

Form PTO-1595 (Rev. 03-01) OMB No. 0651-0027 (exp. 5/31/2002)		Correct <div style="font-size: 2em; margin-top: -10px;">C</div>		10-02-2003 		U.S. Department of Commerce U.S. Patent and Trademark Office	
Tab settings >>>▼		102565153 <div style="font-size: 1.5em; margin-top: -10px;">9.30.03</div>		original documents or copy thereof ▼		▼	
To the Honorable Commissioner of Patents				1. Name of conveying party(ies): C. Bruce Ackman			
Additional name(s) of conveying parties attached? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No.				2. Name and address of receiving party(ies): Name: <u>Cellegy Canada Inc. (formerly Vaxis Therapeutics Corporation)</u> Internal Address: _____ Street Address: <u>116 Barrie Street, Suite 1606</u> City: <u>Kingston, Ontario, K7L 3N6 Canada</u> Additional names and addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input checked="" type="checkbox"/> Change of Name <input type="checkbox"/> Other: _____				Execution Date: <u>11/22/01 and 11/23/01</u>			
4. Application number(s) or patent number(s). If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No(s): <u>09/791,127</u> B. Patent No(s): <u>6,586,478</u> Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No							
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Joseph R. Snyder</u> <u>TOWNSEND AND TOWNSEND AND CREW LLP</u> <u>Two Embarcadero Center, 8th Floor</u> <u>San Francisco, California 94111-3834</u> <u>(415) 576-0200</u>				6. Total number of applications and patents involved <u>1</u> 7. Total fee (37 CFR 3.41): <u>\$406</u> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: <u>20-1430</u> (Attach duplicate copy of this page if paying by deposit account)			
DO NOT USE THIS SPACE							
9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.</i> <div style="display: flex; justify-content: space-between; align-items: flex-end; margin-top: 20px;"> <div style="width: 30%;"> <u>Joseph R. Snyder</u> Name of Person Signing Atty. Reg. No. 39,381 </div> <div style="width: 30%; text-align: center;"> Signature </div> <div style="width: 30%; text-align: right;"> <u>9/22/03</u> Date </div> </div>							
Total number of pages including cover sheet, attachments and document <u>8</u>							

Mail documents to be recorded with required cover to:
 Commissioner of Patents and Trademarks, Box Assignments
 Washington, D.C. 20231

10/01/2003 GTON11 00000163 201430 09791127
 01 FC:8021 40.00 DA

1. Additional name(s) of conveying party(ies):
(Continued from Page 1)

Michael A. Adams
Jeremy P.W. Heaton
Jodan D. Ratz

2. Additional name(s) and address(es) of receiving party(ies):
(Continued from Page 1)

3. Additional application number(s) or patent number(s):
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

59038973 v1

03-19-2003

Attorney Docket No. 10692V-000620US

Form PTO-1595

(Rev. 03-01)

OMB No. 0651-0027 (exp. 5/31/2002)

Tab settings ⇨ ⇨ ⇨



102393654

heet

U.S. Department of Commerce
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

C. Bruce Ackman

3-17-03

Additional name(s) of conveying parties attached? ☒ Yes ☐ No.

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other: _____

Execution Date: 11/22/01 and 11/23/01

2. Name and address of receiving party(ies)

Name: Vaxis Therapeutics Corporation

Internal Address: _____

Street Address: 116 Barrie Street, Suite 1606City: Kingston, Ontario, K7L 3N6 CanadaAdditional names and addresses attached? ☒ Yes ☐ No

4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No(s): 09/791,127

B. Patent No(s): _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Joseph R. Snyder
 TOWNSEND AND TOWNSEND AND CREW LLP
 Two Embarcadero Center, 8th Floor
 San Francisco, California 94111-3834
 (415) 576-0200

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): _____ \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: 20-1430

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.

