

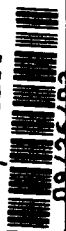
FORM PTO 1585

10-07-2003

SHEET



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22154 U.S. PTO
10/672100

9/26/03

I

To the Honorable Commissioner for Patents: Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Erwin R. John

Additional name(s) of conveying parties attached? ☐ Yes x No

2. Name and address of receiving party(ies)

Name: New York University

Street Address: 70 Washington Square South
New York, NY 10012

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other: _____

Execution date: September 19, 2003

4. Application numbers or patent numbers: _____

this document is being filed together with a new application, the execution date of the application is: September 19, 2003

A. Patent Applications: To be assigned

B. Patent No.(s):

10 672 100

Additional Numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Oleg F. Kaplun, Esq.
Internal Address: Fay Kaplun & Marcin, LLPStreet Address: 150 Broadway, Suite 702
City: New York State: New York ZIP: 10038

6. Total number of applications and patents involved: 1

TITLE: SYSTEM AND METHOD FOR CORRECTION OF
INTRACEREBRAL CHEMICAL IMBALANCES

7. Total fee (37 C.F.R. 3.41) \$ 40.00

- ☒ Check Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number: 50-1492

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Oleg F. Kaplun (Reg. No. 45,559)

Name of Person Signing

Signature

Date

Total Number of pages including cover sheet, attachments, and document: 3

OMB No. 0651-0011 (exp. 4/94)

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Mail documents to be recorded with required cover sheet information to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Box: Assignments

EXPRESS MAIL NO. EV 323 424 068 US

PATENT
REEL: 014551 FRAME: 0392

ASSIGNMENT

WHEREAS, I,

**Erwin R. John
1010 Orienta Avenue
Mamaroneck, NY 10543
United States of America
Citizenship: United States of America**

have made inventions and discoveries in **SYSTEM AND METHOD FOR CORRECTION OF INTRACEREBRAL CHEMICAL IMBALANCES**, for which U.S. Letters Patent is being filed herewith; and

WHEREAS, **NEW YORK UNIVERSITY**, 70 Washington Square South, New York, NY 10012, having a place of business at, and who, together with its successors and assigns, is hereinafter called "Assignee," is desirous of acquiring the title, rights, benefits, and privileges hereinafter recited,

NOW, THEREFORE, for valuable consideration furnished by Assignee to me, receipt and sufficiency of which I hereby acknowledge, I hereby, without reservations:

1. Assign, transfer, and convey to Assignee the entire right, title, and interest in and to said inventions and discoveries, said application for Letters Patent, any and all other applications for Letters Patent on said inventions and discoveries in any and all countries, including all divisional, renewal, substitute, continuation, and Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications, and said Letters Patent.

2. Authorize Assignee to file patent applications in any or all countries for any or all of said inventions and discoveries in my name or in the name of Assignee or otherwise as Assignee may deem advisable, under an International Convention or otherwise.


3. Authorize and request the Director of the U.S. Patent and Trademark Office and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title, and interest therein or otherwise as Assignee may

direct.

4. Warrant that I have not conveyed to others any right, title, or interest in said inventions, discoveries, applications, or patents or any license to use the same or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; that I have good right to assign the same to Assignee without encumbrance; and that I am aware of no claim to the contrary.

5. Bind our heirs, legal representatives, and assigns, as well as myself, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to me or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications, and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by me, my heirs, legal representatives, and assigns if this assignment had not been made; and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to me relating to said inventions and discoveries or the history thereof; to furnish Assignee with any and all documents, photographs, models, samples, and other physical exhibits in my control or in the control of my heirs, legal representatives, or assigns which may be useful for establishing the facts of my conceptions, disclosures, and reduction to practice of said inventions and discoveries; and to testify to the same in any interference, arbitration, or litigation.

IN TESTIMONY WHEREOF, I have hereunto set our hands and seals this 19 day of SEPTEMBER, 2003.


Erwin R. John