

Docket No. RD-26597

FORM PTO 1595
1-91-92

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

| | |
|---|---|
| <p>1. Name of conveying party(ies): Sandra Freedman Feldman Andrew Joseph Poslinski Vicki Herzl Watkins James Paul Barren Arthur Joseph Osborn; John Frederick Graf Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> | <p>2. Name and address of receiving party(ies): Name: <u>General Electric Company</u> Internal Address: _____ _____ Street Address: <u>One Research Circle</u> _____ City: <u>Niskayuna</u> State: <u>NY</u> ZIP: <u>12309</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> |
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| <p>3. Nature of conveyance: <input type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input checked="" type="checkbox"/> Other <u>Corrective Document</u> Execution Date: <u>Oct. 11, 1999; Nov 1, 1999</u> <u>Oct 12, 1999 Oct 18, 1999 Oct. 19, 1999</u> - Add additional assignees inadvertently omitted from Recordation form cover sheet reel 010448 Oct. 11, 1999 <u>Frame 0064.</u></p> | |
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| <p>4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: <u>Oct. 11, 1999; Nov 1, 1999; Oct 12, 1999; Oct 19, 1999; Oct. 19, 1999; Oct. 11, 1999.</u></p> | |
| <p>A. Patent Application No.(s) <u>09/398,280</u></p> | <p>B. Patent No.(s) <u>6,539,278</u></p> |
| Additional numbers attached? <input type="checkbox"/> Yes <input type="checkbox"/> No | |

| | |
|---|--|
| <p>5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>General Electric Company</u> Internal Address: <u>GRC Patent Docket Rm. 4A59</u> <u>Bldg. K-1 -Ross</u> <u>P.O. Box 8</u> Street Address: <u>One Research Circle</u> _____ City: <u>Schenectady</u> State: <u>NY</u> ZIP: <u>12301</u></p> | <p>6. Total number of applications and patents involved: <u>1</u></p> <p>7. Total fee (37 CFR 3.41).....<u>\$40.00</u> <input type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Deposit account number: <u>07-0868</u></p> |
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9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jean K. Testa  4/23/04
 Name of Person Signing Signature

Total number of pages including cover sheet, attachments and document

OMB No. 0651-0011 (exp 4/94)

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12-22-1999

Docket No. RD-26,597

FORM PTO 1695
1-31-92



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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Arthur Joseph Osborn
John Frederick Graf

2. Name and address of receiving party(ies)

Name: General Electric Company

Internal Address: _____

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other

Street Address: One River Road

City: Schenectady State: NY ZIP: 12345

Execution Date: Oct 19, 1999 - Oct 11, 1999

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

Oct 19, 1999 Oct 11, 1999

A. Patent Application No.(s)

09/398,280

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: General Electric Company

Internal Address: CRD Patent Docket Rm. 4A59

Bldg. K-1, Salamone

P.O. Box 8

Street Address: One Research Circle

City: Schenectady State: NY ZIP: 12301

6. Total number of applications and patents involved:

7. Total fee (37 CFR 3.41).....\$40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number:

07-0868

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Name of Person Signing

Signature

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PATENT

REEL: 014506 FRAME: 0982

ASSIGNMENT

Docket Number
RD-26,597

In consideration of One Dollar and Other good and valuable consideration, of which I acknowledge receipt, I (we)

| | |
|-------------------------|----------------------------|
| Sandra Freedman Feldman | of Niskayuna, New York |
| Andrew Joseph Poslinski | of Niskayuna, New York |
| Vicki Herzl Watkins | of Alplaus, New York |
| James Paul Barren | of Scotia, New York |
| Arthur Joseph Osborn | of Catskill, New York |
| John Frederick Graf | of Ballston Lake, New York |
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sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in:

METHOD AND APPARATUS FOR RESIN FORMULATIONS WITH IMPROVED STREAKING PERFORMANCE

invented by me (us), as described in the application for United States patent

- executed concurrently herewith, or
- U.S. Serial No.: 09/398,280, filed 09/20/99

and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patents on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, I will communicate to said Company or its representatives or nominees any facts known to me respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

October 11, 1999
Date

Sandra Freedman Feldman
Sandra Freedman Feldman

State of New York) SS:
County of Schenectady)

This 11th day of October, 1999, before me personally came the

above-named Sandra Freedman Feldman to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal
SUSAN ZAMPIER
Notary Public in the State of New York
Qualified in Saratoga Co.
No. 012A5069047
My Commission Expires Nov. 11, 2000

Susan M. Zampier
(Notary Public)

PATENT

ASSIGNMENT

Docket Number
RD-26,597

11/1/99
Date

Andrew J. Poslinski
Andrew Joseph Poslinski

Commonwealth
State of Massachusetts SS:

County of Berkshire

This 1st day of November, 1999, before me personally came the above-named Andrew Joseph Poslinski to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

Maureen L. Tracy
(Notary Public)

12/12/99

Date

Vicki Herz Watkins

Vicki Herz Watkins

State of New York) SS:

County of Schenectady)

This 12th day of October, 1999, before me personally came the above-named Vicki Herz Watkins to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

SUSAN ZAMPIER

Notary Public in the State of New York
Qualified in Saratoga Co.
No. 01ZA5089047

Seal My Commission Expires Nov. 11, 2000

Susan M. Zamper
(Notary Public)

10/19/99

Date

James Paul Barren

James Paul Barren

State of NEW YORK) SS:

County of ALBANY)

This 19th day of OCTOBER, 1999, before me personally came the above-named James Paul Barren to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

Kenneth J. Bopp
(Notary Public)

KENNETH J. BOPP
Notary Public, State of New York
Qualified in Albany County
Commission Expires March 30, 2000

PATENT

ASSIGNMENT

Docket Number
RD-26,597

10-19-99

Date

Arthur Joseph Osborn
Arthur Joseph Osborn

State of New York) SS:

County of ALBANY)

This 19th day of OCTOBER, 1999, before me personally came the above-named Arthur Joseph Osborn to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

KENNETH J. BOPP
Notary Public, State of New York
Qualified in Albany County
Commission Expires March 30, 2002

Seal

Kenneth J. Bopp
(Notary Public)

October 11, 1999

Date

John Frederick Graf
John Frederick Graf

State of New York) SS:

County of Schenectady)

This 11th day of October, 1999, before me personally came the above-named John Frederick Graf to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

SUSAN CAMPBELL
Notary Public in the State of New York
Qualified in Saratoga Co.
No. 01ZA5089047
My Commission Expires Nov. 11, 2000

Seal

Susan M. Campbell
(Notary Public)

~~October 19, 1999~~

~~Date~~

~~State of New) SS:~~

~~County of _____)~~

~~This _____ day of _____, 19____, before me personally came the above-named _____ to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.~~

~~Seal~~

~~(Notary Public)~~

PATENT