

FORM PTO-1595

1-31-92

Docket No.: **CJL-0002**

10-06-2003



S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

2003 OCT -2 PM 3:07

RECORDATION FORM COVER SHEET

PATENTS ONLY

FINANCE SECTION

ID NO:

To The Commissioner for Patents. Please record the attached original document(s) or copy thereof.

1. Name of conveying party(ies):

**Isa Odidi and Amina Odidi**

2. Name and address of receiving party(ies):

Name: **3489469 Canada Inc.**

Internal Address:

Street Address: **405 Britannia Road East, Suite 106**City: **Mississauga**State: **Ontario L4Z 3E7 CANADA**

Zip:

Additional name(s) of conveying party(ies) attached?

☐ YES ☒ NO

3. Nature of Conveyance:

☒ Assignment ☐ Merger☐ Name Change ☐ Security Agreement☐ Other: \_\_\_\_\_

Additional names (s) &amp; address attached?

☐ YES ☒ NOExecution date: **January 2, 2003**

4. Application number(s) or patent number(s):

A. Patent Application No(s). **09/765,783** Filed: **January 19, 2001**

B. Patent No(s).

If this document is being filed together with a new application, the execution date of the application is:

Additional numbers attached? ☒ YES ☐ NO

5. Name and address of party to whom correspondence concerning documents should be mailed:

**Licata & Tyrrell P.C.****66 E. Main Street****Marlton, New Jersey 08053**6. Total number of applications and patents involved: See **Attached Schedule A**

7. Total fee (37 CFR 3.41): \$40.00

☐ Enclosed☒ Authorized to be charged to deposit account.8. Deposit Account number: **50-1619**

(Attach duplicate copy of this page if paying by deposit account.)

**DO NOT USE THIS SPACE**

9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

**Kathleen A. Tyrrell, Reg. No. 38,350**

Name of Person Signing

Signature

**September 30, 2003**Total number of pages comprising cover sheet, attachments, and document: **Eight**

Mail documents to be recorded with required cover sheet information to:

10/03/2003 LNUELLER 00000093 501619 09765783

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Commissioner for Patents

Box Assignments

Alexandria, VA 22313-1450

PATENT

REEL: 014539 FRAME: 0983

## **SCHEDULE A**

### **Patent / Patent Application**

### **Filing / Issue Date**

U.S. Patent No: 6,296,876

October 2, 2001

U.S. Patent No: 6,479,075

November 12, 2002

U.S. Patent Application Sr. No: 09/218,041

December 22, 1998

U.S. Patent Application Sr. No: 09/166,365

October 5, 1998

U.S. Patent Application Sr. No: 09/169,409

October 9, 1998

U.S. Patent Application Sr. No: 09/845,497

May 1, 2001

U.S. Patent Application Sr. No: 09/947,464

September 7, 2001

PCT/CA02/01360

September 5, 2002

PCT/CA02/00054

January 17, 2002

U.S. Patent Application Sr. No: 09/765,783

January 18, 2001

U.S. Patent Application Sr. No: 09/845,496

May 1, 2001

U.S. Patent Application Sr. No: 09/166,701

October 5, 1998

U.S. Patent Application Sr. No: 09/226,139

January 7, 1998

## **PATENT ASSIGNMENT WORLD-WIDE**

WHEREAS, we **ISA ODIDI AND AMINA ODIDI** of **200 Walmer Road, Toronto, Ontario M5R 3R7, Canada**, citizens of Canada, have made inventions relating to new and useful improvements as fully set forth and described in Letters Patent and patent applications listed in **Schedule A**, appended hereto; and

