

10-08-2003



10/6/03

102570739

To the Honorable Commissioner of Patents and Trademarks Original document or copy thereof.

1. Names of conveying parties:

- 1) RICHARD KLINGHOFFER
- 2) STEPHEN PATRICK LEWIS
- 3)
- 4)
- 5)
- 6)

Additional names of conveying parties attached? ☐ Yes ☒ No

2. Name and address of receiving party:

Name: CEPTYR, INC.

Internal Address: _____

Street Address: 3830 MONTE VILLA PARKWAYSUITE 200City: BOTHELLState WAZip: 98021Additional names & addresses attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Dates:

- 1) JULY 8, 2003
- 2) JULY 7, 2003
- 3) _____
- 4) _____
- 5) _____
- 6) _____

4. Application number(s) or registration number(s):

If this document is being filed together with a new application,
the filing date of the application is _____

A. Patent Application No(s).

10/444,795

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Seed Intellectual Property Law Group PLLCInternal Address: MAE JOANNE ROSOKStreet Address: 701 Fifth Avenue, Suite 6300City: Seattle State: WA ZIP: 98104-7092

6. Total number of applications and patents involved.....

1

7. Total Fee (37 CFR 3.41): \$40

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

19-1090

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

MAE JOANNE ROSOK

Name of Person Signing

Mae Joanne Rosok

Signature

10-2-03

Date

Total number of pages including cover sheet, attachments, and document: 32003 OCT -6 AM 9:54
OPR/FINANCE

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DO NOT USE THIS SPACE

ASSIGNMENT

WHEREAS, we, Richard Klinghoffer and Stephen Patrick Lewis (hereinafter referred to as ASSIGNORS), having mailing addresses of 7318 55th Avenue N.E., Seattle, Washington 98115, and 24110 48th Avenue West, Mountlake Terrace, Washington 98043, respectively, are the joint inventors of an invention entitled "MODULATION OF BIOLOGICAL SIGNAL TRANSDUCTION BY RNA INTERFERENCE," as described and claimed in the specification for which an application for United States letters patent was filed on May 23, 2003 and assigned Application No. 10/444,795. This application claims the benefit of Provisional Application Nos. 60/462,942 filed on April 14, 2003, and 60/383,249 filed on May 23, 2002.

WHEREAS, CEPTYR, Inc. (hereinafter referred to as ASSIGNEE), a corporation of the State of Delaware having a place of business at 3830 Monte Villa Parkway, Suite 200, Bothell, Washington 98021, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United

States and foreign letters patent on said invention, for litigation regarding letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

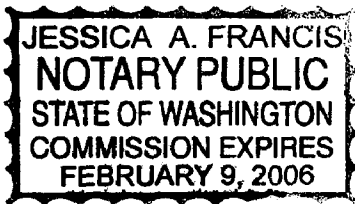
7/8/03
Date

R. Klinghoffer
Richard Klinghoffer

State of Washington)
County of Snohomish)

ss.

I certify that I know or have satisfactory evidence that Richard Klinghoffer is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.



Dated 7/8/03
Signature of Jessica A. Francis
Notary Public
Printed Name Jessica A. Francis
My appointment expires 2/9/06

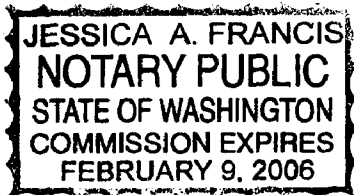
7/7/03
Date

S. Patrick Lewis
Stephen Patrick Lewis

State of Washington)
County of Snohomish)

ss.

I certify that I know or have satisfactory evidence that Stephen Patrick Lewis is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.



Dated 7/8/03
Signature of Jessica A. Francis
Notary Public
Printed Name Jessica A. Francis
My appointment expires 2/9/06