

10-15-2003

No.:

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): **DelRx Pharmaceutical Corporation**
101 First Street, PMB513
Los Altos, CA 94022

2. Name and address of receiving party(ies):

Name: AZ Cooper Ventures, L.P.

Internal Address: _____

Street Address: 101 First Street, PMB 513

City: Los Altos State: CA ZIP: 94022

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☒ Other UCC-1 Financing Statement

Execution Date: Aug 14, 2003

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

6,531,112;
6,485,706;
6,495,120

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Beth Ann Dranguet

Internal Address: Vinson & Elkins L.L.P.

10/14/2003 ECOOPER 00000197 6531112

01 FC:0021

120.00 OP

Street Address: The Terrace 7

2801 Via Fortuna, Suite 100

City: Austin State: TX ZIP: 78746

6. Total number of applications and patents involved: **3**

7. Total fee (37 CFR 3.41):.....\$ 120.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

22-0365/DEL658.29000

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Beth Ann Dranguet

Beth Ann Dranguet

October 9, 2003

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: **9**

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT
REEL: 014567 FRAME: 0960

EXHIBIT A

COLLATERAL

“Collateral” shall mean all of the property Debtor now or later owns or has an interest in, wherever located as described below:

1. Any and all copyright rights, copyright applications, copyright registrations and like protections in each work or authorship and derivative work thereof, whether published or unpublished and whether or not the same also constitutes a trade secret, now or hereafter existing, created, acquired or held, including without limitation those set forth on Exhibit B attached hereto (collectively, the **“Copyrights”**).

2. Any and all trade secrets, and any and all intellectual property rights in computer software and computer software products now or hereafter existing, created, acquired or held.

3. Any and all design rights that may be available to Debtor now or hereafter existing, created, acquired or held.

4. All patents, patent applications and like protections including, without limitation, improvements, divisions, continuations, renewals, reissues, reexaminations, extensions continuations-in-part and foreign counter parts of the same, including without limitation the patents and patent applications set forth on Exhibit C attached hereto (collectively, the **“Patents”**).

5. Any trademark or servicemark rights, whether registered or not, applications to register and registrations of the same and like protections, and the entire goodwill of the business of Debtor connected with and symbolized by such trademarks, including without limitation those set forth on Exhibit D attached hereto (collectively, the **“Trademarks”**).

6. Any and all claims for damages by way of past, present and future infringement of any of the rights included above, with the right, but not the obligation, to sue for and collect such damages for said use or infringement of the intellectual property rights identified above.

7. All licenses or other rights to use any of the Copyrights, Patents or Trademarks, and all license fees and royalties arising from such use to the extent permitted by such license or rights.

8. All amendments, extensions renewals and extensions of any of the Copyrights, Trademarks or Patents.

EXHIBIT B

Copyrights

None.

EXHIBIT C

Patents

U.S. Patent No. 6,531,112, titled "Formulations for Administering Calcitonin and Processes for Preparing the Same."

U.S. Patent No. 6,485,706, titled "Formulations Comprising Dehydrated Particles of Pharmaceutical Agents and Processes for Preparing the Same."

U.S. Patent No. 6,495,120, titled "Formulation and System for Intra-Oral Delivery of Pharmaceutical Agents."

EXHIBIT D

Trademarks

None.

UCC FINANCING STATEMENT

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

A. NAME & PHONE OF CONTACT AT FILER [optional]
Tanice E. Palacios (512) 542-8478

B. SEND ACKNOWLEDGMENT TO: (Name and Address)

Vinson & Elkins L.L.P.
Attn: Tanice E. Palacios
The Terrace 7
2801 Via Fortuna, Suite 100
Austin, Texas 78746

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1. DEBTOR'S EXACT FULL LEGAL NAME – insert only one debtor name (1a or 1b) – do not abbreviate or combine names

1a. ORGANIZATION'S NAME

OR DelRx Pharmaceutical Corporation

1b. INDIVIDUAL'S LAST NAME

FIRST NAME

MIDDLE NAME

SUFFIX

1c. MAILING ADDRESS

101 First Street, PMB 513

CITY

Los Altos

STATE

CA

POSTAL CODE

94022

COUNTRY

USA

1d. TAX ID #: SSN OR EIN

ADD'L INFO RE
ORGANIZATION
DEBTOR

1e. TYPE OF ORGANIZATION

corporation

1f. JURISDICTION OF ORGANIZATION

Delaware

1g. ORGANIZATIONAL ID#, if any

3177925

☐ NONE

2. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME – insert only one debtor name (2a or 2b) – do not abbreviate or combine names

2a. ORGANIZATION'S NAME

OR

2b. INDIVIDUAL'S LAST NAME

FIRST NAME

MIDDLE NAME

SUFFIX

2c. MAILING ADDRESS

CITY

STATE

POSTAL CODE

COUNTRY

2d. TAX ID #: SSN OR EIN

ADD'L INFO RE
ORGANIZATION
DEBTOR

2e. TYPE OF ORGANIZATION

2f. JURISDICTION OF ORGANIZATION

2g. ORGANIZATIONAL ID#, if any

☐ NONE

3. SECURED PARTY'S NAME (or NAME of TOTAL ASSIGNEE of ASSIGNOR S/P) – insert only one secured party name (3a or 3b)

3a. ORGANIZATION'S NAME

OR

AZ Cooper Ventures, L.P.

3b. INDIVIDUAL'S LAST NAME

FIRST NAME

MIDDLE NAME

SUFFIX

3c. MAILING ADDRESS

101 First Street, PMB 513

CITY

Los Altos

STATE

CA

POSTAL CODE

94022

COUNTRY

USA

4. This FINANCING STATEMENT covers the following collateral:

The "Collateral" described in **Exhibit A** attached hereto and incorporated herein.

5. ALTERNATIVE DESIGNATION [if applicable]: ☐ LESSEE/LESSOR ☐ CONSIGNEE/CONSIGNOR ☐ BAILEE/BAILOR ☐ SELLER/BUYER ☐ AG. LIEN ☐ NON-UCC FILING

6. ☐ This FINANCING STATEMENT is to be filed [for record] (or recorded) in the REAL ESTATE RECORDS. Attach Addendum [if applicable]

7. Check to REQUEST SEARCH REPORT(S) on Debtor(s) [ADDITIONAL FEE] [optional]

☐ All Debtors ☐ Debtor 1 ☐ Debtor 2

8. OPTIONAL FILER REFERENCE DATA

FILING OFFICE COPY – NATIONAL UCC FINANCING STATEMENT (FORM UCC1) (REV. 07/29/98) 390131_1.DOC

RECORDED: 10/09/2003

PATENT
REEL: 014567 FRAME: 0965