

10-07-2003



102569044

Tab settings

9/24/03

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
**Navin Kumar**  
**Ronald Ciarcia**  
**Narender Macha**

Additional names(s) of conveying party(ies)  Yes  No

2. Name and address of receiving party(ies):

Name: **General Electric Company**

Internal Address: \_\_\_\_\_

Street Address: **1 River Road**

City: **Schenectady** State: **NY** ZIP: **12345**

Additional name(s) & address(es) attached?  Yes  No

22386 U.S. PTO  
10/6/03  
09/24/03

3. Nature of conveyance:

Assignment  Merger

Security Agreement  Change of Name

Other \_\_\_\_\_

Execution Date: **9/12/03, 9/18/03, 9/24/03**

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: **September 24, 2003**

A. Patent Application No.(s)  
**10 671347**

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Philmore H. Colburn II**

Internal Address: **Cantor Colburn LLP**

Street Address: **55 Griffin Road South**

City: **Bloomfield** State: **CT** ZIP: **06002**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

Enclosed - Any excess or insufficiency should be credited or debited to deposit account

Authorized to be charged to deposit account

8. Deposit account number:  
**06-1130**

(Attach duplicate copy of this page if paying by deposit account)

~~10/06/2003 BYRNE 00000169 10671347~~ **DO NOT USE THIS SPACE**

9. Statement and signature. **40.00 DP**

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**David Arnold, Reg. No. 48,894** **September 24, 2003**

Name of Person Signing Signature Date

Total number of pages including cover sheet, attachments, and document: **7**

GE Docket No. 132104 (GEN-0379)

**ASSIGNMENT**

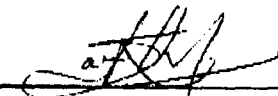
In accordance with obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement or other agreement and/or for other good and valuable consideration of which we acknowledge receipt, we, **Navin Kumar of D9 Chamundeshwari Apartment, 13th cross, VV Mohalla, Mysore, Karnataka, India 570002; Ron Ciarcia of 5 Mano Lane, Bristol, CT 06010; and Narender Macha of 101, Ananthanilayam, Carona Lane, Chikkadapally, Hyderabad, India 500 020**, hereby sell and assign to General Electric Company, a New York Corporation, having an address at 1 River Road, Schenectady, New York 12345, USA, (hereinafter referred to as "Company"), its successors and assigns our entire respective rights, titles and interests in and to the invention and improvements invented and originated by us and described in the application for United States Patent currently entitled **APPARATUS AND METHOD FOR CIRCUIT BREAKER TRIP UNIT ADJUSTMENT [Docket Number 132104 (GEN-0379)]**,

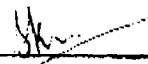
- signed as of even date,
- signed as of [date], or
- filed on [date] having Serial Number [Serial Number]

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

Inventor 1

Navin Kumar Date: 12/9/03  
*Navin Kumar*

Witnessed by:  Date: 12/Sept/2003  
Signature  
T. V. SKANTHASWAMY  
Printed Name of Witness

Witnessed by:  Date: 12/Sept/2003  
Signature  
SATISH KUMAR SAHOO  
Printed Name of Witness

Inventor 2

\_\_\_\_\_ Date: \_\_\_\_\_

Ronald Ciarcia

State of \_\_\_\_\_ SS:

County of \_\_\_\_\_

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came the above named Ronald Ciarcia to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

Inventor 3

\_\_\_\_\_ Date: \_\_\_\_\_

Narender Macha

Witnessed by: \_\_\_\_\_ Date: \_\_\_\_\_

Signature

\_\_\_\_\_

Printed Name of Witness

Witnessed by: \_\_\_\_\_ Date: \_\_\_\_\_

Signature

\_\_\_\_\_

Printed Name of Witness

**ASSIGNMENT**

In accordance with obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement or other agreement and/or for other good and valuable consideration of which we acknowledge receipt, we, **Navin Kumar of D9 Chamundeshwari Apartment, 13th cross, VV Mohalla, Mysore, Karnataka, India 570002; Ron Ciarcia of 5 Mano Lane, Bristol, CT 06010; and Narender Macha of 101, Ananthanilayam, Carona Lane, Chikkadapally, Hyderabad, India 500 020**, hereby sell and assign to General Electric Company, a New York Corporation, having an address at 1 River Road, Schenectady, New York 12345, USA, (hereinafter referred to as "Company"), its successors and assigns our entire respective rights, titles and interests in and to the invention and improvements invented and originated by us and described in the application for United States Patent currently entitled **APPARATUS AND METHOD FOR CIRCUIT BREAKER TRIP UNIT ADJUSTMENT [Docket Number 132104 (GEN-0379)]**,

