

10-15-2003



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Form PTO-1595  
(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

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3. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

DOBI Medical Systems, LLC

10/9/03

2. Name and address of receiving party(ies)

Name: DOBI Medical Systems, Inc.

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☐

Assignment

☐

Merger

☐

Security Agreement

☒

Change of Name

☐

Other \_\_\_\_\_

Street Address: 1200 MacArthur Boulevard

2nd Floor

City: Mahwah State: NJ Zip: 07430

Execution Date: 1/1/03

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) \_\_\_\_\_

B. Patent No.(s) 5,865,743

issued 02/02/99

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jerry Cohen

Internal Address: Perkins, Smith &amp; Cohen, LLP

Street Address: One Beacon Street

City: Boston State: MA Zip: 02108

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☒

Enclosed

☐

Authorized to be charged to deposit account

8. Deposit account number: \_\_\_\_\_

DO NOT USE THIS SPACE

9. Signature.

Jacob N. Erlich, Reg. No. 24,338

Name of Person Signing

Signature

October 7, 2003

Date

Total number of pages including cover sheet, attachments, and documents: 1

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

10/14/2003 LNUELLER 00000191 5865743

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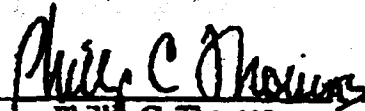
PATENT  
REEL: 014567 FRAME: 0920

**Certificate of Conversion from a Limited Liability Company to a Corporation  
of  
DOBI Medical Systems, LLC**

Pursuant to Section 265 of the Delaware General Corporation Law, and for the purpose of converting DOBI Medical Systems, LLC, a Delaware limited liability company (hereinafter, the "Other Entity") into a corporation formed under the laws of the State of Delaware, the undersigned, being a person described in Section 265(h) of the Delaware General Corporation Law, hereby certifies as follows:

1. The date on which the Other Entity was formed was September 7, 1999, and the name of the Other Entity at the time of formation was Dynamics Imaging Acquisition Corp.
2. Immediately prior to the filing of this certificate of conversion, the name of the Other Entity is DOBI Medical Systems, LLC.
3. The name of the corporation into which the Other Entity is to be converted is DOBI Medical Systems, Inc.
4. The Other Entity is a limited liability company of the State of Delaware.
5. The Certificate of Conversion shall become effective as of 12:01 a.m. on January 1, 2003.

Dated: December 18, 2002

  
Phillip C. Thomas,  
Chief Executive Officer of  
DOBI Medical Systems, LLC

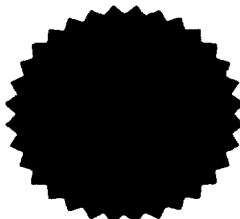
# Delaware

PAGE 1

*The First State*

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF CONVERSION OF A DELAWARE LIMITED LIABILITY COMPANY UNDER THE NAME OF "DOBI MEDICAL SYSTEMS, LLC" TO A DELAWARE CORPORATION, CHANGING ITS NAME FROM "DOBI MEDICAL SYSTEMS, LLC" TO "DOBI MEDICAL SYSTEMS, INC.", FILED IN THIS OFFICE ON THE TWENTY-SEVENTH DAY OF DECEMBER, A.D. 2002, AT 4:27 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF CONVERSION IS THE FIRST DAY OF JANUARY, A.D. 2003.



*Harriet Smith Windsor*

Harriet Smith Windsor, Secretary of State

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020803660

AUTHENTICATION: 2176765

DATE: 12-30-02

PATENT  
REEL: 014567 FRAME: 0922

### REMARKS

DOBI Medical Systems, Inc. hereby requests recordation of its name change, reflecting its change in business entity form, from "DOBI Medical Systems, LLC" to "DOBI Medical Systems, Inc." associated with the above-identified patent.

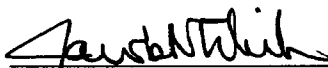
Enclosed herewith are the appropriate Recordation Form PTO-1595 and a check in the amount of \$40.00 covering the recordation requested. Please charge any underpayment of fees to or credit any overpayment of fees to Deposit Account No. 03-2410, Order No. 12571-1.

The following information is presented in the event that a call may be deemed desirable by the Assignment Office:

JACOB N. ERLICH (617) 854-4000.

Respectfully submitted,  
DOBI Medical Systems, Inc., Assignee

Dated: October 7, 2003

By:   
\_\_\_\_\_  
Jacob N. Erlich  
Reg. No. 24,338  
Attorney for Assignee