10-15-2003

FORM PTO-1595 (Modified)

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P08/REV02

Docket No.: SLA0785

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U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks:	Please record the a	ittached original docume	ents or copy thereof.
1. Name of conveying party(ies): Tingkai Li, Sheng Teng Hsu and Bruce Dale Ulrich  (1.3).(1.3)	Name: Sharp I	ress of receiving party(ie  Laboratories of America,	DI A
Additional names(s) of conveying party(ies) ☐ Yes ☒ No			10/
3. Nature of conveyance:			
☑ Assignment	Street Address	5750 NW Pacific Rim	Boulevard
☐ Security Agreement ☐ Change of Name			
☐ Other	City: Camas	State:	<u>WA</u> ZIP: <u>98607</u>
Execution Date: 09/26/03 (Li & Ulrich), 09/29/03 (Hsu)	Additional name(s)	& address(es) attached?	☐ Yes 🛛 No
<ol> <li>Application number(s) or registration numbers(s): 106</li> <li>If this document is being filed together with a new application,</li> </ol>	, 76985 , the execution date	of the application is:	September 30, 2003
A. Patent Application No.(s)	B. Patent	No.(s)	
Additional numbers attace  Additional numbers attace  5. Name and address of pany to whom correspondence	hed? 🔲 Yes 🗵	₫ No	
'5: Name and address of pany to whom correspondence  Fig. 6021 erning do 60,000 each should be mailed:	6. Total number o	of applications and paten	its involved: 1
Name: David C. Ripma, Patent Counsel	7. Total fee (37 C	FR 3.41):\$	40.00
Internal Address: Registration No. 27,672  Sharp Laboratories of America, Inc.		Any excess or insufficie debited to deposit according	
Sharp Laboratorios of Editorios, the	☑ Authorized	to be charged to deposi	it account
Street Address: 5750 NW Pacific Rim Boulevard	8. Deposit accour	nt number:	
City: Camas State: WA ZIP: 98607	19-1457		
DONOT	USE THIS SPACE		
9. Statement and signature.  To the best of my knowledge and belief, the foregoing information of the original document.  David C. Ripma, Reg. #27,672  Name of Person Signing	Signature	Septem 4	opy is a true copy ber30, 2003 Date
Total number of pages including cover sh	neet, attachments, and	d document:	

## **ASSIGNMENT**

WHEREAS, the undersigned Tingkai Li, a resident of Vancouver, WA, Sheng Teng Hsu, a resident of Camas, WA, and Bruce Dale Ulrich, a resident of Beaverton, OR, (hereinafter termed "inventors") have invented certain new and useful improvements in:

## SELECTIVE ETCHING PROCESSES FOR In<sub>2</sub>O<sub>3</sub> THIN FILMS IN FERAM DEVICE APPLICATIONS

and have executed a declaration or oath for an application for a United States patent disclosing and identifying the invention:

X	On the <u>26<sup>th</sup></u> day of September, 2003, (Li and Ulrich), and On the <u>29<sup>th</sup></u> day of September, 2003, (Hsu);
	Or
	Said application having been previously filed and assigned Serial Number _/, and filing date

WHEREAS Sharp Laboratories of America, Inc., a corporation of the State of Washington, (hereinafter termed "Assignee"), having a place of business at 5750 NW Pacific Rim Boulevard, Camas, State of Washington, wishes to acquire the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, certificates of invention and other forms of protection thereon (hereinafter termed "patents") applied for or granted in the United States and/or other countries.

NOW THEREFORE, for good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply in any and all countries of the world for patents, certificates of inventions or other government grants on said invention, including the right to apply for patents pursuant to the International

Patent Assignment P0785

Page 1 of 3

PATENT REEL: 014578 FRAME: 0123 Convention for the Protection of Industrial property or pursuant to any other convention, treaty, agreement or understanding; (c) in and to any and all applications filed and any and all patents, certificates of inventions or other governmental grants granted on said invention in the United States or any other country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; (d) in and to each and every reissue or extension of any of said patents; and (e) in and to each and every patent claim resulting from a reexamination certificate for any and all of said patents.

- 2. Said Inventors hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and other countries. Such cooperation by said inventors shall include prompt production of pertinent facts and documents, giving of testimony, executing of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for complying with any duty of disclosure; (c) for prosecuting any of said applications; (d) for filing and prosecuting substitute. divisional, continuing or additional applications covering said invention; (e) for filing and prosecuting application for reissue of any of said patents; (f) for interference or other priority proceedings involving said invention; and (g) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, reexamination proceedings, compulsory licensing proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.
- 3. The terms and covenants of this Assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, said Inventor's heirs, legal representatives and assigns.
- 4. Said Inventors hereby warrant and represent that said Inventors have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

Patent Assignment P0785

PATENT REEL: 014578 FRAME: 0124 IN WITNESS WHEREOF, the said Inventor has executed said Assignment on the date given below.

(1) That a 126/2003
Tirigkai (1 (Signature) (Date)

IN WITNESS WHEREOF, the said Inventor has executed said Assignment on the date given below.

(2) Shingtong John 69/29/03

(Sitheng Heu (Signature) (Date)

IN WITNESS WHEREOF, the said Inventor has executed said Assignment on the date given below.

(3) Bruce Dale Ulrich (Signature)

9/26/03

(Date)

Patent Assignment P0785

Page 3 of 3

09/29/03 MON 17:24 [TX/RX NO 6432] 2004

PATENT REEL: 014578 FRAME: 0125

**RECORDED: 09/30/2003**