- signed as of even date,
- signed as of [date], or
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and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

Inventor 1

\_\_\_\_\_ Date: \_\_\_\_\_  
Navin Kumar

Witnessed by: \_\_\_\_\_ Date: \_\_\_\_\_  
Signature  
\_\_\_\_\_  
Printed Name of Witness

Witnessed by: \_\_\_\_\_ Date: \_\_\_\_\_  
Signature  
\_\_\_\_\_  
Printed Name of Witness

GE Docket No. 132104 (GEN-0379)

Inventor 2

Ronald Ciarcia Date: 9/18/2003  
Ronald Ciarcia

State of Connecticut SS: Blairville  
County of Hartford

This 18th day of September, 2003, before me personally came the above named Ronald Ciarcia to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his/her own free will for the purposes therein set forth.

Seal

Marjorie M. Giudice  
(Notary Public)

**MARJORIE M. GIUDICE**  
**NOTARY PUBLIC**  
MY COMMISSION EXPIRES FEB. 28, 2006

Inventor 3

\_\_\_\_\_ Date: \_\_\_\_\_  
Narender Macha

Witnessed by: \_\_\_\_\_ Date: \_\_\_\_\_  
Signature

\_\_\_\_\_  
Printed Name of Witness

Witnessed by: \_\_\_\_\_ Date: \_\_\_\_\_  
Signature

\_\_\_\_\_  
Printed Name of Witness

**ASSIGNMENT**

In accordance with obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement or other agreement and/or for other good and valuable consideration of which we acknowledge receipt, we, **Navin Kumar of D9 Chamundeshwari Apartment, 13th cross, VV Mohalla, Mysore, Karnataka, India 570002; Ron Ciarcia of 5 Mano Lane, Bristol, CT 06010; and Narender Macha of 101, Ananthanilayam, Carona Lane, Chikkadapally, Hyderabad, India 500 020**, hereby sell and assign to General Electric Company, a New York Corporation, having an address at 1 River Road, Schenectady, New York 12345, USA, (hereinafter referred to as "Company"), its successors and assigns our entire respective rights, titles and interests in and to the invention and improvements invented and originated by us and described in the application for United States Patent currently entitled **APPARATUS AND METHOD FOR CIRCUIT BREAKER TRIP UNIT ADJUSTMENT [Docket Number 132104 (GEN-0379)]**,

- signed as of even date,
- signed as of [date], or
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and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

Inventor 1

\_\_\_\_\_ Date: \_\_\_\_\_  
*Navin Kumar*

Witnessed by: \_\_\_\_\_ Date: \_\_\_\_\_  
Signature  
\_\_\_\_\_  
Printed Name of Witness

Witnessed by: \_\_\_\_\_ Date: \_\_\_\_\_  
Signature  
\_\_\_\_\_  
Printed Name of Witness

GE Docket No. 132104 (GEN-0379)

Inventor 2

\_\_\_\_\_  
 Date: \_\_\_\_\_  
Ronald Garcia

State of \_\_\_\_\_ SS:  
 County of \_\_\_\_\_

This \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me personally came the above named Ronald Garcia to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his/her own free will for the purposes therein set forth.

Seal \_\_\_\_\_  
 (Notary Public)

Inventor 3

M. Narendra Date: 24<sup>th</sup> sept'03  
Narender Macha

Witnessed by: [Signature] Date: 09/24/03

Signature  
Anantharam S.  
 Printed Name of Witness

Witnessed by: [Signature] Date: 09/24/03

Signature  
NITHANAND S SHETTY  
 Printed Name of Witness