1	•	20					Ì
		09-30-2	2003		PΔ	FENT	
			1.4	OFFICE OF PUBL	IC BEN		
	RL-	1025620	90 90	SHE	ET	2003 SEP 29	
TO: Commissioner	of Patents and Trade	1 7 1 5 17	100	tached orig	inal docume	nt(s) or iden(ies)	FCTIO
<u>Submission Type</u>	<b>3</b>	02012	Convey	ance Typ			
⊠ New	,	4.290)	⊠ Ass	ignment	Sec	urity Agreement	
	ion (Non-Recordat	tion)		ense		nge of Name	
Document ID#:	of PTO Error		Me	ger			
Reel #:	Frame #:					ernment Agencies)	
☐ Corrective	Document						
Reel #:	Frame #:			Departm	ental File	☐ Secret File	
Conveying Party	(ies)	Mai	rk if additio	nal names	of conveying	parties attached	
						Execution Date  Month Day Year	_
Name	Joseph M. Jacobso	on .				09/23/2003	
Name	David Kong				] [	09/22/2003	
Name	Vikas Anant				] [	09/08/2003	
Name	Ashley Salomon		09/23/2003				
Name	Saul Griffith		09/22/2003	7			
Name	Wil DelHagen	ī Ē	09/08/2003	7			
Name	Vikrant Agnihotri				Ī Ē	08/11/2003	<b>-</b>
Desciples Dest			7				
Receiving Party		. L		dditional na	ames of rece	iving parties attac	hed
		g,				If document to be recorded	
Name (line 1)	Massachusetts Inst				!		
, ,		,				ignment and the party is not	
Name (line 2)					receiving domicile	party is not d in the United	
Name (line 2)					receiving domicile States, a	party is not d in the United in appointment of a	
Name (line 2)	77 Massachusetts				receiving domicile States, a domestic	party is not d in the United an appointment of a c representative is d. (Designation must	
Name (line 2)  Address (line 1)  Address (line 2)	77 Massachusetts	Avenue		2130	receiving domicile States, a domestic attached be a sep	party is not d in the United an appointment of a c representative is d. (Designation must arate document from	
Name (line 2)  Address (line 1)  Address (line 2)  Address (line 3)	77 Massachusetts A Cambridge City	Avenue  MA  State/Country	02	2139 o Code	receiving domicile States, a domestic	party is not d in the United an appointment of a c representative is d. (Designation must arate document from	
Name (line 2)  Address (line 1)  Address (line 2)  Address (line 3)  Domestic Repres	77 Massachusetts	Avenue  MA  State/Country	O2 Zi	Code	receiving domicile States, a domestic attached be a sep	p party is not d in the United an appointment of a c representative is d. (Designation must arate document from ent)	
Name (line 2)  Address (line 1)  Address (line 2)  Address (line 3)  Domestic Repres	77 Massachusetts Cambridge City Sentative Name a	Avenue  MA  State/Country	O2 Zi	Code	receiving domicile States, a domestic attached be a sep Assignm	p party is not d in the United an appointment of a c representative is d. (Designation must arate document from ent)	
Name (line 2)  Address (line 1)  Address (line 2)  Address (line 3)  Domestic Representation Name  Address (line 1)	77 Massachusetts Cambridge City sentative Name a	Avenue  MA  State/Country	O2 Zi	Code	receiving domicile States, a domestic attached be a sep Assignm	p party is not d in the United an appointment of a c representative is d. (Designation must arate document from ent)	
Name (line 2)  Address (line 1)  Address (line 2)  Address (line 3)  Domestic Representation Name  Address (line 1)  Address (line 2)	Cambridge City sentative Name a	Avenue  MA  State/Country	O2 Zi	Code	receiving domicile States, a domestic attached be a sep Assignm	p party is not d in the United an appointment of a c representative is d. (Designation must arate document from ent)	
Name (line 2)  Address (line 1)  Address (line 2)  Address (line 3)  Domestic Representation Name  Address (line 1)	Cambridge City sentative Name a	Avenue  MA  State/Country	O2 Zi	Code	receiving domicile States, a domestic attached be a sep Assignm	p party is not d in the United an appointment of a c representative is d. (Designation must arate document from ent)	
Name (line 2)  Address (line 1)  Address (line 2)  Address (line 3)  Domestic Representation Name  Address (line 1)  Address (line 2)	Cambridge City sentative Name a	Avenue  MA  State/Country	O2 Zi	Code	receiving domicile States, a domestic attached be a sep Assignm	p party is not d in the United an appointment of a c representative is d. (Designation must arate document from ent)	
Name (line 2)  Address (line 1)  Address (line 2)  Address (line 3)  Domestic Represe Name  Address (line 1)  Address (line 2)  Address (line 3)  Address (line 4)	Cambridge City Sentative Name a	Avenue  MA  State/Country	O: Zi Enter fo	o Code r the first R	receiving domicile States, a domestic attached be a sep Assignm	p party is not d in the United an appointment of a c representative is d. (Designation must arate document from ent)	
Name (line 2)  Address (line 1)  Address (line 3)  Address (line 3)  Domestic Represe Name  Address (line 1)  Address (line 2)  Address (line 3)	Cambridge City Sentative Name a	MA State/Country and Address	O: Zi Enter fo	o Code r the first R	receiving domicile States, a domestic attached be a sep Assignm	p party is not d in the United an appointment of a c representative is d. (Designation must arate document from ent)	

