

FORM PTO-1595
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)
Docket No. SI0920030061US1 (IBMS.072PA)

RECORDATION FORM COVER SHEET
PATENTS ONLY

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Patent and Trademark Office

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1. Name of conveying party(ies):

Michael J. AZEVEDO
Hugh W. MCDEVITT
Carol SPANEL
Andrew D. WALLS

2. Name and address of receiving party(ies):

INTERNATIONAL BUSINESS MACHINES CORPORATION
New Orchard Road
Armonk, New York 10504

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other:

Execution Date(s): March 18, 2004; March 9, 2004; March 18, 2004; and March 18, 2004

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

10/807,075 filed March 23, 2004

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David W. Lynch
Address: CRAWFORD MAUNU PLLC
1270 Northland Drive
Suite 390
St. Paul, MN 55120

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40

- ☐ Enclosed
☒ Authorized to be charged to deposit account #50-0996 (IBMS.072PA)

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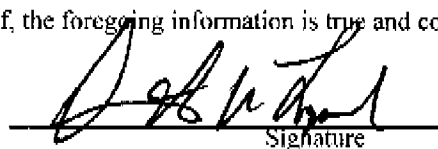
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9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David W. Lynch

Name of Person Signing


Signature

4/7/04
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Page 2

FORM PTO-1593

(Rev. 6-93)

OMB No. 0651-0071 (exp. 4/04)

Docket No. SI0220070061US1 (BMS.072PA)

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New Orchard Road
Armonk, New York 10504

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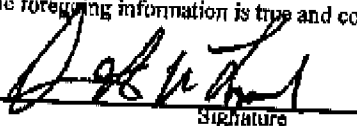
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CHI \$40.00 \$00996 10807075

ASSIGNMENT

WHEREAS, I/we, Michael J. Azevedo, residing at 1144 Sterling Gate Drive, San Jose, CA 95120; Hugh W. McDevitt, residing at 7239 Sleepy Creek Drive, San Jose, CA 95120; Carol Spanel residing at 6290 Camino Verde Drive, San Jose, CA 95119; and Andrew D. Walls residing at 7219 Via Bella, San Jose, CA 95139, made certain new and useful inventions and improvements for which I/we herewith file an application for letters Patent of the United States, which is entitled: **METHOD, APPARATUS AND PROGRAM STORAGE DEVICE FOR PROVIDING SELF-QUIESCED LOGIC TO HANDLE AN ERROR RECOVERY INSTRUCTION** (Atty. Docket No. SJO920030061US1).

AND INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation organized and existing under and by virtue of the laws of the State of New York, and having an office and place of business at New Orchard Road, Armonk, New York 10504 (hereinafter "Assignee") is desirous of acquiring the entire right, title and interest in and to said inventions, improvements and application and in and to the Letters Patent to be obtained therefor;

NOW THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar and other good and valuable considerations, the receipt and sufficiency whereof is hereby acknowledged, I/we have sold, assigned, and transferred, and by these presents do sell, assign and transfer unto said Assignee, its successors or assigns, the entire right, title and interest for all countries in and to all inventions and improvements disclosed in the aforesaid application, and in and to the application, all divisions, continuations, or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extensions of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent on the inventions and improvements, including an assignment of all rights under the provisions of the International Convention, and all Letters Patent of foreign countries which may be granted therefrom; and I/we do hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent for the aforesaid inventions and improvements to the Assignee as the assignee of the entire right, title and interest in and to the same, for the use of the Assignee, its successors and assigns.

AND, for the consideration aforesaid, I/we do hereby agree that I/we and my/our executors and legal representatives will make, execute and deliver any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said Assignee, its successors and representatives all facts known to me/us relating to said improvements and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable more effectually to secure to and vest in said Assignee, its

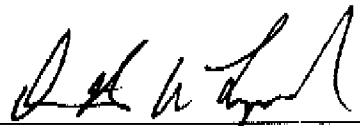
Correction of the records of the United States Patent and Trademark Office and issuance of a corrected assignment recordation cover sheet is respectfully solicited.

If appropriate, please charge any necessary fees to Deposit Account No. 50-0996 (IBMS.072PA).

Respectfully submitted,

CRAWFORD MAUNU PLLC
1270 Northland Drive
Suite 390
St. Paul, MN 55120
(651) 686-6633

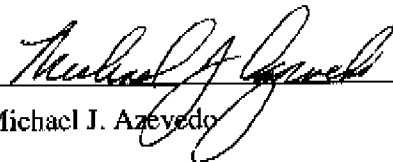
Dated: April 20, 2004

By: 
David W. Lynch
Reg. No. 36,204

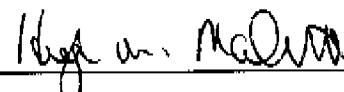
successors or assigns the entire right, title and interest in and to the improvements, inventions, applications, Letters Patent, rights, titles, benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

AND, furthermore I/we covenant and agree with said Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me/us and that full right to convey the same as herein expressed is possessed by me/us.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 18th day of March, 2004.


Michael J. Azevedo

IN TESTIMONY WHEREOF, I have hereunto set my hand this 9 day of March, 2004.


Hugh W. McDevitt

IN TESTIMONY WHEREOF, I have hereunto set my hand this 18th day of
March, 2004.

Carol Spanel
Carol Spanel

IN TESTIMONY WHEREOF, I have hereunto set my hand this 18th day of
March, 2004.

Andrew D. Walls
Andrew D. Walls