

10-07-2003



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Recordation Form Cover Sheet  
Patents Only

9.26.03

Docket No: AM100880  
Patent

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

22386 U.S. PTO  
10/672788  
03/26/03

To the Commissioner for Patents: Please record the attached original documents or copy thereof.

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- ☒ New  
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Document ID #  
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2. Conveyance Type:

- ☒ Assignment                      ☐ License                      ☐ Security Agreement  
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3. Conveying party(ies):

Jianxin GU; Mark Edward RUPPEN

Additional name(s) of conveying party(ies) attached:

- ☐ Yes  
☒ No

Execution Date: Jianxin GU (September 17, 2003); Mark Edward RUPPEN (September 17, 2003).

4. Receiving party(ies):

10672788

Wyeth Holdings Corporation  
Five Giralda Farms  
Madison, New Jersey 07940

Additional name(s) and address(es) attached:

- ☐ Yes  
☒ No

5. Correspondent address:

Joy S. Goudie  
Wyeth  
Patent Law Department  
Five Giralda Farms  
Madison, NJ 07940  
Tel. No. (845) 602-2126

10/07/2003 GTDN11 00000050 011300 10672788

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6. ☒ If this document is being filed together with a new application, the execution date of the application is September 16, 2003.

Title: PROCESSING FOR PREPARING MONOPROTECTED DIOLS FROM SYMMETRIC DIOLS

Application number(s) or patent number(s):

- ☐ Patent Application Number(s):  
☐ Patent Number(s):  
☐ Patent Cooperation Treaty (PCT) Application Number(s) (only if a U.S. Application Number has not been assigned):

Additional numbers attached:

- ☐ Yes  
☒ No

7. Pages: Enter the total number of pages including cover sheet, attachments, and documents:  
3

8. Total number of properties involved: 1

9. Total Fee (37 CFR 3.41): \$40.00

- ☐ Enclosed  
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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

September 26, 2003  
Date

Joy S. Gaudie  
Joy S. Gaudie  
Attorney for Applicants  
Reg. No. 48,146

For: ☒ U.S. and/or ☒ Foreign Rights  
For: ☒ U.S. Application or ☐ U.S. Patent

Assignment of Invention

In consideration of the payment by ASSIGNEE to ASSIGNOR of good and valuable consideration, the receipt of which is hereby acknowledged,  
ASSIGNOR:

(1) Full Name of Inventor: Jianxin Gu  
Residence: 291C Faller Drive, New Milford, NJ 07646  
Citizenship: Canada

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(2) Full Name of Inventor: Mark Edward Ruppen  
Residence: 6 Lea Court, Garnerville, NY 10923  
Citizenship: United States of America

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hereby sells, assigns and transfers to ASSIGNEE:

Wyeth Holdings Corporation  
Five Giralda Farms  
Madison, New Jersey 07940

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to the invention entitled:

**PROCESSING FOR PREPARING MONOPROTECTED DIOLS FROM SYMMETRIC DIOLS**

and invented by ASSIGNOR and the following additional inventors, if any:

and which is found in

[check and complete (a), (b), (c), (d) or (e)]

- (a) ☒ U.S. patent application executed on even date herewith.  
(b) ☐ U.S. patent application executed on:  
(c) ☐ U.S. provisional application, not yet filed, naming the above inventor(s) for the above-entitled invention  
☐ Express mail label no.:  
(d) ☐ U.S. Patent Application No. filed on:  
(e) ☐ U.S. Patent No. issued on:

[also check (f) if foreign application(s) is(are) also being assigned]

- (f) ☒ and any legal equivalent thereof in a foreign country,

including the right to claim priority, including any and all improvements disclosed therein, and in and to all Letters Patent to be obtained for said invention by the above application or any subsequently filed provisional, nonprovisional, continuation, divisional, renewal, or substitute thereof, and as to Letters Patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR authorizes ASSIGNEE to make applications for and to receive Letters patent for said invention in any of said countries in its own name, or in ASSIGNOR's name, at its election.

ASSIGNOR covenants and agrees to execute or procure any further necessary assurance of the title to said invention and any Letters Patent which may issue therefor and to, at any time, upon the request and at the expense of ASSIGNEE deliver any testimony in any interference, litigation or proceeding related thereto and execute all papers that may be necessary or desirable to perfect the title to said invention or any Letters Patent which may be granted therefor in ASSIGNEE, its successors, assigns or other legal representatives, and that to, at any time, upon the request and at the expense of ASSIGNEE execute any continuation, continuation-in-part, divisional, renewal or substitute thereof, and as to Letters Patent and reissue or re-examination thereof, or any other additional applications of Letters Patent for said invention or any part thereof, all of which applications and any Letters Patent issuing thereon are hereby assigned to ASSIGNEE, and will make all rightful oaths or declarations, and do all lawful acts requisite for procuring the same therein, without further compensation, but at the expense of ASSIGNEE, its successors, assigns or other legal representatives.

ASSIGNOR authorizes and requests the Commissioner of Patents to issue any and all Letters Patent of the United States for said invention, resulting from any of the aforesaid applications to its ASSIGNEE.

Jianxin Gu  
Jianxin Gu

17 Sept. 2003  
Date

~~~~~  
ACKNOWLEDGMENT

State of NEW YORK }  
County of ROCKLAND }  
ss:

On the 17 day of Sept. 2003, Jianxin Gu personally appeared before me, known by me to be the same person described in and who executed the foregoing instrument, and acknowledged that he/she executed the same, of his/her own free will and for the purposes set forth.

JANICE A. WHITE  
NOTARY PUBLIC - STATE OF NEW YORK  
NO. 01-WH6052604  
QUALIFIED IN ROCKLAND COUNTY  
MY COMMISSION EXPIRES 12-26-2006

Janice J. White  
Notary Public  
~~~~~

Mark Edward Ruppen  
Mark Edward Ruppen

17 SEPT 2003  
Date

~~~~~  
ACKNOWLEDGMENT

State of NEW YORK }  
County of ROCKLAND }  
ss:

On the 17 day of Sept. 2003, Mark Edward Ruppen personally appeared before me, known by me to be the same person described in and who executed the foregoing instrument, and acknowledged that he/she executed the same, of his/her own free will and for the purposes set forth.

JANICE A. WHITE  
NOTARY PUBLIC - STATE OF NEW YORK  
NO. 01-WH6052604  
QUALIFIED IN ROCKLAND COUNTY  
MY COMMISSION EXPIRES 12-26-2006

Janice J. White  
Notary Public  
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