

10-01-2003



Docket No.: LUX-P016

RECORDATION COVER SHEET ASSIGNMENT

The Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

9-29-03

Dear Sir:
Please record the attached original documents or copy thereof.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1. Name of conveying party:

Inventor (s):

Press, David
Rattier, Maxime Jean

Execution Date:

08/28/0308/31/03

Serial No: 10/650,234

Filed: 8/27/2003

Group Art Unit: (Not yet assigned)

Examiner: (Not yet assigned)

2. Name and Address of

Receiving party: **Luxtera, Inc**
1819 Aston Avenue, Ste 102
Carlsbad, CA 92008

3. Nature of Conveyance: Assignment

4. Patent Application(s) / Patent Number(s): (not yet assigned)

New Patent Application Entitled:

“Active Waveguides for Optoelectronic Devices”

5. Name and address of party to whom correspondences concerning document should be mailed:

Name: **DENNIS S. FERNANDEZ, ESQ.**

Address: **FERNANDEZ & ASSOCIATES, LLP**
PATENT ATTORNEYS
PO BOX D
MENLO PARK, CA 94026-6204
PHONE: (650) 325-4999 FAX: (650) 325-1203
EMAIL: *iploft@iploft.com*

2003 SEP 29 PM 12:35
OPR/FINANCE

6. Total Number of applications and patents involved: ONE

7. Fee Calculation

Assignment Recordation Fee \$40.00

Total Fees (37 CFR 3.41): \$40.00 X Enclosed Authorized to be charged to Deposit Account

8. Deposit Account Number:

In case Applicant inadvertently miscalculated any required fee, the Commissioner is hereby authorized to charge the necessary additional amount associated with this communication or credit any overpayment to Deposit Account No: **500482**. A duplicate copy of this authorization is enclosed.

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

DENNIS S. FERNANDEZ, ESQ
Reg. No. 34,160

Date:

9/25/03

10/01/2003 LMEILLER 00000133 10650234

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01 FC:0021

PATENT
REEL: 014535 FRAME: 0321

ASSIGNMENT

Whereas, We, **LAWRENCE C. GUNN III, BING LI, THIERRY J. PINGUET, DAVID L. R. PRESS, MAXIME JEAN RATTIER**, having agreed to assign the below identified invention to **LUXTERA, INC ("COMPANY")**, a Delaware corporation having its principal place of business at 1819 Aston Avenue, Ste 102, Carlsbad, CA 92008 for good and valuable consideration, receipt of which is hereby acknowledged, I do hereby sell, assign, and transfer unto **LUXTERA**, its successors and assigns, the entire right, title, and interest, including the right of priority in, to and under an application for Letters Patents of the United States entitled:

Active Waveguides for Optoelectronic Devices

_____ filed herewith and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor; or

<u>X</u>	Serial No.:	<u>60/406,155</u>	filed on	<u>8/27/2002</u>	;
<u>X</u>	Serial No.:	<u>60/406,156</u>	filed on	<u>8/27/2002</u>	;
<u>X</u>	Serial No.:	<u>60/406,400</u>	filed on	<u>8/27/2002</u>	;
<u>X</u>	Serial No.:	<u>60/406,401</u>	filed on	<u>8/27/2002</u>	;

and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor;

And for the above consideration, we agree promptly upon request of **LUXTERA**, its successors or assigns, to execute and deliver without further compensation any power of attorney, assignment, application, whether original, continuation, divisional, or reissue, or other papers which may be necessary or desirable fully to secure to **LUXTERA**, its successors and assigns, the inventions described in said application and all patent rights therein, in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of interference proceedings involving said inventions and in the adjudication or reexamination of said Letters Patent.

Inventor's Signature: _____ Date: _____
LAWRENCE C. GUNN III

Inventor's Signature: _____ Date: _____
BING LI

Inventor's Signature: _____ Date: _____
THIERRY J. PINGUET

Inventor's Signature:  Date: 8/28/03
DAVID L. R. PRESS

Inventor's Signature: _____ Date: _____
MAXIME JEAN RATTIER

ASSIGNMENT

Whereas, We, LAWRENCE C. GUNN III, BING LI, THIERRY J. PINGUET, DAVID PRESS, MAXIME JEAN RATTIER, having agreed to assign the below identified invention to LUXTERA, INC ("COMPANY"), a Delaware corporation having its principal place of business at 1819 Aston Avenue, Ste 102, Carlsbad, CA 92008 for good and valuable consideration, receipt of which is hereby acknowledged, I do hereby sell, assign, and transfer unto LUXTERA, its successors and assigns, the entire right, title, and interest, including the right of priority in, to and under an application for Letters Patents of the United States entitled:

Active Waveguides for Optoelectronic Devices

_____ filed herewith and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor; or

<u>X</u>	Serial No.:	<u>60/406,155</u>	, filed on	<u>8/27/2002</u>	;
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<u>X</u>	Serial No.:	<u>60/406,401</u>	, filed on	<u>8/27/2002</u>	;

and the inventions set forth and described therein, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor;

And for the above consideration, we agree promptly upon request of LUXTERA, its successors or assigns, to execute and deliver without further compensation any power of attorney, assignment, application, whether original, continuation, divisional, or reissue, or other papers which may be necessary or desirable fully to secure to LUXTERA, its successors and assigns, the inventions described in said application and all patent rights therein, in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of interference proceedings involving said inventions and in the adjudication or reexamination of said Letters Patent.

Inventor's Signature: _____ Date: _____
LAWRENCE C. GUNN III

Inventor's Signature: _____ Date: _____
BING LI

Inventor's Signature: _____ Date: _____
THIERRY J. PINGUET

Inventor's Signature: _____ Date: _____
DAVID PRESS

Inventor's Signature: Maxime Jean Rattier Date: 8/31/2003
MAXIME JEAN RATTIER