

10-14-2003

FORM PTO-1595

(Rev. 3/01)

OMD NO. 0651-0011 exp. 5/31/2002

Recordati



102573234

DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Mina Chow, Barbara Stramberg, William E. Webler, John A. Simpson, August R. Yambao, Gabriel F. Asongwe, Jessica G. Chiu, Lili Liu and Dagmar Beyerlein

Additional name(s) of conveying party(ies) attached?

☒ No ☐ Yes

## 3. Nature of Conveyance

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution Date(s): September 24, 2003, September 24, 2003, September 29, 2003, September 29, 2003, September 29, 2003, September 24, 2003, September 24, 2003, September 25, 2003, and September 24, 2003

## 2. Name and address of receiving party(ies):

Name: Advanced Cardiovascular Systems, Inc.

Internal Address: \_\_\_\_\_

Street Address: 3200 Lakeside DriveCity: Santa Clara State/Province: CA Zip: 95054

Country: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application Number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

September 24, 2003

A. Patent Application No.(s)

10676616

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

James C. Scheller

Name: Blakely, Sokoloff, Taylor & Zafman LLP

Internal Address: \_\_\_\_\_

Street Address: 12400 Wilshire Boulevard, 7<sup>th</sup> FloorCity: Los Angeles State: California Zip: 900256. Total number of applications and patents involved: ☐

## 7. Total Fee (37 CFR 3.41).....\$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit Account Number:

02-2666

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

*To the best of my knowledge and believe, the foregoing is true and correct and any attached copy is a true copy of the original document*Mimi Diemmy Dao

Name of Person Signing

Signature

September 30, 2003

Date

Total number of pages including cover sheet, attachments, and document: 6

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner of Patents, Box Assignments

Washington, D.C. 20231

Atty Docket No. 005618.P3611

10/08/2003 EFLORES 00000036 10676616

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PATENT  
REEL: 014574 FRAME: 037303970 U.S. PTO  
10/676616  
093003

No.: 005618.P3611 (For Execution Prior To Filing Patent Application)

In consideration of good and valuable consideration, the receipt of which is hereby acknowledged, we,  
the undersigned, Mina Chow, Barbara Stramberg, William E. Webler, John A. Simpson,  
August R. Yambao, Gabriel F. Asongwe, Jessica G. Chiu, Lili Liu and Dagmar Beyerlein,  
hereby sell, assign, and transfer to Advanced Cardiovascular Systems, Inc  
a corporation of California, having a principal place of business at  
3200 Lakeside Drive, Santa Clara, California, 95054, ("Assignee"),  
and its successors, assigns, and legal representatives, the entire right, title, and interest for the  
United States and all foreign countries, in and to any and all improvements that are disclosed in  
the application for the United States patent that has been executed by the undersigned prior  
hereto or concurrently herewith on the dates indicated below and is entitled   
DEFLECTABLE CATHETER ASSEMBLY AND METHOD OF MAKING SAME

and in and to said application and all divisional applications, continuation applications,  
continued prosecution applications, continuation-in-part applications, substitute applications,  
renewal applications, reissue applications, reexaminations, extensions, and all other patent  
applications that have been or shall be filed in the United States and all foreign countries on  
any of said improvements; and in and to all original patents, reissued patents, reexamination  
certificates, and extensions, that have been or shall be issued in the United States and all  
foreign countries on said improvements; and in and to all rights of priority resulting from the  
filing of said United States application;

agree that said Assignee may apply for and receive a patent or patents for said  
improvements in its own name; and that, when requested, without charge to, but at the  
expense of, said Assignee, its successors, assigns, and legal representatives, to carry out in  
good faith the intent and purpose of this Assignment, the undersigned will execute all divisional  
applications, continuation applications, continued prosecution applications, continuation-in-part  
applications, substitute applications, renewal applications, reissue applications, reexaminations,  
extensions and all other patent applications on any and all said improvements; execute all  
rightful oaths, assignments, powers of attorney, and other papers; communicate to said  
Assignee, its successors, assigns, and representatives all facts known to the undersigned  
relating to said improvements and the history thereof; and generally assist said Assignee, its  
successors, assigns, or representatives in securing and maintaining proper patent protection  
for said improvements and for vesting title to said improvements, and all applications for  
patents and all patents on said improvements, in said Assignee, its successors, assigns, and  
legal representatives; and

covenant with said Assignee, its successors, assigns, and legal representatives that no  
assignment, grant, mortgage, license, or other agreement affecting the rights and property  
herein conveyed has been made to others by the undersigned, and that full right to convey the  
same as herein expressed is possessed by the undersigned.