D. 4 TEALT

Page 2 PATENT									
Correspondent Name and Address Area Code and Telephone Number (617) 248-7000									
Area Code and Telephone Number (017) 248-7000									
Name Patent Administrator									
Address (line 1) Testa, Hurwitz & Thibeault, LLP									
Address (line 2) High Street Tower									
Address (line 3) 125 High Street									
Address (line 4) Boston, MA 02110									
Pages Enter the total number of pages of the attached conveyance document									
including any attachments.									
Application Number(s) or Patent Number(s)   Mark if additional numbers attached  Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).									
Patent Application Number(s) Patent Number(s)									
10/444,176									
Patent Cooperation Treaty (PCT)									
Patent Cooperation Treaty (PCT)  Enter PCT application number PCT PCT PCT									
only if a U.S. Application Number									
has not been assigned. PCT PCT PCT									
Number of Properties Enter the total number of properties involved. # 1									
Fee Amount Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00									
Method of Payment: Enclosed ⊠ Deposit Account ☐ Deposit Account									
(Enter for payment by deposit account or if additional fees can be charged to the account)									
Deposit Account Number # 20-0531									
Authorization to charge additional fees: Yes 🗵 No 🗌									
Statement and Signature  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.									
Natasha C. Us Sept. 26, 2003									
Name of Person Signing									

2687753-1

Mail documents to be recorded with required cover sheet(s) information to:

Mail Stop Assignment Recordation Services, Director of the US Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450



## **ASSIGNMENT**

WHEREAS, We, Joseph M. Jacobson, David Kong, Vikas Anant, Ashley Salomon, Saul Griffith, Will DelHagen and Vikrant Agnihotri, have invented one or more inventions described in an application for Letters Patent of the United States entitled:

## PATTERNING OF NANOSTRUCTURES

and identified by Serial No. 10/444,176 filed in the United States Patent Office on May 23, 2003; and

WHEREAS, Massachusetts Institute of Technology (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the Commonwealth of Massachusetts, and having a usual place of business at 77 Massachusetts Avenue, Cambridge, MA 02139 desires to acquire an interest therein, in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns, and legal representatives, our entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to the invention(s) described in said application, together with our entire right, title and interest in and to said application and such Letters Patent as may issue thereon or claim priority under United States law or international convention, including but not limited to non-provisionals, continuations, continuations-inpart, divisionals, reissues, reexaminations, extensions, and substitutions of said application or such Letters Patent, and any right, title and interest we may have in provisional applications to which said application claims priority; said invention(s), applications and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all of our rights arising under or pursuant to any and all United States laws and international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent, including but not limited to any cause(s) of action and damages accruing prior to this assignment. We hereby acknowledge that this assignment, being of our entire right, title and interest in and to said invention(s), carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all Letters Patent to ASSIGNEE in its own name as assignee of our entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention(s) to said ASSIGNEE, its successors, assigns, and legal representatives, but at its or their expense and charges, including the execution of non-provisional, substitution, continuation, continuation-in-part, divisional, reissue, reexamination, or corresponding foreign or international patent applications;

AND, we hereby further agree to provide statements or testimony in any interference or other proceeding in which said invention(s) or any application or patent directed thereto may be involved;

PATENT REEL: 014530 FRAME: 0695 Joint Assignment U.S. Serial No. 10/444,176 Page 2 of 4

AND, we hereby authorize ASSIGNEE or its attorneys or agents to insert the correct serial number and filing date into this assignment, if none is indicated on the date of our execution of this assignment;

AND, we hereby authorize and request the Director of the United States Patent and Trademark Office to issue such Letters Patent as shall be granted upon said application, or applications based thereon, to said ASSIGNEE, its successors, assigns, or legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals on the date(s) set forth below.

Inventor: Joseph M. Jacobson

State/Commonwealth of MASSAchuseH5)
County of Middlesex ) ss

Subscribed and sworn to before me, by the above-named Joseph M. Jacobson this 23 day of an annual subscribed. 2003.

Notary Public

My Commission Expires:

Inventor:

State/Commonwealth of Massachuseth)
County of Middlesex ) ss

Subscribed and sworn to before me, by the above-named David Kong this day of

Notary Public

My Commission Expires:

PATENT REEL: 014530 FRAME: 0696 Joint Assignment U.S. Serial No. 10/444,176 Page 3 of 4

	Inventor: Vikes Act Vikas Anant
State/Commonwealth of Massachusets) County of Middlesch ) ss	
Subscribed and sworn to before me, by 2003.	by the above-named Vikas Anant this 8 day of
	Swall Plannal
	Notary Public '
	My Commission Expires: $44 4009$
	Inventor: Ashley Salomon
State/Commonwealth of	
County of ) ss	
Subscribed and sworn to before me, by 2003.	Notary Public My Commission Expires: July 24, 2009
	Inventor: Saul Griffith
State/Commonwealth of Massachuse HS) County of Middle Sex ) ss	
Subscribed and sworn to before me, l	by the above-named Saul Griffith this 22 day of  Just Jlatman  Notary Public  My Commission Expires: July 24, 2009
	/ <i>(</i> )

PATENT REEL: 014530 FRAME: 0697 Joint Assignment
U.S. Serial No. 10/444,176
Page 4 of 4

Inventor: Will DelHagen

State/Commonwealth of Manachuetts)
County of M. daller. ) ss

Subscribed and sworn to before me, by the above-named Will DelHagen this gth day of

Notary Public
My Commission Expires: July 14th 200

Inventor: Vikrant Agnihotri

State/Commonwealth of Manachuetts)
County of Middle Sex ) ss

Subscribed and sworn to before me, by the above-named Vikrant Agnihotri this 11th day of

2664982-1

**RECORDED: 09/29/2003** 

PATENT REEL: 014530 FRAME: 0698

My Commission Expires: July 34, 2009