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09-25-2003

No. UCB-5 (B03-049)

Form 1595
(Rev. 10/02)
OMB No. 0651-0027 (exp. 6/30/2005)
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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please

original documents or copy thereof.

1. Name of conveying party(ies):
Mu CHIAO, Liwei LIN, Kien-Bang LAM

9.17.03

2. Name and address of receiving party(ies)
Name: The Regents of the University of California

Street Address: _____
1111 Franklin Street, 12th Floor

City: Oakland

State/Country: CA

Zip Code: 94607-5200

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

September 2, 2003; August 19, 2003;
and August 17, 2003, respectively

Execution Date: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 10/445,736

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Peter L. Michaelson

Internal Address: _____

HGUTEMA1 00000043 10445736

40.00 DP

Street Address: Michaelson & Wallace
Parkway 109 Office Center, 328 Newman Springs Road,
P.O. Box 8489

City: Red Bank State: NJ Zip: 07701-8489

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed (included with other fees)
☐ Authorized to be charged to deposit account

8. Deposit account number:

13-3083

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9. Signature.

Peter L. MICHAELSON
Name of Person Signing

Peter L Michaelson September 12, 2003
Signature Date

Total number of pages including cover sheet, attachments, and documents: 7

Mail documents to be recorded with required cover sheet information to:
Mail Stop Assignment Recordation Services, Director of the United States Patents & Trademark Office
P.O. Box 1450, Alexandria, VA 22313-1450

(u5arcs/ksb/7)

PATENT
REEL: 014510 FRAME: 0042

ASSIGNMENT

U.C. Case No.: B03-049-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

Mu CHIAO, ASSIGNOR, citizen of Taiwan, residing at 5050 SW Lombard Avenue, Beaverton, OR 97005;

Liwei LIN, ASSIGNOR, citizen of Taiwan, residing at 20890 Sherman Drive, Castro Valley, CA 94552;

and Kien-Bang LAM, ASSIGNOR, citizen of United States, residing at 1362 Marin Avenue, Albany, CA 94760;

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a corporation of California, having its statewide administrative offices located at 1111 Franklin Street, 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in UCB Case No. B03-049-1 entitled:

IMPLANTABLE, MINIATURIZED MICROBIAL FUEL CELL

for which we have executed an application for a Patent of the United States on May 27, 2003 as Serial No. 10/445,736


and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of his assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this
2nd day of September, 2003.



(Mu CHIAO)

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this
_____ day of _____, 20____.

(Liwei LIN)

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this
_____ day of _____, 20____.

(Kien-Bang LAM)

(U5assign/ksb/

ASSIGNMENT

U.C. Case No.: B03-049-1

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AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this _____ day of _____, 20____.

(Mu CHIAO)

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 19 day of August, 2003.

Liwei LIN
(Liwei LIN)

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this _____ day of _____, 20____.

(Kien-Bang LAM)

(U5assign/ksb/

ASSIGNMENT

U.C. Case No.: B03-049-1

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IN TESTIMONY WHEREOF, I hereunto set my hand and seal this _____ day of _____, 20____.

(Mu CHIAO)

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this _____ day of _____, 20____.

(Liwei LIN)

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 17th day of AUGUST, 2003.



(Kien-Bang LAM)

{U5assign/ksb/