Joseph R. Snyder

Name of Person Signing
 Atty. Reg. No. 39,381

Signature

March 15, 2002
 Date

Total number of pages including cover sheet, attachments and document 810

Mail documents to be recorded with required cover to:
 Commissioner of Patents and Trademarks, Box Assignments
 Washington, D.C. 20231

03/18/2003 LINELLER 00000300 201430 09791127

01 FC:0021

40.00 CH

PATENT
 REEL: 014538 FRAME: 0394

1. Additional name(s) of conveying party(ies):
(Continued from Page 1)

Michael A. Adams
Jeremy P.W. Heaton
Jodan D. Ratz

2. Additional name(s) and address(es) of receiving party(ies):
(Continued from Page 1)

3. Additional application number(s) or patent number(s):
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

WC 9038973 v1

ASSIGNMENT

We, C. Bruce Ackman, Michael A. Adams, Jeremy P.W. Heaton, and Jordan D. Ratz, whose full post office addresses are 22 Fox Run Road, Medway, Massachusetts, 2053; 341 Renda Street, Kingston, Ontario, K7M 5X9; 1818 MacAlpine Road, R.R. # 3, Gananonque, Ontario, K0H 2H0; and 44 Westmoreland Road, Kingston, Ontario, K7M 1J5 respectively, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, do hereby sell and assign to Vaxis Therapeutics Corporation, whose full post office address is 116 Barrie Street, Suite 1606 Kingston, Ontario, K7L 3N6, Canada (hereinafter Vaxis) all our rights, title and interest, including priority rights, in and to our invention relating to **Methods and Compositions for Improving Sleep** as set forth in the application for Letters Patent of the United States of America, Serial No. 09/791,127, and as set forth in International Patent Application No. PCT/CA01/00207, filed February 22, 2001, entitled **Method and Compositions for Improving Sleep**, in and for the United States of America, Canada, and all countries foreign thereto in its name or in any name at its election.

We hereby covenant and agree that we will execute or procure any further necessary documents of the title to said invention and Letters Patents; and that we will, at any time, upon the request and at the expense of Vaxis, execute and deliver any and all papers that may be necessary or desirable to perfect the title to said invention or any Letters Patents which may be granted therefor, to Vaxis; we will, at any time, upon the request and at the expense of Vaxis, testify in any legal proceedings, sign all lawful papers, execute any additional, divisional, substituting, continuing or reissue applications for patents for said invention, or any part or parts thereof, make all rightful oaths and generally do all lawful acts to aid Vaxis, its successors, assigns or other legal representatives to obtain and enforce patent protection in said invention in all countries, without further compensation, but at the expense of Vaxis, its successors, assigns or other legal representatives.

And we hereby authorize and request the Commissioner of Patents or the Comptroller (as the case may be) to issue any and all Letters Patent for said invention or resulting from said application, or from any division, reissue or extension thereof, to Vaxis as our sole assignee.

In testimony whereof I have hereunto set my hand:

this 23rd day of NOVEMBER 2001


C. Bruce Ackman

In testimony where of I have hereunto set my hand:

this ____ day of _____ 2001

Michael A. Adams

In testimony where of I have hereunto set my hand:

this ____ day of _____ 2001

Jeremy P.W. Heston

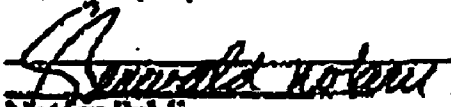
In testimony whereof I have hereunto set my hand:

this ____ day of _____ 2001

Jordan D. Ratz

CERTIFICATE

On this 23rd day of November 2001 appeared before me in person the above
C. Bruce Ackman and acknowledged the above to be his signature, and that he signed and
delivered the above instrument as his voluntary act and deed for the purposes herein set forth.


Notary Public
Province of Quebec

City of Kingston)
Province of Ontario)

On this _____ day of November 2001 appeared before me in person the above
Michael A. Adams and acknowledged the above to be his signature, and that he signed and
delivered the above instrument as his voluntary act and deed for the purposes herein set forth.

Stephen J. Scribner
Notary Public
Province of Ontario

City of Kingston)
Province of Ontario)

On this _____ day of November 2001 appeared before me in person the above
Jeremy P. W. Heaton and acknowledged the above to be his signature, and that he signed and
delivered the above instrument as his voluntary act and deed for the purposes herein set forth.

