

10-09-2003

Form PTO-1595

RE

(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

Tab settings

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

102571567

To the Honorable Commissioner of Pa.

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Grady Anderson, Shanti Cavanaugh, Jim Hood,
Lou Molinari, Mark Young and Edward Palen

10-7-03

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other

Execution Date: 7/15/2003

2. Name and address of receiving party(ies)

Name: Spectraswitch, Inc.

Internal Address:

Street Address: 445 Tesconi Circle

City: Santa Rosa State: CA Zip: 95401

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)
10/371,235

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Gary Zalewski

Internal Address:

Street Address: Strategic Patent Services, Inc.

1096 Trestle Glen

City: Oakland State: CA Zip: 94610

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40



Enclosed



Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gary Zalewski

Name of Person Signing

Signature

9/25/2003

Date

Total number of pages including cover sheet, attachments, and documents: 10

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

10/08/2003 LUNELLER 00000090 10371235

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40.00 DP

PATENT
REEL: 014555 FRAME: 0832

ASSIGNMENT

WHEREAS, Grady Anderson, Shanti Cavanaugh, Jim Hood, Lou Molinari, Edward Palen and Mark Young have made certain inventions or discoveries (or both) set forth in an Application for Letters Patent of the United States of America entitled LIQUID CRYSTAL CELL PLATFORM, the specification of which:

_____ issued as Patent Number _____
X filed as U.S. Serial No. 10/371,235 on February 21, 2003
_____ filed herewith;

WHEREAS, SpectraSwitch, Inc., a California corporation whose business address is 445 Tesconi Circle, Santa Rosa, CA 95401, and who, together with its successors and assigns is hereinafter called "Assignee", is desirous of acquiring the entire right, title and interest together with the benefits and privileges hereinafter recited;

NOW, THEREFORE, for valuable consideration furnished by Assignee to us, receipt and sufficiency of which we hereby acknowledge, we hereby, without reservation;

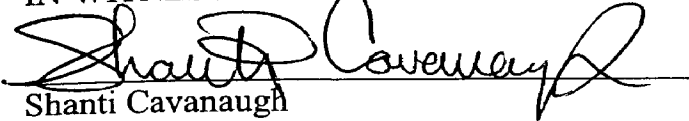
1. Assign, transfer and convey to Assignee the entire right, title and interest together with the benefits and privileges in an to said inventions and discoveries, said Application for Letters Patent or similar forms of protection of the United States of America, and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all provisional conversion, divisionals, renewal, substitute and convention applications based in upon said inventions or discoveries, or upon said application, and any and all Letters Patent, reissues and similar forms of protection granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications and said Letters Patent.

2. Authorize Assignee to file patent applications in any or all countries or groups of countries on any or all of said inventions and discoveries in our name or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or any other relevant convention or treaty or otherwise;

3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title and interest therein, or otherwise as Assignee may direct;

4. Warrant that we have not knowingly conveyed to others any rights in said inventions, discoveries, applications or patents or any license to use the same or to make, use or sell anything embodying or utilizing any of said inventions or discoveries; and that we have good right to assign the same to Assignee without encumbrance;

IN WITNESS WHEREOF:


Shanti Cavanaugh

STATE OF CALIFORNIA)
) ss.
COUNTY OF SONOMA)

On July 10, 2003 before me, B. A. Duncan,
Notary Public, personally appeared Shanti Cavanaugh,

_____ personally known to me

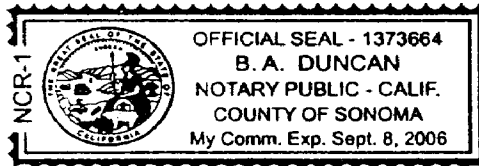
or
X proved to me on the basis of satisfactory evidence

to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

(SEAL)


SIGNATURE OF NOTARY PUBLIC



IN WITNESS WHEREOF:

Jim Hood
Jim Hood

STATE OF CALIFORNIA)
) ss.
COUNTY OF SONOMA)

On JULY 10, 2003 before me, B. A. DUNCAN,
Notary Public, personally appeared Jim Hood,

_____ personally known to me
or
X proved to me on the basis of satisfactory evidence

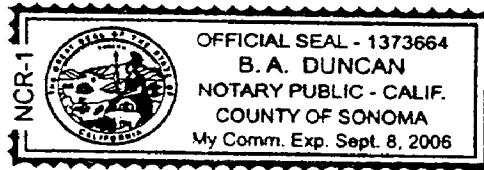
to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal


B. A. DUNCAN

SIGNATURE OF NOTARY PUBLIC

(SEAL)



IN WITNESS WHEREOF:



Lou Molinari

STATE OF CALIFORNIA)
) ss.
COUNTY OF SONOMA)

On July 10, 2003 before me, B. A. Duncan,
Notary Public, personally appeared Lou Molinari,

_____ personally known to me
or
X proved to me on the basis of satisfactory evidence

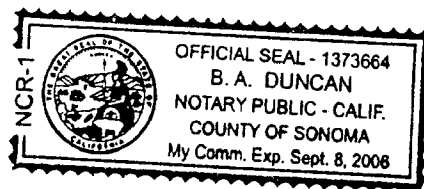
to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal




SIGNATURE OF NOTARY PUBLIC

(SEAL)



IN WITNESS WHEREOF:



Edward Palen

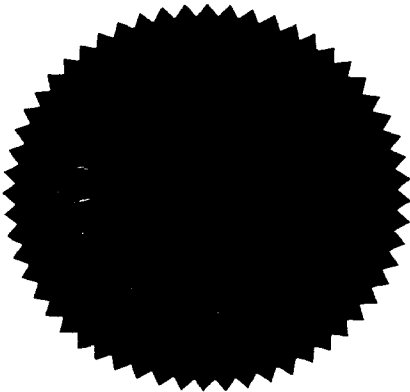
~~STATE OF CALIFORNIA~~) UNITED KINGDOM OF GREAT BRITAIN
) ss
~~COUNTY OF SONOMA~~) ENGLAND CITY OF LONDON

On September 8th, 2003 before me, JAMES KERR MILLIGAN,
Notary Public, personally appeared Edward Palen,

or
X personally known to me
proved to me on the basis of satisfactory evidence

to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal

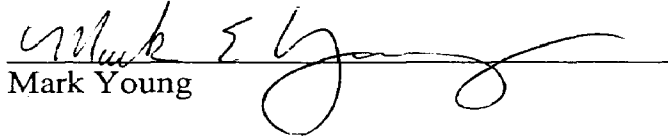




SIGNATURE OF NOTARY PUBLIC

J. KERR MILLIGAN
Notary Public of London, England
My Commission expires with Life

IN WITNESS WHEREOF:


Mark Young

STATE OF CALIFORNIA)
) ss.
COUNTY OF SONOMA)

On July 10, 2003 before me, B. A. Duncan,
Notary Public, personally appeared Mark Young,

_____ personally known to me

or
X proved to me on the basis of satisfactory evidence

to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal



SIGNATURE OF NOTARY PUBLIC

(SEAL)

