

10-14-2003

ASSIGNMENT



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22278 U.S. PTO
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093003

To: Honorable Commissioner of Patents and Trademarks:

Please record the attached original document(s) or copy thereof.

1. Name of conveying party(ies)
 - a) Klimenty Vainstein
 - b) Satyajit Nath
 - c) Michael Michio Ouye
2. Name and address of receiving party(ies):
 - a) Name: PSS Systems, Inc.
Address: 2471 East Bayshore Road, Suite 600
Palo Alto, CA 94303

3. Nature of conveyance

<input checked="" type="checkbox"/>	Assignment	<input type="checkbox"/>	Merger
<input type="checkbox"/>	Security Agreement	<input type="checkbox"/>	Change of Name
<input type="checkbox"/>	Other _____	<input type="checkbox"/>	License Agreement

Execution Date: September 26, 2003

4. Application Number(s) or Patent Number(s): Not Yet Assigned

10676474

The title of the (new) application is:

METHOD AND APPARATUS FOR TRANSITIONING BETWEEN STATES OF SECURITY POLICIES USED TO SECURE ELECTRONIC DOCUMENTS

5. Please send all correspondence concerning this (these) documents to:

Beyer Weaver & Thomas, LLP
P.O. Box 778
Berkeley, CA 94704-0778
Ph: (650) 961-8300
Fax: (650) 961-8301
(Customer Number: 022434)

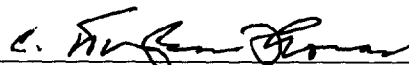
6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed
☐ Any additional fees are authorized to be charged to Deposit Account No. 500388 (Order No. SSL1P021).

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: September 30, 2003


C. Douglass Thomas
Registration No. 32,947

Attorney Docket No. SSL1P021/SS-041

(Revised 12/01)

PATENT
REEL: 014570 FRAME: 0346

ASSIGNMENT OF PATENT APPLICATION

Whereas I, an undersigned inventor, have invented certain new and useful improvements as set forth in the patent application entitled:

METHOD AND APPARATUS FOR TRANSITIONING BETWEEN STATES OF SECURITY POLICIES USED TO SECURE ELECTRONIC DOCUMENTS

(Atty. Docket No. SSL1P021/SS-41), (check one)

- ☒ for which I have executed a U.S. patent application on even date herewith. (Accompanying)
☐ which bears U.S. application No. _____. (Not accompanying)
☐ which is a U.S. provisional application. (Accompanying)

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, an undersigned inventor, hereby:

- 1) Sell, assign and transfer to PSS Systems, Inc., a Delaware corporation having a place of business at 2471 East Bayshore Road, Suite 600, Palo Alto, CA 94303 ("ASSIGNEE"), the entire right, title and interest in any and all improvements and inventions disclosed in, applications based upon, and patents granted upon (including foreign patents and the right to claim priority), the above-referenced application.
- 2) Authorize and request the Commissioner of Patents to issue any and all United States Patents resulting from said application or any division, continuation, substitute, renewal, re-examination or reissue thereof to the ASSIGNEE.
- 3) Agree to execute all papers and documents and, entirely at the ASSIGNEE's expense, perform any acts which are reasonably necessary in connection with the prosecution of said application, as well as any derivative applications thereof, foreign applications based thereon, and/or the enforcement of patents resulting from such applications.
- 4) Agree that the terms, covenants and conditions of this assignment shall inure to the benefit of the ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon the inventor, as well as the inventor's heirs, legal representatives and assigns.
- 5) Warrant and represent that I have not entered and will not enter into any assignment, contract, or understanding that conflicts with this assignment.
- 6) Authorize and request my attorney/agent to insert above the application No. in order to assist with recordal of this assignment.

Signed on the date indicated beside my signature.

1. Inventor Signature: K. Vainstein
Klimenty Vainstein

Date: 26 Sep 2003

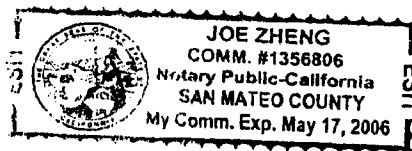
State of California)
County of Santa Clara) ss.

On this 26th day of Sep, in the year 2003, before me, Joe Zheng, Notary Public, personally appeared Klimenty Vainstein, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/~~she~~ executed the same in his/her authorized capacity(~~ies~~), and that by his/~~her~~ signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Signature

Joe Zheng



2. Inventor Signature: Satyajit Nath
Satyajit Nath

Date: 9/26/03

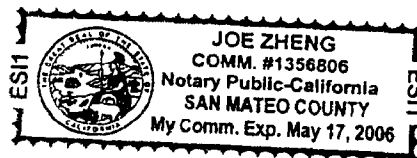
State of California)
County of Santa Clara) ss.

On this 26th day of Sep, in the year 2003, before me, Joe Zheng, Notary Public, personally appeared Satyajit Nath, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/~~she~~ executed the same in his/her authorized capacity(~~ies~~), and that by his/~~her~~ signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Signature

Joe Zheng



(Cont.)

(Cont.)

3. Inventor Signature:

Michael Michio Ouye
Michael Michio Ouye

Date:

9/26/03

State of California)

)

ss.

County of Santa Clara)

On this 26 day of Sep., in the year 2003, before me,
Joe Zheng, Notary Public, personally appeared Michael Michio Ouye, personally known
to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to
the within instrument, and acknowledged to me that he/she executed the same in his/~~her~~ authorized
capacity(~~ies~~) and that by his/~~her~~ signature on the instrument the person, or the entity upon behalf of which
the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Signature

Joe Zheng

(end)

