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2003 SEP 26 AM ID: 31

10-02-2003



Attorney Docket Number: 618-1119-999

FINANCE SECTION

Mail Stop Assignment Recordation Services Director of the United States Patent and Trademark Office P.O. Box 1450

Alexandria, VA 22313-1450

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Please record the attached original documents or copy thereof.

10 100						
Name of conveying party(ies):	Name and address of receiving party(ies):					
Arthur R. HAMILTON, JR. and Christopher K. NELSON	Name: <u>BIC CORPORATION</u>					
Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No	Address: 500 BIC Drive Milford, Connecticut 06460					
3. Nature of conveyance:						
⊠ Assignment Merger						
Security Agreement Change of Name	Country (if other than USA):					
Other						
Execution Date: January 14, 2003 and January 15, 2003, respectively						
4. Application number(s) or patent number(s):						
If this document is being filed together with a new application, the execution	date of the application is:					
A. Patent Application No.(s) 29/173,476, filed December 31, 2002	B. Patent No.(s)					
Additional numbers attached	? □ Yes   ⊠ No					
5. Name and address of party to whom correspondence concerning document should be mailed:	Number of applications     and patents involved:     1					
PENNIE & EDMONDS LLP 1155 Avenue of the Americas	7. Total fee (37 CFR 3.41):\$ 40.00*					
New York, New York 10036-2711	8. Deposit account number:					
DO NOT USE THIS SPACE						
9. Statement and signature.						
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To the best of my knowledge and belief, the foregoing information is true document.	and correct and any attached copy is a true copy of the original  51,929					
Karen G. Horowitz 35,199	Vruvit September 26, 2003					
Name of Person Signing Reg. No. Signature	1 51,929  Wrawif September 26, 2003  Date  Date					
.,	Total number of pages including cover sheet: 3					

Mail documents to be recorded with required cover sheet information to: Mail Stop Assignment Recordation Services Director of the United States Patent and Trademark Office

P.O. Box 1450 Alexandria, VA 22313-1450

\* - previously paid on February 5, 2003

NY2: 1466270.1

**PATENT** 

EXPRESS MAIL NO.: EL 501 745 199 US

Attorney Docket Number 618-1119-999

### TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS Box Assignment

2-5-03

Washington, DC 20231

Please record the attached original documents or copy thereof.						
1. Name of conveying party(ies):	Name and address of receiving party(ies):					
Arthur R. HAMILTON, JR. and Christopher K. NELSON	Name: BIC CORPORATION					
Additional name(s) of conveying party(ies) attached? □ Yes 🖾 No	Address:500 Bic Drive, Milford, Connecticut 06460					
3. Nature of conveyance:	Country (if other than USA):					
■ Assignment     □ Merger						
□ Security Agreement □ Change of Name						
□ Other						
Execution Date: <u>January 14, 2003 and January 15, 2003, respectively</u>						
4. Application number(s) or patent number(s):						
If this document is being filed together with a new application, the execution	on date of the application is					
A. Patent Application No.(s) 27/173,476, filed December 31, 2002	B. Patent No.(s)					
Additional numbers attached? □ Yes ☑ No						
Name and address of party to whom correspondence concerning document should be mailed:	Number of applications     and patents involved:					
PENNIE & EDMONDS LLP 1155 Avenue of the Americas New York, NY 10036	7. Total fee (37 CFR 3.41):\$ 40.00  Please charge to the deposit account listed in Section 8.					
	8. Deposit account number: 16-1150					
DO NOT USE THIS SPACE						
9. Statement and signature.						
To the best of my knowledge and belief, the foregoin is a true copy of the original document.	g information is true and correct and any attached copy					
Karen G. Horowitz (Reg. No. 35,199)	S Great February 5, 2003					
Name of Person Signing Reg. No. Signature	Date					
Tota	al number of pages including cover sheet: 3					
Commissioner of Patents &	n required cover sheet information to: Trademarks, Box Assignment n, D.C. 20231					

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# Docketing Department on & estember 16, 2003

Office Actions	Filing Receipts	Assignments	Issue Notification	Other
9818-033-99	9418-007-99	7872-104999		
618-1079-99	11134-011-8	8 8743-007-999		
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#### ASSIGNMENT

WHEREAS, WE, Arthur R. HAMILTON, Jr., residing at 209 Marcdale Blvd., Indian Rocks Beach, Florida 33785, citizen of the United States, and Christopher K. NELSON, residing at 1864 Brae Moor Drive, Dunedin, Florida 34698, citizen of Great Britain, ASSIGNORS, are the inventors of the invention in WRITING INSTRUMENT for which we have executed an application for a Patent of the United States

□ whic	h is executed on		even date herewith or		
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- which was filed on , Application No.

we hereby authorize and request our attorney, Karen G. Horowitz, of Pennie & Edmonds, LLP, 1155 Avenue of the Americas, New York, NY 10036-2711, to insert here in parentheses (Application number 29/173.476, filed Dec. 31. 2002 ) the filing date and application number of said application when known.

and WHEREAS, BIC Corporation, ASSIGNEE is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

, 200**2**, before me, **AUL** TEVIM, Notary Public, personally appeared Arthur R. Hamiliton, Jr., personally known to me on the basis/of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of

which the person(s) acted, executed the instrument.