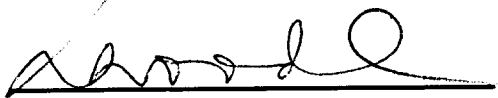
WHEREAS, **3489469 Canada Inc. (formerly known as INTELLIPHARMACEUTICS INC.)**, a company organized and existing under the laws of the Province of **Ontario**, having a place of business at **405 Britannia Road East, Suite 106, Mississauga, Ontario L4Z 3E7, Canada**, is desirous of acquiring the full and exclusive right, title and interest in and to said Letters Patent and patent applications listed in **Schedule A** inclusive of any and all priority rights derived therefrom, in and to the Letters Patent and patent applications, and in and to all Letters Patent issuing in the United States, Canada and foreign countries, to be granted for the inventions;

NOW, THEREFORE, for good and valuable consideration, the receipt whereof is hereby acknowledged, we do hereby assign, transfer, and set over and do hereby confirm our assignment, transfer and setting over unto the said **3489469 Canada Inc.**, its successors and assigns, the full and exclusive right, title and interest in and to the Letters Patent and patent applications set out in **Schedule A**, inclusive of any and all priority rights derived therefrom, and in and to the inventions disclosed in said Letters Patent and patent applications and in and to all Letters Patent and reissues which may be granted upon said applications, and in and to all Letters Patent which may be issued upon any substitutes, divisions, or continuations of said applications, and in and to any and all corresponding foreign applications for Letters Patent which may be granted for the inventions in foreign countries; the same to be held and enjoyed by **3489469 Canada Inc.** for its own use and behoof, and for the use and behoof of its successors and assigns, to the full end of the term or terms for which said Letters Patent and reissues thereof may be granted as fully and entirely as the same would have been held and enjoyed by us had this assignment not been made;

AND we hereby agree to execute, upon request, any and all further papers which may be necessary or desirable to enable **3489469 Canada Inc.**, its successors and assigns, to file and prosecute said patent applications and all substitutes, divisions, or continuations thereof, and any and all reissues of the Letters Patent granted upon said applications; any substitutes, divisions, or continuations thereof, and any and all applications for foreign Letters Patent on said inventions; and we further agree to execute any and all further papers which may be necessary or desirable to vest or perfect the title of **3489469 Canada Inc.**, its successors and assigns, in and to said applications and the inventions therein disclosed, and in and to any and all Letters Patent and reissues thereof which may be granted upon said applications or foreign applications.

AND we hereby authorize and request The Commissioner of Patents to issue each and every Letters Patent to be granted and any reissue of said Letters Patent, to the said **3489469 Canada Inc.**, its successors and assigns, as the assignee of the entire right, title and interest therein, in accordance with this assignment.

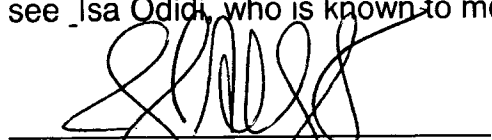
WITNESS my hand at Toronto as at this 2nd day of JANUARY, 2003



Isa Odidi

STATEMENT BY WITNESS

I, John N. Allport, whose full post office address is 1230 Lakeshore Road, West, Oakville, Ontario, Canada L6L 5J3, was personally present and did see Isa Odidi, who is known to me, execute the above assignment.



Signed: John N. Allport

WITNESS my hand at Toronto as at this 2<sup>nd</sup> day of JANUARY , 2003

Amina Odidi

Amina Odidi

STATEMENT BY WITNESS

I, John N. Allport, whose full post office address is 1230 Lakeshore Road, West , Oakville, Ontario, Canada L6L 5J3, was personally present and did see Amina Odidi, who is known to me, execute the above assignment.

