughout the world in and to the Cisco Patents, and all rights, claims and privileges pertaining to the Cisco Patents, including rights to the underlying inventions and the right to sue and recover for past, present and future damages.

IN WITNESS WHEREOF, Cisco, CAI, and CHI have caused this Assignment of Cisco Patents to be entered into as of the Effective Date.

**Cisco Systems, Inc.**

By: 

Name: Daniel Scheinman

Title: Vice President

**Cisco Acquisition I/ Inc.**

By: 

Name: Daniel Scheinman

Title: Vice President

**Cisco Systems Holding, Inc.**

By: 

Name: Dan Scheinman

Title: Vice President

**SCHEDULE 1  
TO THE PATENT APPLICATION(S) ASSIGNMENT**

**UNITED STATES PATENT APPLICATIONS**

Filing Date	Serial No.	Inventor(s)	Title
3/23/2003	10/395,711	Jeffrey Kraemer, Brian Costello, Dan L. Grecu, Venkat Raghavan Rangamani, Philip Gladstone, Alan Kirby	Methods and apparatus for automated creation of security policy
2/8/2002	10/071,328	Jeffrey Kraemer, Philip Gladstone	Stateful reference monitor
6/4/2002	10/172,305	Jeffrey Kraemer, Philip Gladstone	Stateful distributed event processing and adaptive security
6/14/2001	60/298,590	Jeffrey Kraemer, Philip Gladstone	Stateful reference monitor
6/14/2001	60/298,592	Jeffrey Kraemer, Philip Gladstone	Stateful distributed event processing and adaptive security