TNESS my hand and official seal

RITA A. FOWLER Notary Public - State of Florida My Commission Expires Jun 25, 2006 Commission # DD 095504 Bonded 🕒 Mational Notary Assn.

> DC1 - 335803.1 PATENT

Date 1/15/03 , 2002 Christopher K. NELSON L.S
State of Florida )
County of Pirilles ) SS.:
On farmer 15, 2007, before me, when the basis of satisfactory evidence to be the personally appeared Christopher K. NELSON, personally known to me on the basis of satisfactory evidence to be the
personally appeared Christopher K. NELSON, personally known to me on the basis of satisfactory evidence to be the
person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same
in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upo
behalf of which the person(s) acted, executed the instrument.

RITA A. FOWLER
Notary Public - State of Florida
My Commission Expires Jun 25, 2008
Commission # DD 095504

Bonder By Mational Notary Assn.

WITNESS my hand and official seal

**PATENT** DC1 - 335803.1

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Express Mail No.: EV326324642US

## FINANCE SECTION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Hamilton et al. Confirmation No.: 3989

Serial No.: 29/173,476 Art Unit: 2913

Filed: December 31, 2002 Examiner: Martha K. Holtje

For: WRITING INSTRUMENT Attorney Docket No: 618-1119-999

## **SUBMISSION OF CORRECTED ASSIGNMENT DOCUMENTS**

Mail Stop Assignment Recordation Service Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notice Of Non-Recordation Of Document dated June 17, 2003 ("Notice"), identifying the document as Document ID. No. 102363342, submitted herewith are the following documents:

- 1. a corrected Recordation Form Cover Sheet with the correct patent application number, as required in the Notice;
  - 2. the original Assignment executed by the inventors;
- 3. a copy of the Notice received by Pennie & Edmonds LLP on September 16, 2003; and
- 4. a copy of Pennie & Edmonds LLP log book of papers received from the United States Patent and Trademark Office ("USPTO") on September 16, 2003.

The assignment was originally filed in the USPTO for recordation on February 5, 2003. Pursuant to the Notice "[t]he original date of filing of this assignment document will be maintained if resubmitted with the appropriate correction(s) within 30 days from the date of this Notice as outlined under 37 C.F.R. 3.51." Although the Notice was dated June 17, 2003, the Notice was received by applicants on September 16, 2003. A copy of the log book of applicant's attorney, evidencing the date of receipt of the Notice, is

- 1 -

NY2: 1466292.1

enclosed. It is noted that receipt of Notices Of Recordation Of Assignment is logged under "Trademarks" even though it is clear to all that such documents pertain to patents and not trademarks. Under the circumstances, applicants would not be able to resubmit the assignment documents within 30 days from the date of the Notice.

Accordingly, it is respectfully requested that the 30-day period for the resubmission of the corrected assignment documents run from the date of receipt by applicants of the Notice, and that the original date of the filing of the Assignment be maintained since the corrected assignment documents are resubmitted within thirty (30) days from receipt of the Notice.

No fee is believed to be due for this response since the fee was previously paid on February 5, 2003. Should any fee be required, please charge such fee to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Respectfully submitted,

September 26, 2003

4 Savid Al Z 51,929

Karen G. Horowitz

(Reg. No.)

by: David D. Lee Rey No 51,929

PENNIE & EDMONDS LLP 1155 Avenue of the Americas New York, New York 10036-2711

(212) 790-9090

Attorneys for Applicant

**Enclosures** 

Date:

- 2 -

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HOrowitz Lu
REFERS JO TO

JUNE 17, 2003

PENNIE & EDMONDS LLP 1155 AVENUE OF THE AMERICA NEW YORK, NY 10036

SEP 1 6 2003 Under Secretary of Commerce For Intellectual Property and Director of the United States Patent and Trademark Office Pannie & Edmonds

O.K. for filling Washington, DC 20231



\*102363342A\*

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF NON-RECORDATION OF DOCUMENT

DOCUMENT ID NO.: 102363342

THE ENCLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABOVE.

THE ORIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAMP WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 CFR 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 1.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

1. THE COVER SHEET SUBMITTED FOR RECORDING DOES NOT ADEQUATELY IDENTIFY THE PROPERTY NUMBER(S). THE APPLICATION NUMBER AND SERIES CODE OR THE SERIAL NUMBER AND FILING DATE IS REQUIRED.

LAZENA MARTIN, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

RECORDED: 02/05/2003

PATENT REEL: 014537 FRAME: 0292