

09-30-2003



102562339

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Donald F. Croley  
Mark W. Fagan  
Brian T. Jones

9-26-03

## 2. Name and address of receiving party(ies)

Name: Lexmark International, Inc.

Internal Address: IP Law

Street Address: 740 W. New Circle Rd.

City: Lexington State: KY Zip: 40550

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☒ Other Corrective Assignment (013631/0711)

Execution Date: August 14, 2003

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 10/331,237

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Scott N. Barker

Internal Address: IP Law

09/29/2003 LMUELLER 00000044 121213 10331237

01 FC-3021 40.00 DA

Street Address: 740 W. New Circle Rd.

City: Lexington State: KY Zip: 40550

6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$ ~~40.00~~ 40.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account

## 8. Deposit account number:

12-1213

(Attach duplicate copy of this page if paying by deposit account)

## DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Scott N. Barker Reg. No. 42,292

Name of Person Signing

Signature

8/14/03

Date

Total number of pages including cover sheet, attachments, and documents: 4

Mail documents to be recorded with required cover sheet information to:

Filed together with a new patent application to:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Not filed with new patent application to:

Mail Stop Assignment Recordation Services  
Director of the U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450PATENT  
REEL: 014542 FRAME: 0837

**ATTACHMENT FOR RECORDATION FORM COVER SHEET**

**PATENTS ONLY (Form PTO-1595)**

1. Name of conveying party(ies):

Randall D. Mayo

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: \_\_\_\_\_

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Name and address of receiving party(ies)

Name: \_\_\_\_\_

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 08/14/03

A. Patent Application No.(s)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
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\_\_\_\_\_

B. Patent No.(s)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Additional numbers attached?

☐ Yes ☒ No

Form PTO-1595

(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

Tab settings  $\Rightarrow \Rightarrow \Rightarrow$ U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office01-09-2003  
102332420

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Donald Fred Croley  
Mark Walter Fagan  
Brian Thomas Jones

## 2. Name and address of receiving party(ies)

Name: Lexmark International, IncInternal Address: Intellectual Property Law DeptAdditional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Street Address: 740 West New Circle RoadCity: Lexington State: KY Zip: 40550

Execution Date: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jacqueline M. DaspitInternal Address: Lexmark International, IncIntellectual Property Law DeptStreet Address: 740 West New Circle Road

01/08/2003 TDI A21 00000143 121213 10331237

01 FC: 0021 40.00 CH

City: Lexington State: KY Zip: 405506. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

12-1213

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Jacqueline M. Daspit  
Name of Person SigningJacqueline M. Daspit  
Signature27 Dec 2002  
DateTotal number of pages including cover sheet, attachments, and documents: 4Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231PATENT  
REEL: 014542 FRAME: 0839

**ATTACHMENT FOR RECORDATION FORM COVER SHEET  
PATENTS ONLY (Form PTO-1595)**

U.S. PTO  
10/331237  
12/30/02

**1. Name of conveying party(ies):**

Randall David Mayo

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

**2. Name and address of receiving party(ies)**

Name: \_\_\_\_\_

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Name and address of receiving party(ies)

Name: \_\_\_\_\_

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Additional name(s) & address(es) attached?

☐ Yes ☒ No

**4. Application number(s) or patent number(s):**

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

**A. Patent Application No.(s)**

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
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**B. Patent No.(s)**

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Additional numbers attached?

☐ Yes ☒ No

**ASSIGNMENT OF INVENTION**

For good and valuable consideration, the receipt of which is hereby acknowledged,

the **ASSIGNOR(S)**:

Donald F. Croley  
157 Treetop Ct.  
Georgetown, KY 40324

Mark W. Fagan  
2008 Planters Ct.  
Lexington, KY 40514

Brian T. Jones  
2283 Harrods Pointe Trace  
Lexington, KY 40514

Randall D. Mayo  
103 Louisa Lane  
Georgetown, KY 40324

hereby sell, assign and transfer to

the **ASSIGNEE**:

Lexmark International, Inc.  
740 West New Circle Road  
Lexington, KY 40550

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to the invention entitled:

**Method and Apparatus for Generating and Assigning A Cartridge Identification Number to an Imaging Cartridge**

which is disclosed in a U.S. patent application executed on even date herewith, and any legal equivalent thereof in a foreign country, and in and to all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, extension, or other substitute thereof, and as to letters patent for any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or

(Assignment of Invention—page 1)

desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal as of the date(s) listed below.

Donald F. Croley  
Signature of Donald F. Croley

8/14/03  
Date

Mark W. Fagan  
Signature of Mark W. Fagan

8/14/03  
Date

Brian T. Jones  
Signature of Brian T. Jones

8/14/03  
Date

Randall D. Mayo  
Signature of Randall D. Mayo

8-14-03  
Date

#### NOTARIZATION

Details of Country

USA

and place of signing of assignment

Lexington, Kentucky

Before me this 14<sup>th</sup> day of August 2003, personally appeared the above named individuals, to me known to be the persons who are described in, and who executed the foregoing assignment instrument and acknowledged to me that they executed the same of their own free will for the purpose therein expressed.

Paula D. Kiser  
Notary Public  
My commission expires: January 22, 2006

(Assignment of Invention—page 2)

**ASSIGNMENT OF INVENTION**

For good and valuable consideration, the receipt of which is hereby acknowledged

**ASSIGNORS:**

Donald Fred Croley  
157 Treetop Court  
Georgetown, KY 40324

Mark Walter Fagan  
2008 Planters Ct.  
Lexington, KY 40514

Brian Thomas Jones  
2283 Harrods Pointe Trace  
Lexington, KY 40514

Randall David Mayo  
103 Louisa Lane  
Georgetown, KY 40324

hereby sell, assign and transfer to

**ASSIGNEE:**

Lexmark International, Inc  
740 West New Circle Road  
Lexington, KY 40550

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions in and to any and all improvements which are disclosed in the invention entitled:

**Method For Detection New Printhead Cartridges Using Printer Generated Printhead ID**

and which is found in (37 C.F.R. Section 3.21) U.S. patent application executed on even date herewith and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any

(Assignment of Invention--page 1 of 2)

interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal as of the date(s) listed below.

Donald Fred Croley  
Signature of Donald Fred Croley

12/18/02  
Date

Mark Walter Fagan  
Signature of Mark Walter Fagan

12/18/02  
Date

Brian Thomas Jones  
Signature of Brian Thomas Jones

12/18/02

Randall David Mayo  
Signature of Randall David Mayo

12/18/02  
Date

#### NOTARIZATION OR LEGALIZATION ACCOMPANYING ASSIGNMENT

Details of Country

United States of America

and place of signing of assignment

Lexington, KY

Before me on the date(s) indicated above, personally appeared the above named individuals, to me known to be the persons who are described in, and who executed the foregoing assignment instrument and acknowledged to me that they executed the same of their own free will for the purpose therein expressed.

[Signature]  
Notary Public

11 April 2006  
Commission Expires

(Assignment of Invention--page 2 of 2)