

09-25-2003

FORM PTO-1595
(Rev. 10/02)
OMD No. 0651-0011 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



102557670

9-16-03

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
**Juan Jose Gonzalez; Fernando Gustavo Tomasel;
Andrew Shabalin**

2. Name and address of receiving party(ies):

Name: **Advanced Energy Industries**

Additional name(s) of conveying party(ies) attached?

☒ No ☐ Yes

Internal Address: _____

Street Address: **1625 Sharp Point Drive**

3. Nature of Conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

City: **Fort Collins** State: **CO** ZIP: **80525**

Country: **USA**

Execution Date: **9/9/03**

Additional name(s) & address(es) attached? ☐ No ☐ Yes

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: **9/9/03**

A. Patent Application No.(s)

B. Patent No.(s)

10/663104

Additional numbers attached? ☒ No ☐ Yes

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Blakely, Sokoloff, Taylor & Zafman LLP**

Internal Address: _____

Street Address: **12400 Wilshire Boulevard
7th Floor**

City: **Los Angeles** State: **CA** ZIP: **90025**

6. Total number of applications and patents involved: **1**

7. Total Fee (37 CFR 3.41).....\$ **40.00**

☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit Account Number:

02-2666

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.

Gordon R. Lindeen III

Name of Person Signing

Signature

9/16/03

Date

Total number of pages including cover sheet, attachments, and document: **3**

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services
Director of the US Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Docket No. 2213P022

PATENT
REEL: 014510 FRAME: 0150

22151 U.S. PTO
10/663104

09/16/03

09/18/2003 MRIZUHES 00000046 10663104

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In consideration of good and valuable consideration, the receipt of which is hereby acknowledged, we, the undersigned, Juan Jose Gonzalez, Fernando Gustavo Tomasel and Andrew Shabalin, hereby sell, assign, and transfer to Advanced Energy Industries, Inc. a corporation of Delaware, having a principal place of business at 1625 Sharp Point Drive, Fort Collins, Colorado 80525, ("Assignee"), and its successors, assigns, and legal representatives, the entire right, title, and interest for the United States and all foreign countries, in and to any and all improvements that are disclosed in the application for the United States patent that has been executed by the undersigned prior hereto or concurrently herewith on the dates indicated below and is entitled

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and in and to said application and all divisional applications, continuation applications, continued prosecution applications, continuation-in-part applications, substitute applications, renewal applications, reissue applications, reexaminations, extensions, and all other patent applications that have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original patents, reissued patents, reexamination certificates, and extensions, that have been or shall be issued in the United States and all foreign countries on said improvements; and in and to all rights of priority resulting from the filing of said United States application;

agree that said Assignee may apply for and receive a patent or patents for said improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns, and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional applications, continuation applications, continued prosecution applications, continuation-in-part applications, substitute applications, renewal applications, reissue applications, reexaminations, extensions and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney, and other papers; communicate to said Assignee, its successors, assigns, and representatives all facts known to the undersigned relating to said improvements and the history thereof; and generally assist said Assignee, its successors, assigns, or representatives in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements, and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

covenant with said Assignee, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Each Inventor: Please also list the date that you signed the accompanying DECLARATION AND POWER OF ATTORNEY:

Each Inventor: Please Sign and Date Below:

9/9, 20 03

Date

Name: Juan Jose Gonzalez

9/9, 20 03

Date

Name: Fernando Gustavo Tomasel

9/9, 20 03

Date

Name: Andrew Shabalin

9/9, 20 03

Date

9/9, 20 03

Date

9/9, 20 03

Date

State of: _____}

County of: _____}

Assignment Document Return Address:
SS. BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
12400 Wilshire Blvd., Seventh Floor
Los Angeles, CA 90025-1026
(303) 740-1980

On this _____ day of _____, 20____, before me, _____,
the undersigned Notary Public, personally appeared _____
[] personally known to me [] proved to me on the basis of satisfactory evidence to be the person(s)
whose name(s) _____ subscribed to the within instrument, and acknowledged that _____
executed it.

WITNESS my hand and official seal.

Notary's Signature

Docket No.: 2213P022

Express Mail No.: EV 306655000 US

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RECORDED: 09/16/2003

PATENT
REEL: 014510 FRAME: 0152