

<div style="display: flex; justify-content: space-between;"><div>Form PTO-1595 (Rev. 10/02) OMB No. 0651-0027 (exp. 6/30/2005) Tab settings </div><div style="text-align: center;">RECORDATION FORM COVER SHEET PATENTS ONLY</div><div>U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office</div></div>	
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.	
1. Name of conveying party(ies): Haney, Dennis L. d/b/a DLH Design Services Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 3. Nature of conveyance: <div style="display: flex; justify-content: space-between;"><div><input type="checkbox"/> Assignment</div><div><input type="checkbox"/> Merger</div></div> <div style="display: flex; justify-content: space-between;"><div><input type="checkbox"/> Security Agreement</div><div><input type="checkbox"/> Change of Name</div></div> <div><input checked="" type="checkbox"/> Other <u>correction to reel 008832/frame 0140 to correct assignee's name</u></div>	2. Name and address of receiving party(ies) Name: <u>HEATH COMPANY</u> Internal Address: <u>a Delaware Corporation</u> Street Address: <u>455 Riverview Drive</u> City: <u>Benton Harbor</u> State: <u>MI</u> Zip: <u>49022</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No.(s) _____ B. Patent No.(s) <u>0399,022</u> Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Robert J. