FORM PTO-1595

(Rev. 6-93)

OMB No. 0651-0911 (exp. 4/94) M&G-11998.55US01

09-26-2003

**HEET** 

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

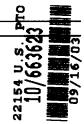
To the Commissioner of Patents and Trademarks: Pleas

1. Name of conveying party(ies):

John Vanden Hoek Jody Rivers John David Dockter 102560044

2. Name and address of receiving party(ies):

Acorn Cardiovascular, Inc. 601 Campus Drive St. Paul, Minnesota 55112



Additional name(s) of conveying party(ies) attached?		Additional name(s) & address(es) attached? ☐ Yes ☒ No	
3. Nature of Ass	f conveyance: ignment		
Execution D	Pate: 9/12/03; 9/15/03		
4. Applicati	ion number(s) or patent number(s):		
If this do	ocument is being filed together with a new application, th	e execution date of the application is: September 16, 2003	
A. Patent A	pplication No.(s)	B. Patent No.(s)	
	Additional numbers attack	ned? ☐ Yes 🗵 No	
5. Name an document sh Name: Address:	ad address of party to whom correspondence concerning nould be mailed:  Julie R. Daulton Merchant & Gould P.C. P.O. Box 2903 Minneapolis, MN 55402-0903	<ul> <li>6. Total number of applications and patents involved: 1</li> <li>7. Total fee (37 CFR 3.41): \$40.00  Enclosed Authorized to be charged to deposit account</li> <li>8. Please charge any additional fees or credit any overpayments to our Deposit account number: 13-2725</li> </ul>	
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9. Statemer	nt and signature:		
	est of my knowledge and belief, the foregoing informatio document.	n is true and correct and any attached copy is a true copy of the	
Julie R. Daulton 16 September 20			
Name of Per	rson Signing Sa	gnature Date  Total number of pages including cover sheet, attachments, and document: 4	
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**PATENT** 

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## ASSIGNMENT

WHEREAS, we, John Vanden Hoek, residing at 11473 199th Avenue, Elk River, Minnesota 55330, Jody Rivers, residing at 12890 Orno Parkway, Elk River, Minnesota 55330 and John David Dockter, residing at 5201 Kings Circle North, Brooklyn Park, Minnesota 55443, made certain new and useful inventions and improvements for which we filed an application for Letters Patent of the United States on September 16, 2003, which is entitled APPARATUS AND METHOD FOR APPLYING CARDIAC SUPPORT DEVICE.

AND WHEREAS, Acorn Cardiovascular, Inc., a corporation organized and existing under and by virtue of the laws of the State of Minnesota, and having an office and place of business at 601 Campus Drive, St. Paul, Minnesota 55112 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor:

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, we do hereby agree that we and our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be

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necessary or desirable more effectually to secure to and vest in said Assignee, its successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

	IN TESTIMONY WHEREOF, I have hereunto set my hand this _	15	day of
September	, 20 <u>03</u> .		

John Vanden Hoek

STATE OF Minne sola ) ss.
COUNTY OF Dakota )

On this 15 day of Suptember, 2003, before me personally appeared John Vanden Hoek to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the uses and purposes therein set forth.

PATRICIA E. VASATIVA
MOTARY PUBLIG - MINNESOTA
My Commission Expires Jan. 31, 2006

Patricie E Vasatka
Notary Public

IN TESTIMONY WHEREOF, I	have hereunto set my hand this 15th day of
<u>september</u> , 2003.	
	Jody Rivers
	Jody Rivors
	7-37
STATE OF Minnesota ) ) ss. COUNTY OF Dakota )	
COUNTY OF Dakota )	
On this 15 day of Scate	12003, before me personally appeared Jody
•	erson described in and who executed the foregoing
instrument, and she duly acknowledged to me that	at she executed the same for the uses and purposes
therein set forth.	
MOTARY PUBLIC - MINNESOTA	Patricia E Vasatha
My Commission Expires Jan. 31, 2005	Notary Public
DATEST COLUMNIC FOR A	th.
	have hereunto set my hand this day of
Soptember, 2003.	.1
	John David Dockter
	John David Dockter
STATE OF Minnesola ) ss. COUNTY OF Daxota )	
COUNTY OF Dakota	
On this 12 day of Septem	ماد, 20 63 before me personally appeared John
David Dockter to me known and known to me to	
foregoing instrument, and he duly acknowledged	to me that he executed the same for the uses and
purposes therein set forth.	
PATRICIA E. WASATKA MOTARY PUBLIC - MINNEBOTA	Latrices E Vasatha
My Commission Empires Jan. 31, 2005	Notary Public

**RECORDED: 09/16/2003**