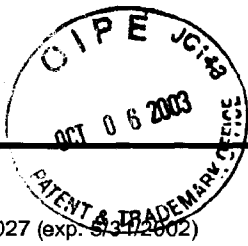


Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

RAKESH AGRAWAL
ALEXANDRE VALENTINOVICH EVFIMIEVSKI
RAMAKRISHNAN SRIKANT

Additional name(s) of conveying party(ies) attached? Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 9/30/03, 6/26/03, 10/1/03

2. Name and address of receiving party(ies)

Name: **International Business Machines Corporation**

Internal Address: _____

Street Address: **New Orchard Road**

City: **Armonk** State: **NY** Zip: **10504**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): Atty. Docket No. ARC920030031051

If this document is being filed together with a new application, the execution date of the application is: 6-9-03

A. Patent Application No.(s)

10/458,443

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **IBM Corporation**

Internal Address: _____

Intellectual Property Law (C4TA/J2B)

Street Address: **650 Harry Road**

City: **San Jose** State: **CA** Zip: **95120-6099**

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

09-0441

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

MARC D. MCSWAIN

Name of Person Signing

Marc D. McSwain

Signature

10/1/03

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

PATENT

REEL: 014570 FRAME: 0174

10/10/2003 10:00:00 AM 09/04/01 40.00 MB 01 FC:0021

ASSIGNMENT

Whereas, I/we,

(1) **RAKESH AGRAWAL**

City of **SAN JOSE, CA 95120**

County of **SANTA CLARA**

State of **CA**

(2) **ALEXANDRE VALENTINOVICH EVFIMIEVSKI**

City of **ITHACA, NY 14853**

County of **TOMPKINS**

State of **NY**

(3) **RAMAKRISHNAN SRIKANT**

City of **SAN JOSE, CA 95131**

County of **SANTA CLARA**

State of **CA**

(4)

City of

County of

State of

(5)

City of

County of

State of

(6)

City of

County of

State of

have invented certain improvements in:

Information Integration Across Autonomous Enterprises

and executed a Declaration and Power of Attorney for a United States patent application therefor on

(1) _____ (2) June 26, 2003 (3) _____

(4) _____ (5) _____ (6) _____

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504 (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

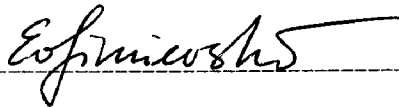
(1) Inventor: **RAKESH AGRAWAL**City/State: **San Jose, CA**

Signature _____

Date _____

(2) Inventor: **ALEXANDRE VALENTINOVICH EVFIMIEVSKI**City/State: **Ithaca, NY**

Signature _____

Date June 26, 2003(3) Inventor: **RAMAKRISHNAN SRIKANT**City/State: **San Jose, CA**

Signature _____

Date _____

(4) Inventor:

City/State: **San Jose, CA**

Signature _____

Date _____

(5) Inventor:

City/State: **San Jose, CA**

Signature _____

Date _____

(6) Inventor:

City/State: **San Jose, CA**

Signature _____

Date _____

ASSIGNMENT

Whereas, I/we,

(1) RAKESH AGRAWAL

City of **SAN JOSE, CA 95120**

County of **SANTA CLARA**

State of **CA**

(2) ALEXANDRE VALENTINOVICH EVFIMIEVSKI

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County of **TOMPKINS**

State of **NY**

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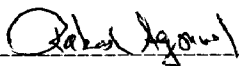
(1) 9/30/03 (2) _____ (3) 10/1/03
 (4) _____ (5) _____ (6) _____

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504 (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

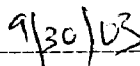
Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

(1) Inventor: **RAKESH AGRAWAL**City/State: **San Jose, CA**

Signature



Date

(2) Inventor: **ALEXANDRE VALENTINOVICH EVFIMIEVSKI**City/State: **Ithaca, NY**

Signature

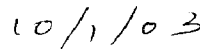
Date

(3) Inventor: **RAMAKRISHNAN SRIKANT**City/State: **San Jose, CA**

Signature



Date



(4) Inventor:

City/State: **San Jose, CA**

Signature

Date

(5) Inventor:

City/State: **San Jose, CA**

Signature

Date

(6) Inventor:

City/State: **San Jose, CA**

Signature

Date