

09-24-2003



Docket No. MBHB 02-309-B

FORM PTO-1595

VER SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

102556963 ONLY

To The Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying parties: **9-22-03**  
**John A. Tucker, Ying Zi Xu, Louis Brogley, Varghese John**Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Elan Pharmaceuticals, Inc.

Street Address: 800 Gateway Boulevard

City: South San Francisco, California Country: U.S.A. Zip: 94080

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_Execution Date: **August 21, 2003 and August 22, 2003**

4. Application number(s) or patent number(s): 1

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) Filing Date:

10/427,106

April 30, 2003

Additional numbers attached? ☐ Yes ☒ No

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 OPR/FINANCE

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Raafat M. Shaltout

Company Name: McDonnell, Boehnen, Hulbert &amp; Berghoff

Street Address: 300 South Wacker Drive, Suite 3200

City: Chicago State: IL ZIP: 60606

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41) ..... \$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number: 13-2490

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Raafat M. Shaltout, Reg. No. 45,092

Printed Name of Person Signing

Raafat M. Shaltout

Signature

Date: September 12, 2003

Total number of pages including cover sheet, attachments, and document: 6

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services

Director of the United States Patent and Trademark Office

PO Box 1450

Alexandria, VA 22313-1450

09/24/2003 LMUELLER 00000037 10427106

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**PATENT**  
**REEL: 014503 FRAME: 0391**

Practitioner's Docket No. 02-309-B

PATENT

**ASSIGNMENT OF INVENTION**

THIS ASSIGNMENT, by John A. Tucker, Ying Zi Xu, Louis Brogley and Varghese John (hereinafter referred to as the "ASSIGNORS,"), residing at 37 Creekridge Court, San Mateo, CA 94402; 793 Cereza Drive, Palo Alto, CA 94306; 1116 Seabright Avenue, Apt. #19, Santa Cruz, CA 95062-3753; and 1722 18th Ave, San Francisco, CA 94122, respectively, witnesseth;

WHEREAS, the said ASSIGNORS have invented certain new and useful improvements in **Hydroxypropyl Amides For The Treatment of Alzheimer's Disease**, set forth in an application for Letters Patent of the United States, bearing Serial No. 10/427,106 and filed on April 30, 2003; and

WHEREAS, ELAN PHARMACEUTICALS, INC., a corporation duly organized under and pursuant to the laws of Delaware, and having its principal place of business at 800 Gateway Boulevard, South San Francisco, California 94080 (hereinafter referred to as the "ASSIGNEE,") is desirous of acquiring an interest in the invention and Application and in any U.S. Letters Patent and Registrations which may be granted on the same.

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, we hereby sell, assign, transfer and set over unto the ASSIGNEES, their successors and assigns, our entire right, title and interest in and to the invention and application (including all priority rights for other countries arising therefrom, any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof) and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by ASSIGNEE and ASSIGNEES's successors and assigns as fully and exclusively as it would have been held and enjoyed by ASSIGNORS had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN TESTIMONY WHEREOF, ASSIGNORS have signed their name on the date indicated.

Date:                      Aug 22 2003  
Signature of John A. Tucker

State of California

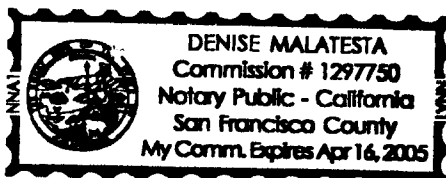
County of San Mateo

On August 22, 2003 before me, Denise Malatesta  
Name of Notary Public

Personally appeared John A. Tucker  
Name(s) of Signer(s)

☒ personally known to me  
☒ proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Denise Malatesta  
Signature of Notary Public

IN TESTIMONY WHEREOF, ASSIGNORS have signed their name on the date indicated.

Date: Ying Zi Xu 08/22/03  
Signature of Ying Zi Xu

State of California

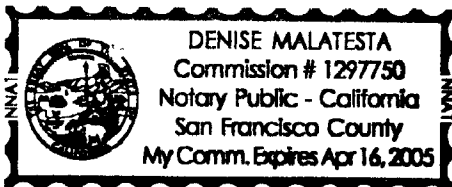
County of San Mateo

On August 22, 2003 before me, Denise Malatesta  
Name of Notary Public

Personally appeared Ying Zi Xu  
Name(s) of Signer(s)

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☒ proved to me on the basis of satisfactory evidence

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WITNESS my hand and official seal.

Denise Malatesta  
Signature of Notary Public

IN TESTIMONY WHEREOF, ASSIGNORS have signed their name on the date indicated.

Date: 8/22/03  
Louis Brogley  
Signature of Louis Brogley

State of California

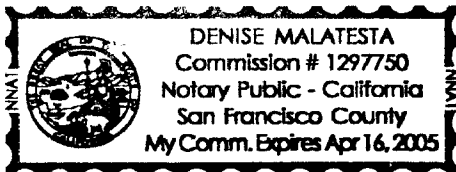
County of San Mateo

On August 22, 2003 before me, Denise Malatesta  
Name of Notary Public

Personally appeared Louis Brogley  
Name(s) of Signer(s)

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WITNESS my hand and official seal.

Denise Malatesta  
Signature of Notary Public

IN TESTIMONY WHEREOF, ASSIGNORS have signed their name on the date indicated.

Date: Varghese John 08/21/03  
Signature of Varghese John

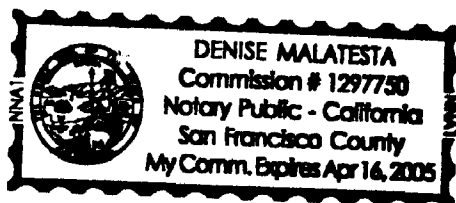
State of California

County of San Mateo

On August 21, 2003 before me, Denise Malatesta  
Name of Notary Public

Personally appeared Varghese John  
Name(s) of Signer(s)

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☒ proved to me on the basis of satisfactory evidence



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WITNESS my hand and official seal.

Denise Malatesta  
Signature of Notary Public