

09-29-2003



102561440

Form PTO-1595 (Rev. 10/02) OMB No. 0651-0027 (exp. 6/30/2005)

RECORDATION PAT

DEPARTMENT OF COMMERCE Patent and Trademark Office Packet No.: STEM-005DIV

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): SARVETNICK, NORA FOX, HOWARD Additional name(s) of conveying party(ies) attached? Yes No

9.25.03

2. Name and address of receiving party(ies) Name: THE SCRIPPS RESEARCH INSTITUTE

Internal Address: 10550 NORTH TORREY PINES ROAD

Street Address: City: LA JOLLA

State: CALIFORNIA Zip: 92037

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance: Assignment Merger Security Agreement Change of Name Other Corrective Assignment: Please correct the order of the Assignor name per the attached mark-up documents.

Execution Date: 05/18/2001, 06/29/2001.

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) 10/404,170 B. Patent Registration No.(s)

Additional number(s) attached Yes No

2003 SEP 25 AM 8:05 OPR/FINANCE

5. Name and address of party to whom correspondence concerning document should be mailed: Name: Bozicevic, Field & Francis LLP Internal Address: 200 Middlefield Road, Suite 200 City: Menlo Park State: California Zip: 94025

6. Total number of applications and patents involved 7. Total fee (37 CFR 3.41) \$previously paid Incorrect due to Patent Office error Authorized to be charged to deposit account

8. Deposit account number: 50-0815

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Pamela J. Sherwood, Reg# 36,677

Signature of Pamela J. Sherwood

09/22/2003

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 6

Mail documents to be recorded with required cover sheet information to: Commissioner of Patent & Trademarks, Box Assignments Washington, D.C. 20231

04-11-2003

Form PTO-1595
(Rev. 10/02)
OMB No. 0651-0027 (exp. 6/30/2005)



102416463

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office
Atty Docket No.: STEM-005DIV

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
SARVETNICK, NORA
FOX, HOWARD
3.31.03

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)
Name: **THE SCRIPPS RESEARCH INSTITUTE**
Internal
Address: **10550 NORTH TORREY PINES ROAD**
Street Address:
City: **LA JOLLA**
State: **CALIFORNIA** Zip: **92037**

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:
 Assignment Merger
 Security Agreement Change of Name
 Other _____

Execution Date: **05/18/2001, 06/29/2001.**

4. Application number(s) or patent number(s):
If this document is being filed together with a new application, the execution date of the application is: **03/31/2003**
A. Patent Application No.(s)
10404170

B. Patent Registration No.(s)

Additional number(s) attached Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:
Name: **Bozicevic, Field & Francis LLP**
Internal Address: **200 Middlefield Road, Suite 200**
City: **Menlo Park** State: **California** Zip: **94025**

6. Total number of applications and patents involved **1**

7. Total fee (37 CFR 3.41)..... **\$40.00**
 Enclosed
 Authorized to be charged to deposit account

8. Deposit account number:
50-0815

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Pamela J. Sherwood, Reg# 36,677

03/31/2003

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: **3**

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patent & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 014524 FRAME: 0869

1033 U.S. PTO
10/404170
03/31/03

COPY

ASSIGNMENT OF APPLICATION (JOINT) Address to: Box Assignment Commissioner for Patents Washington, D.C. 20231	Attorney Docket Number	STEM005
	First Named Inventor	Sarvetnick et al.
	Application Number	09/844,497
	Filing Date	April 26, 2001
	Group Art Unit	Unassigned
	Examiner Name	Unassigned
Title:		"PANCREATIC PROGENITOR GENE 1 AND ITS USES"

THIS ASSIGNMENT, by Nora Sarvetnick and Howard Fox (hereinafter referred to as the assignors), both residing in San Diego, California, witnesseth:

WHEREAS, the said assignors have invented certain new and useful improvements in:

"PANCREATIC PROGENITOR 1 GENE AND ITS USES"

X for which an application for a United States Patent was filed on April 26, 2001. Application No. 09/844,497.

WHEREAS, The Scripps Research Institute a not-for-profit public benefit corporation duly organized under and pursuant to the laws of California, and having its principal place of business at 10550 North Torrey Pines Road, La Jolla, California 92037 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said invention and said application for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW WHEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient considerations, the receipt of which is hereby acknowledged, said assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto the assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned invention, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations, and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and behalf and the use and behalf of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignors, had this sale and assignment not been made.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignors are the sole and lawful owners of the entire right, title and interest in and to said invention and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignors hereby covenant and agree to and with said assignee, its successors, legal representatives and assigns, that said assignors will, whenever counsel of said assignee, or the counsel of its successors, legal representatives and assigns, shall advise that any proceeding in connection with said invention, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said invention in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said invention, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignors hereby request the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said invention and the Letters Patent to be issued thereon for the sole use and behalf of said assignee, its successors, legal representatives and assigns.

Date 5/18/01

Name of Inventor

NORA SARVETNICK

Date 6-29-01

Name of Inventor

HOWARD FOX