

ASSIGNMENT

10-03-2003

SHEET Patents Only



102565706

To: Commissioner for Patents

Date: September 30, 2003  
Attorney Docket No. 5577-251

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

10-2-03

- a. Luis Benicio Casco-Arias
- b. Pratik Gupta
- c. David Gerard Duehr-McLaren
- d. Andrew David Record

2. Name and address of receiving party(ies):

International Business Machines Corporation  
Armonk, New York 10504Additional name(s) of conveying party(ies) attached? Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment
- ☐ Merger
- ☐ Security Agreement
- ☐ Change of Name
- ☐ Other

Execution Date: a. 9/24/03; b. 9/24/03; c. 9/25/03;  
d. 9/26/03Additional name(s) & address(es) attached? Yes ☒ No

4. Application Serial No. \_\_\_\_\_ Patent No. \_\_\_\_\_

If this document is being filed together with a new application, the execution date of the application  
is: a. 9/24/03; b. 9/24/03; c. 9/25/03; d. 9/26/03Additional numbers attached? Yes ☒ No5. Name and address of party to whom correspondence  
concerning document should be mailed:David K. Purks  
Myers Bigel Sibley & Sajovec  
P. O. Box 37428  
Raleigh NC 27627

6. Total number of applications and patents involved: \_\_\_\_\_

7. Total fee (37 CFR 3.41) \$40.00  
☐ Enclosed  
☒ Authorized to be charged to deposit account

8. Deposit account number: 09-0461

DO NOT USE THIS SPACE

9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy  
of the original document.*

David K. Purks, Reg. 40,133

Name of Person Signing

Signature

September 30, 2003

Date

Total number of pages including cover sheet, attachments and document: 6

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PATENT  
REEL: 014540 FRAME: 0913

## ASSIGNMENT

THIS ASSIGNMENT, made by us, **Luis Benicio Casco-Arias**, having dual citizenship in Spain and Panama, residing at 4801 Whispering Valley Drive, Austin, Texas 78727; **Pratik Gupta**, a citizen of the United States of America, residing at 213 Forest Brook Drive, Cary, North Carolina 27519, **David Gerard Kuehr-McLaren**, citizen of the United States of America, residing at 205 S. Mason Street, Apex, North Carolina 27502 and **Andrew David Record**, citizen of the United States of America, residing at 1802 Bradford Circle, Durham, NC 27713;

WITNESSETH: That,

WHEREAS, we are the joint inventors of certain new and useful improvements in **METHODS, SYSTEMS AND COMPUTER PROGRAM PRODUCTS THAT CENTRALLY MANAGE PASSWORD POLICIES**, for which an application for United States Letters Patent was filed on June 6, 2003 under U.S. Patent Application No. 10/454,848; and

WHEREAS, International Business Machines Corporation (hereinafter referred to as "IBM"), a New York corporation having a principal place of business at Armonk, New York 10504, hereinafter referred to as assignee, is desirous of acquiring the entire right, title, and interest in and to said invention as described in said application, and in and to any and all Letters Patent which shall be granted therefor in the United States of America and all foreign countries;

NOW, THEREFORE, To Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we have sold and by these presents do hereby sell, assign, transfer, and convey unto the said assignee, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any and all continuations, continuations-in-part, or divisions thereof, and in and to any and all Letters Patent of the United States of America and all foreign countries or reissues thereof which may be granted therefor or thereon, for the full end of the term for which said Letters Patent may be granted, together with the right to claim the priority of said application in all foreign countries in accordance with the International Convention, the same to be held and enjoyed by said assignee, its successors and assigns, as

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fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made.

We hereby request that said Letters Patent be issued in accordance with this assignment.

We further covenant and agree that, at the time of the execution and delivery of these presents, we possess full title to the invention and application above-mentioned, and that we have the unencumbered right and authority to make this assignment.

We further covenant and agree to bind our heirs, legal representatives, and assigns promptly to communicate to said assignee or its representatives any facts known to us relating to said invention, to testify in any interference or legal proceedings involving said invention, to execute any additional papers which may be requested to confirm the right of the assignee, its representatives, successors, or assigns to secure patent or similar protection for the said invention in all countries and to vest in the assignee complete title to the said invention and Letters Patent, without further compensation, but at the expense of said assignee, its successors, assigns, and other legal representatives.

IN WITNESS WHEREOF, we have hereunto set our hands and seals on this 24 day of SEPTEMBER, 2003.

  
\_\_\_\_\_  
**Luis Benicio Casco-Arias** (SEAL)

\_\_\_\_\_  
**Pratik Gupta** (SEAL)

\_\_\_\_\_  
**David Gerard Kuehr-McLaren** (SEAL)

\_\_\_\_\_  
**Andrew David Record** (SEAL)

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Attorney Docket No. 5577-251

fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made.

We hereby request that said Letters Patent be issued in accordance with this assignment.

We further covenant and agree that, at the time of the execution and delivery of these presents, we possess full title to the invention and application above-mentioned, and that we have the unencumbered right and authority to make this assignment.

We further covenant and agree to bind our heirs, legal representatives, and assigns promptly to communicate to said assignee or its representatives any facts known to us relating to said invention, to testify in any interference or legal proceedings involving said invention, to execute any additional papers which may be requested to confirm the right of the assignee, its representatives, successors, or assigns to secure patent or similar protection for the said invention in all countries and to vest in the assignee complete title to the said invention and Letters Patent, without further compensation, but at the expense of said assignee, its successors, assigns, and other legal representatives.

IN WITNESS WHEREOF, we have hereunto set our hands and seals on this 24 day of September, 2003.

\_\_\_\_\_  
Luis Benicio Casco-Arias (SEAL)

\_\_\_\_\_  
*Pratik Gupta*  
Pratik Gupta (SEAL)

\_\_\_\_\_  
David Gerard Kuehr-McLaren (SEAL)

\_\_\_\_\_  
Andrew David Record (SEAL)

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Attorney Docket No. 3577-231

fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made.

We hereby request that said Letters Patent be issued in accordance with this assignment.

We further covenant and agree that, at the time of the execution and delivery of these presents, we possess full title to the invention and application above-mentioned, and that we have the unencumbered right and authority to make this assignment.

We further covenant and agree to bind our heirs, legal representatives, and assigns promptly to communicate to said assignee or its representatives any facts known to us relating to said invention, to testify in any interference or legal proceedings involving said invention, to execute any additional papers which may be requested to confirm the right of the assignee, its representatives, successors, or assigns to secure patent or similar protection for the said invention in all countries and to vest in the assignee complete title to the said invention and Letters Patent, without further compensation, but at the expense of said assignee, its successors, assigns, and other legal representatives.

IN WITNESS WHEREOF, we have hereunto set our hands and seals on this 25 day of Sept., 2003.

\_\_\_\_\_(SEAL)  
Luis Benicio Casco-Arias

\_\_\_\_\_(SEAL)  
Pratik Gupta

David G. Kuehr/McLaren (SEAL)  
David Gerard Kuehr-McLaren

\_\_\_\_\_(SEAL)  
Andrew David Record

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fully and entirely as the same would have been held and enjoyed by me if this assignment and sale had not been made.

We hereby request that said Letters Patent be issued in accordance with this assignment.

We further covenant and agree that, at the time of the execution and delivery of these presents, we possess full title to the invention and application above-mentioned, and that we have the unencumbered right and authority to make this assignment.

We further covenant and agree to bind our heirs, legal representatives, and assigns promptly to communicate to said assignee or its representatives any facts known to us relating to said invention, to testify in any interference or legal proceedings involving said invention, to execute any additional papers which may be requested to confirm the right of the assignee, its representatives, successors, or assigns to secure patent or similar protection for the said invention in all countries and to vest in the assignee complete title to the said invention and Letters Patent, without further compensation, but at the expense of said assignee, its successors, assigns, and other legal representatives.

IN WITNESS WHEREOF, we have hereunto set our hands and seals on this 26<sup>th</sup> day of September, 2003.

\_\_\_\_\_  
Luis Benicio Casco-Arias (SEAL)

\_\_\_\_\_  
Pratik Gupta (SEAL)

\_\_\_\_\_  
David Gerard Kuehr-McLaren (SEAL)

Andrew David Record  
Andrew David Record (SEAL)

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