John N. Allport

Signed: John N. Allport

CANADA

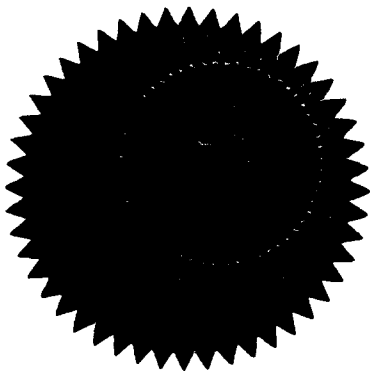
PROVINCE OF  
ONTARIO


) TO ALL WHOM THESE PRESENTS  
)  
) MAY COME, BE SEEN OR KNOWN  
)  
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TO WIT:

I, CHRISTOPHER J. BARDSLEY, a Notary Public in and for the Province of Ontario, by Royal Authority duly appointed, residing at the City of Toronto, in said Province, DO CERTIFY AND ATTEST that the paper-writing hereto annexed is a true copy of the Certificate and Articles of Amendment dated November 29, 2002, for IntelliPharmaCeutics Inc. wherein its name was changed to 3489469 Canada Inc., the said copy having been compared by me with the said original documents, an act whereof being requested I have granted under my Notarial Form and Seal of Office to serve and avail as occasion shall or may require.

IN TESTIMONY WHEREOF I have hereto subscribed my name and affixed my Notarial Seal of Office at the City of Toronto, Province of Ontario, this 9<sup>th</sup> day of December, 2002.



  
A Notary Public in and for the  
Province of Ontario



Industry Canada

Industrie Canada

**Certificate  
of Amendment**

**Canada Business  
Corporations Act**

**Certificat  
de modification**

**Loi canadienne sur  
les sociétés par actions**

3489469 CANADA INC.

348946-9

Name of corporation-Dénomination de la société

Corporation number-Numéro de la société

I hereby certify that the articles of the  
above-named corporation were amended:

Je certifie que les statuts de la société  
susmentionnée ont été modifiés:

- a) under section 13 of the *Canada Business Corporations Act* in accordance with the attached notice;
- b) under section 27 of the *Canada Business Corporations Act* as set out in the attached articles of amendment designating a series of shares;
- c) under section 179 of the *Canada Business Corporations Act* as set out in the attached articles of amendment;
- d) under section 191 of the *Canada Business Corporations Act* as set out in the attached articles of reorganization;

- ☐ a) en vertu de l'article 13 de la *Loi canadienne sur les sociétés par actions*, conformément à l'avis ci-joint;
- ☐ b) en vertu de l'article 27 de la *Loi canadienne sur les sociétés par actions*, tel qu'il est indiqué dans les clauses modificatrices ci-jointes désignant une série d'actions;
- ☒ c) en vertu de l'article 179 de la *Loi canadienne sur les sociétés par actions*, tel qu'il est indiqué dans les clauses modificatrices ci-jointes;
- ☐ d) en vertu de l'article 191 de la *Loi canadienne sur les sociétés par actions*, tel qu'il est indiqué dans les clauses de réorganisation ci-jointes;

Director - Directeur

November 29, 2002 / le 29 novembre 2002

Date of Amendment - Date de modification

Canada



Industry Canada

Industrie Canada

Canada Business  
Corporations ActLoi canadienne sur  
les sociétés par actions

FORM 4

ARTICLES OF AMENDMENT  
(SECTION 27 OR 177)

FORMULE 4

CLAUSES MODIFICATRICES  
(ARTICLES 27 OU 177)

1 - Name of the Corporation - Dénomination sociale de la société

INTELLIPHARMACEUTICS INC.

2 - Corporation No. - N° de la société

348946-9

3 - The articles of the above-named corporation are amended as follows:

Les statuts de la société mentionnée ci-dessus sont modifiés de la  
façon suivante :The name of the Corporation is changed to **3489469 CANADA INC.**Date  
NOVEMBER 19, 2002

Signature

4 - Capacity of - En qualité de  
CHAIRMAN

For Departmental Use Only

À l'usage du ministère seulement

Filed NOV. 29 2002

Déposée

C 3059 (2001/11)

Printed Name - Nom en lettres moulées

ISA ODIDI

Canada

NSG 11/2001

PATENT

RECORDED: 10/02/2003

REEL: 014539 FRAME: 0990