Docket No.: YOR920030317US1 (16856) 10-07-2003 FORM PTO-1595 (Modified) EET U.S. DEPARTMENT OF COMMERCE (Rev. 03-01) Patent and Trademark Office OMB No. 0651-0027 (exp.5/31/2002) P08A/REV03 Tab settings → → To the Director of the United States Patent and Trademark Unice: Please record the attached original documents or copy thereof. 1. Name of conveying party(ies): Name and address of receiving party(ies): Jonathan Appavoo; Marc Alan Auslander; Name: International Business Machines Corporation Kevin Kin-Fai Hui; Orran Yaakov Krieger; Dilma Menezes Da Silva; and Robert William Wisniewski Address: New Orchard Road ☐ Yes XX No Additional names(s) of conveying party(ies) 3. Nature of conveyance: Assignment Merger City: Armonk State/Prov.: New York Security Agreement Change of Name ZIP: 10504 Country: USA □ Other Execution dates: 9/16/03; 9/18/03; 9/18/03; ⊠ No 9/16/03; 9/16/03; and 9/16/03. Additional name(s) & address(es) □ Yes Application number(s) or patent numbers(s): If this document is being filed together with a new application, the execution dates of the application are: 9/16/03; 9/18/03; 9/18/03; 9/16/03; B. Patent No.(s) and 9/16/03, respectively. Patent Application No. Filing date Yes X No Additional numbers 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: concerning document should be mailed: Name: Steven Fischman 7. Total fee (37 CFR 3.41):....\$ 40.00 Registration No. 34,594 Enclosed - Any excess or insufficiency should be credited or debited to deposit account Address: Scully, Scott, Murphy & Presser Authorized to be charged to deposit account 400 Garden City Plaza /2003 ECROPER | 00000241 | 500510 | 10673567 8. Deposit account number: City: Garden City State/Prov.: New York 50-0510/IBM Country: USA ZIP: 11530 (Attach duplicate copy of this page if paying by deposit account) DO NOT USE THIS SPACE Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Steven Fischman September 29, 2003 Name of Person Signing Signature Date Total number of pages including cover sheet, attachments, and

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IBM Docket No.: YOR920030317US1

SSMP: 16856

ASSIGNMENT

Whereas, we

Inventor and City (1) Jonathan Appavoo **County of Westchester**

City of Yorktown Heights and State of New York

and

(2) Marc Alan Auslander **County of Westchester**

City of Millwood and State of New York

and

(3) Kevin Kin-Fai Hui **County of Waterloo**

of Kitchener, Canada and Province of Ontario

and

(4) Orran Yaakov Krieger **County of Middlesex**

City of Newton and State of Massachusetts

and

(5) Dilma Menezes Da Silva **County of Westchester**

City of White Plains and State of New York

and

(6) Robert William Wisniewski **County of Westchester**

City of Yorktown Heights and State of New York

have invented certain improvements in

Title

ACHIEVING AUTONOMIC BEHAVIOR IN AN OPERATING SYSTEM VIA A HOT-SWAPPING MECHANISM

Dates That Inventors Signed the Declaration and have executed, respectively, a United States patent application therefor on

9/16/03 (3) 9/18/03 (5) 9/16/03

2003, and (2) 2003, and (4) 9/18/03 9/14/03 9/16/03

2003, and 2003, and

2003, and (6)

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor:

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

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PATENT REEL: 014550 FRAME: 0566

IBM Docket No.: YOR920030317US1

SSMP: 16856

ASSIGNMENT

City Date	(1) Signed at Yorktown Heights, My on 39/16/2003	(Jonathan First Name	Middle Initial	Appavoo Last Name	_signature) inventor
City	(2) Signed at Yorktown Heights, Ny on 9/15/03	(Marc	A	Auslander	signature
Date		First Name	Middle Initial	Last Name) inventor
City	(3) Signed at on	(Kevin	K.	Hui	signature
Date		First Name	Middle Initial	Last Name) inventor
City	(4) Signed at Yorktown Heights, N.Y. on 16 Sapra / 03	(Orran	Y.	Krieger	signature
Date		First Name	Middle Initial	Last Name) inventor
City	(5) Signed at Yorktown Heights, Ny	(Dilma	Middle Initial	Da Silva	signature
Date	on 16 Sept lap	First Name		Last Name) inventor
City Date	(6) Signed at Yorktown Heights, N.	Y. Mond M (Robert First Name	W. Middle Initial	Wisniewski Last Name	signature) inventor

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SSMP: 16856

ASSIGNMENT

City	(1) Signed at				
Date	on	(Jonathan First Name	Middle Initial	Appavoo Last Name	_signature) inventor
City	(2) Signed at				
Date	on	(Marc First Name	A Middle Initial	Auslander Last Name	signature) inventor
City	(3) Signed at Waterleo, ON, CANADA	Ь	- 4 .		
Date	on Sept 18, 2003	(Kevin First Name	K. Middle Initial	Hui Last Name	_signature) inventor
City	(4) Signed at				
Date	on	(Orran First Name	Y. Middle Initial	Krieger Last Name	signature) inventor
City	(5) Signed at				
Date	on	(Dilma First Name	M. Middle Initial	Da Silva Last Name	_signature) inventor
City	(6) Signed at				
Date	on	(Robert First Name	W. Middle Initial	Wisniewski	signature) inventor

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