

10-08-2003

Atty. Docket No. 4366-109

Avaya Ref. No.



102570563

PATENTS ONLY

ET

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

Form PTO-1595

(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

Tab settings ⇌ ⇌ ⇌ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Andrew D. Flockhart
Larry J. Roybal
Robert C. Steiner

4.76.03

2. Name and address of receiving party(ies):

Name: Avaya Technology Corp.

Internal Address: _____

Street Address: 211 Mount Airy Road

City: Basking Ridge State: NJ Zip: 07920

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other _____

Additional name(s) & Address(es) attached? Yes No

Execution Date: September 19, 2003

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

September 19, 2003

A. Patent Application No.(s) Not Yet Assigned

B. Patent No.(s)

Additional numbers attached? Yes No

10673103

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Douglas W. Swartz
SHERIDAN ROSS P.C.
1560 Broadway, Suite 1200
Denver, CO 80202-5145

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number: 50-1602

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief the foregoing information is true and correct and any attached copy is a true copy of the original document.

Douglas W. Swartz

Reg. No. 37,739

Douglas Swartz
Signature

Sept 26, 2003
Date

Total number of pages including cover sheet, attachments, and document: 5

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Mail Stop Assignments, P.O. Box 1450
Alexandria, Virginia 22313-1450

10/07/2003 LMEILLER 00000154 501602 10673103

01 FC:8021

40.00 BA

PATENT
REEL: 014555 FRAME: 0317

09/26/03

PTO 10/6/03

ASSIGNMENT AND AGREEMENT

For value received, we, **Andrew D. Flockhart** of 1062 East 133rd Way, Thornton, Colorado 80241, **Larry J. Roybal** of 11626 Quivas Circle, Westminster, Colorado 80234, and **Robert C. Steiner** of 1335 Marble Court, Broomfield, Colorado 80020, hereby sell, assign and transfer to Avaya Technology Corp., a corporation of the State of Delaware, having an office at 211 Mount Airy Road, Basking Ridge, New Jersey 07920, U.S.A., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to "**METHOD AND APPARATUS FOR ASSESSING THE STATUS OF WORK WAITING FOR SERVICE**" described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Avaya Technology Corp. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Avaya Technology Corp. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Avaya Technology Corp. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Avaya Technology Corp. in the United States and in all countries foreign to the United States, or to such nominees as Avaya Technology Corp. may designate.

We agree that, when requested, we shall, without charge to Avaya Technology Corp. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Andrew D Flockhart
Andrew D. Flockhart

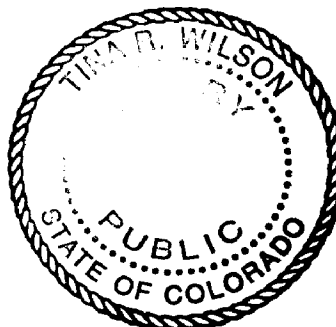
Date: 9/19/03

United States of America)
State of Colorado) ss.
County of Adams)

On this 19th day of Sept., 2003, before me personally came **Andrew D. Flockhart**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

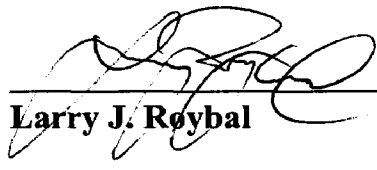
[Signature]
Notary Public

Avaya Technology Corp.
211 Mount Airy Road
Basking Ridge, New Jersey 07920



My Commission Expires 08/04/2004

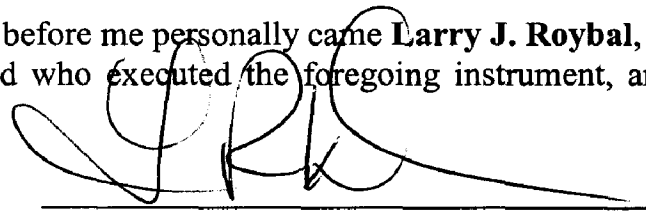
Date: 9/19/2003



Larry J. Roybal

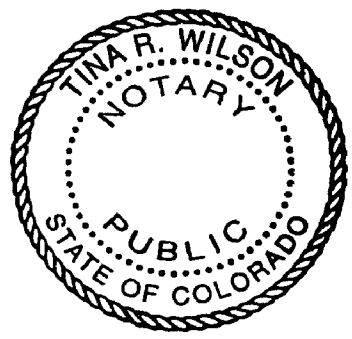
United States of America)
State of Colorado) ss.
County of Adams)

On this 19th day of Sept., 2003, before me personally came **Larry J. Roybal**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Notary Public

Avaya Technology Corp.
211 Mount Airy Road
Basking Ridge, New Jersey 07920



My Commission Expires 08/04/2004

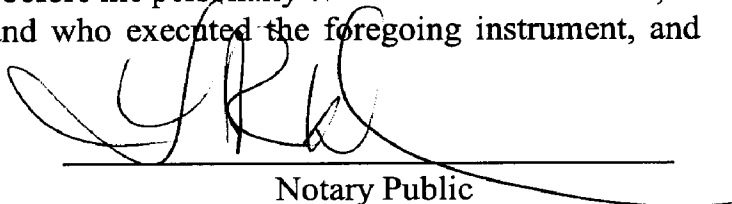


Robert C. Steiner

Date: 9/19/2003

United States of America)
State of Colorado) ss.
County of Adams)

On this 19th day of Sept., 2003, before me personally came **Robert C. Steiner**, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

Avaya Technology Corp.
211 Mount Airy Road
Basking Ridge, New Jersey 07920



My Commission Expires 08/04/2004