Stephen J. Scribner
Notary Public
Province of Ontario

In testimony whereof I have hereunto set my hand:

this _____ day of _____ 2001

C. Bruce Ackman

In testimony where of I have hereunto set my hand:

this 22 day of November 2001

Michael A. Adams

In testimony where of I have hereunto set my hand:

this 27 day of Nov 2001

Jeremy P. W. Heaton

In testimony whereof I have hereunto set my hand:

this 22nd day of November 2001

Jordan D. Ratz

CERTIFICATE

On this _____ day of November 2001 appeared before me in person the above
C. Bruce Ackman and acknowledged the above to be his signature, and that he signed and
delivered the above instrument as his voluntary act and deed for the purposes herein set forth.

Notary Public
Province of Quebec

City of Kingston)
Province of Ontario)

On this 22 day of November 2001 appeared before me in person the above
Michael A. Adams and acknowledged the above to be his signature, and that he signed and
delivered the above instrument as his voluntary act and deed for the purposes herein set forth.



Stephen J. Scribner
Notary Public
Province of Ontario

Stephen Joseph Scribner, Notary Public,
Frontenac Board of Management, limited to the
attestation of instruments and the taking of affidavits,
for PARTEQ R & D Innovations.
Expires January 9, 2004

City of Kingston)
Province of Ontario)

On this 22 day of November 2001 appeared before me in person the above
Jeremy P. W. Heaton and acknowledged the above to be his signature, and that he signed and
delivered the above instrument as his voluntary act and deed for the purposes herein set forth.



Stephen J. Scribner
Notary Public
Province of Ontario

Stephen Joseph Scribner, Notary Public,
Frontenac Board of Management, limited to the
attestation of instruments and the taking of affidavits,
for PARTEQ R & D Innovations.
Expires January 9, 2004

City of Kingston)
Province of Ontario)

On this 22 day of November 2001 appeared before me in person the above
Jodan D. Ratz and acknowledged the above to be his signature, and that he signed and delivered
the above instrument as his voluntary act and deed for the purposes herein set forth.



Stephen J. Scribner
Notary Public
Province of Ontario

Stephen Joseph Scribner, Notary Public,
Frontenac Board of Management, limited to the
attestation of instruments and the taking of affidavits,
for PARTEQ R & D Innovations.
Expires January 9, 2004

CERTIFICATE

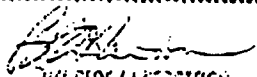
This is to certify that these articles
are effective on

CERTIFICAT

Ceci certifie que les présents statuts
entrent en vigueur le

1203179

JANUARY 03 JANVIER, 2002



(4)

Business Corporations Act / Loi sur les sociétés par actions

**ARTICLES OF AMENDMENT
STATUTS DE MODIFICATION**

1. The name of the corporation is:

Dénomination sociale de la société:

V	A	X	I	S		T	H	E	R	A	P	E	U	T	I	C	S		C	O	R	P	O	R	A	T	I	O	N

2. The name of the corporation is changed to (if applicable):

Nouvelle dénomination sociale de la société (s'il y a lieu):

C	E	L	L	E	G	Y		C	A	N	A	D	A		I	N	C												

3. Date of incorporation/amalgamation:

Date de la constitution ou de la fusion:

1997/03/12

(Year, Month, Day)

(année, mois, jour)

4. The articles of the corporation are amended as follows:

Les statuts de la société sont modifiés de la façon suivante.

To change the name of the Corporation to Cellegy Canada Inc.

5. The amendment has been duly authorized as required by Sections 168 & 170 (as applicable) of the Business Corporations Act.

La modification a été dûment autorisée conformément à articles 168 et 170 (selon le cas) de la Loi sur les sociétés par actions.

6. The resolution authorizing the amendment was approved by the shareholders/directors (as applicable) of the corporation on

La actionnaires ou les administrateurs (selon le cas) de société ont approuvé la résolution autorisant la modification

~~2001/11/27~~
(Year, Month, Day)
(année, mois, jour)

2001/11/27

These articles are signed in duplicate.

Les présents statuts sont signés en double exemplaire.

VAXIS THERAPEUTICS CORPORATION

(Name of Corporation)
(Dénomination sociale de la société)

By/Par:

[Signature]
(Signature)
(Signature)

President

(Description of Office)
(Fonction)