

09-30-2003

CORRECTIVE RECORDING

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

PATENTS ONLY

Document ID No.: 102409775

RE

102561957

ts and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Richard William Falla Le Page
Jeremy Mark Wells

9-25-03

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: December 1, 1998

2. Name and address of receiving party(ies)

Name: Cambridge University Technical Services Limited

Address: The Old Schools, Trinity Lane, Cambridge
Cb2 1TS, ENGLANDAdditional Name(s) & address(es) attached? ☐ Yes ☒ No

NOTICE OF EXPRESS MAILING

Express Mail Mailing Label Number: EV 326918955 USDate of Deposit with USPS: September 25, 2003Person making Deposit: Chris HaughtonOFFICE OF PUBLIC RECORDS
2003 SEP 25 AM 10:35
FINANCE SECTION

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/060,878

B. Patent No.(s)

6,605,286Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: TraskBritt

Internal Address: _____

Street Address: P.O. Box 2550City: Salt Lake City State: UT Zip: 841106. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$40.00

- ☒ Already submitted – see Document ID No. 102409775
☒ Authorized to be charged to deposit account

8. Deposit account number:

20-1469

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

Attorney Docket No.: 2887-5388US

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Allen C. TurnerName of Person Signing
Reg. No. 33,041

Signature

September 25, 2003

Date

Total number of pages including cover sheet, attachments, and documents: 6

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment of Recordation Services, Director of the U.S. Patent & Trademark Office, P.O. Box 1450,
Alexandria, VA 22313-1450PATENT
REEL: 014523 FRAME: 0525

THIS DEED OF ASSIGNMENT is made on the **1st** day of **December** 1998

Between

DR RICHARD WILLIAM FALLA LE PAGE of Gonville & Caius College, Trinity Street, Cambridge CB2 1TA and **DR JEREMY MARK WELLS** of The Cottage, Old House Road, Balsham, Cambridge CB1 6EF ("the Inventors")

and

CAMBRIDGE UNIVERSITY TECHNICAL SERVICES LIMITED whose registered office is at The Old Schools, Trinity Lane, Cambridge CB2 1TS ("CUTS").

WHEREAS:

(A) During the course of their employment with the University (as defined below), the Inventors have jointly conceived, with Professor Erik Remaut and Dr Lothar Steidler ("Professor Remaut and Dr Steidler") of Universiteit Gent, an invention described in International Patent Application Number PCT/GB96/02580 taking its priority from British Patent Application Number 9521568.7 claiming priority from 20 October 1995.

(B) The University has waived, disclaimed and assigned its right, title and interest in and to the Invention to the Inventors.

(C) The Inventors now wish to assign all their rights, title and interest in and to the Invention and the Intellectual Property Rights (as defined below) to CUTS so that CUTS may assign such rights, title and interest in and to the said Invention and Intellectual Property Rights to Microbial Technics Limited and Universiteit Gent.

NOW THIS DEED WITNESSES as follows:

1. In this Deed:

"Invention" means the invention described in Schedule 1 hereto;

"Intellectual Property Rights" means all intellectual property rights of whatsoever nature in or arising out of the Invention, including the patent applications listed Schedule 2 hereto, all registered design rights, unregistered design rights, copyright, trade marks and topographies together with all applications for any of the above and the right to make applications for any of the foregoing in any jurisdiction;

"Person" means any person or persons including any body of persons, incorporated or unincorporated;

"the University" means the Chancellor, Masters and Scholars of the University of Cambridge.

2. The Inventors jointly and severally warrant to CUTS:

- 2.1** that the Invention is and the Intellectual Property Rights are their original work and that they have not been copied wholly or substantially wholly from any other work or material and that the exercise by CUTS of the rights assigned to it hereunder will not to the best of their knowledge and belief infringe the rights of any third party;
- 2.2** that the Invention was made and the Intellectual Property Rights were created in the course of their normal duties as employees of the University or pursuant to arrangements known to and approved by the University;
- 2.3** with the exceptions of Professor Remaut and Dr Steidler, no other person was involved with the Inventors in the creation of the Invention or the Intellectual Property Rights and that no other person has any legal or beneficial interest in the Invention or the Intellectual Property Rights.