Each Inventor: Please Sign and Date Below:

<u>9/24/</u> , 20 <u>03</u> Date	<u>(see)</u> Name: Mina Chow
<u>9/24</u> , 20 <u>03</u> Date	<u>Barbara Stramberg</u> Name: Barbara Stramberg
<u>      </u> , 20 <u>      </u> Date	<u>      </u> Name: William E. Webler
<u>      </u> , 20 <u>      </u> Date	<u>      </u> Name: John A. Simpson
<u>      </u> , 20 <u>      </u> Date	<u>      </u> Name: August R. Yambao
<u>09-24-</u> , 20 <u>03</u> Date	<u>Asongwe</u> Name: Gabriel F. Asongwe
<u>9/24</u> , 20 <u>03</u> Date	<u>Jessica G. Chiu</u> Name: Jessica G. Chiu
<u>      </u> , 20 <u>      </u> Date	<u>      </u> Name: Lili Liu
<u>9/24</u> , 20 <u>03</u> Date	<u>Dagmar Beyerlein</u> Name: Dagmar Beyerlein

Each Inventor: Please  
also list the date that  
you signed the  
accompanying  
**DECLARATION AND  
POWER OF  
ATTORNEY:**

<u>9/24/</u> , 20 <u>03</u> Date
<u>9-24</u> , 20 <u>03</u> Date
<u>      </u> , 20 <u>      </u> Date
<u>      </u> , 20 <u>      </u> Date
<u>      </u> , 20 <u>      </u> Date
<u>09-24-</u> , 20 <u>03</u> Date
<u>9/24/</u> , 20 <u>03</u> Date
<u>      </u> , 20 <u>      </u> Date
<u>9/24</u> , 20 <u>03</u> Date

**Each Inventor: Please Sign and Date Below:**

9/24/2003

Date

9/24/2003

Date

20

Date

20

Date

20

Date

09-24-2003

Date

9/24/2003

Date

9-25/2003

Date

9/24/2003

Date

Name: Mina Chow

Name: Barbara Stramberg

Name: William E. Webler

Name: John A. Simpson

Name: August R. Yambao

Name: Gabriel F. Asongwe

Name: Jessica G. Chiu

Name: Lili Liu

Name: Dagmar Beyerlein

**Each Inventor: Please also list the date that you signed the accompanying DECLARATION AND POWER OF ATTORNEY:**

9/24/2003

Date

9-24/2003

Date

20

Date

20

Date

20

Date

09-24-2003

Date

9/24/2003

Date

9-25/2003

Date

9/24/2003

Date

Attorney's Docket

**ASSIGNMENT****PATENT**No.: 005618,P3611 (For Execution Prior To Filing Patent Application)

In consideration of good and valuable consideration, the receipt of which is hereby acknowledged, we

the undersigned, Mina Chow, Barbara Stramberg, William E. Webler, John A. Simpson,

August R. Yambao, Gabriel F. Asongwe, Jessica G. Chiu, Lili Liu and Dagmar Beyerlein

hereby sell, assign, and transfer to Advanced Cardiovascular Systems, Inc

a corporation of California, having a principal place of business at

3200 Lakeside Drive, Santa Clara, California, 95054, ("Assignee"),

and its successors, assigns, and legal representatives, the entire right, title, and interest for the United States and all foreign countries, in and to any and all improvements that are disclosed in the application for the United States patent that has been executed by the undersigned prior hereto or concurrently herewith on the dates indicated below and is entitled

DEFLECTABLE CATHETER ASSEMBLY AND METHOD OF MAKING SAME

and in and to said application and all divisional applications, continuation applications, continued prosecution applications, continuation-in-part applications, substitute applications, renewal applications, reissue applications, reexaminations, extensions, and all other patent applications that have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original patents, reissued patents, reexamination certificates, and extensions, that have been or shall be issued in the United States and all foreign countries on said improvements; and in and to all rights of priority resulting from the filing of said United States application;

agree that said Assignee may apply for and receive a patent or patents for said improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns, and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional applications, continuation applications, continued prosecution applications, continuation-in-part applications, substitute applications, renewal applications, reissue applications, reexaminations, extensions and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney, and other papers; communicate to said Assignee, its successors, assigns, and representatives all facts known to the undersigned relating to said improvements and the history thereof; and generally assist said Assignee, its successors, assigns, or representatives in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements, and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

covenant with said Assignee, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Each Inventor: Please Sign and Date Below:

\_\_\_\_\_, 20\_\_\_\_  
Date

\_\_\_\_\_  
Name: Mina Chow

\_\_\_\_\_, 20\_\_\_\_  
Date

\_\_\_\_\_  
Name: Barbara Stramberg

9/29, 2003  
Date

*William E. Webler*  
Name: William E. Webler

9/29, 2003  
Date

*John A. Simpson*  
Name: John A. Simpson

9/29, 2003  
Date

*August R. Yambao*  
Name: August R. Yambao

\_\_\_\_\_, 20\_\_\_\_  
Date

\_\_\_\_\_  
Name: Gabriel F. Asongwe

\_\_\_\_\_, 20\_\_\_\_  
Date

\_\_\_\_\_  
Name: Jessica G. Chiu

\_\_\_\_\_, 20\_\_\_\_  
Date

\_\_\_\_\_  
Name: Lili Liu

\_\_\_\_\_, 20\_\_\_\_  
Date

\_\_\_\_\_  
Name: Dagmar Beyerlein

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also list the date that  
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DECLARATION AND  
POWER OF  
ATTORNEY:

\_\_\_\_\_, 20\_\_\_\_  
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\_\_\_\_\_, 20\_\_\_\_  
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