3. In consideration of CUTS entering into an assignment agreement with the Inventors, Professor Remaut and Dr Steidler, Microbial Technics Limited and Universiteit Gent, the Inventors as beneficial owners hereby grant and assign absolutely to CUTS free from all encumbrances all their, rights, title and interest in and to the Invention and the Intellectual Property Rights and all their rights, interest and property therein together with all rights arising or accrued thereto.

4. In respect of the Intellectual Property Rights assigned hereunder, CUTS shall have the right to sue for damages and other remedies in respect of any infringement thereof or to exercise any rights and powers arising therein or accrued thereto and to take all necessary steps to defend any challenge to the patent applications, or any of them, listed in Schedule 2 hereto.

5. The Inventors agree that at the request and cost of CUTS they will at all times hereafter do all such acts and execute all such documents as may reasonably be necessary or desirable both to secure the vesting in CUTS of all rights assigned to CUTS hereunder and to assist in the resolution of any question concerning the Invention and the Intellectual Property Rights.

6. The Inventors will provide all such reasonable assistance as CUTS may request to enable CUTS to resist any action, claim or proceedings brought against CUTS as a consequence of any breach by the Inventors of any of the warranties herein.

7. It is hereby certified that the transaction hereby effected is not part of a larger transaction or series of transactions in respect of which the amount or value or aggregate amount or value of the consideration exceeds the sum of £60,000.

19- 2-01;16:45 ;

;01715394299

8/ 1

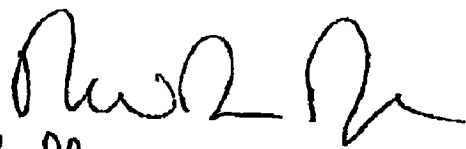
15/06/00 15:46 FAX 01223332797

WOLFSON I L O

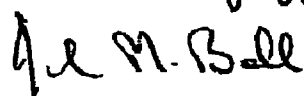
208

IN WITNESS whereof the parties hereto have executed this Deed of Assignment the day and year first above written.

Signed as a Deed by the said
RICHARD WILLIAM FALLA LE PAGE
in the presence of:



Witness signature:



Witness name:

JULIA MARY BELL

Address:

DEPT OF PATH.
TENNIS COURT RD
CAMBRIDGE

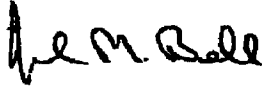
Occupation:

DIVISIONAL SECRETARY

Signed as a Deed by the said
JEREMY MARK WELLS
in the presence of:



Witness signature:



Witness name:

JULIA MARY BELL

Address:

As above

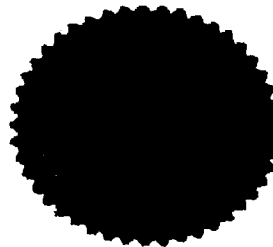
Occupation:

As above

The Common Seal of
CAMBRIDGE UNIVERSITY TECHNICAL SERVICES LIMITED
was hereunto affixed in the presence of:

R. e. Jennings
R. e. JENNINGS
Director

P. Miller
PATRICK D. MILLER
Company Secretary



15/06/00 15:46 FAX 01223332797

WOLFSON I L O

09

SCHEDULE 1**Delivery of Biologically Active Polypeptides****SCHEDULE 2****(1) International Patent Application Number PCT/GB96/02580 taking its priority from:****(2) British Patent Application Number 9521568.7****(3) PCT National Applications:**

Australia	Application No. 73154/96
Brazil	Number not yet known
Canada	Application No. 2,234,941
China	Number not yet known
Japan	Application No. 09-515633
Korea	Application No. 702803/98
Mexico	Application No. 983093
Norway	Application No. P981746
New Zealand	Application No. 320418
Russia	Application No. 98109522
Singapore	Number not yet known
USA	Application No. 09/060,878
Europe	Application No. 96935054.5

(4) Ex-PCT Country National Applications

South Africa	Application No. 96/8806
Indonesia	Application No. P-963016
Malaysia	Application No. FI-9604350
Taiwan	Application No. 86102082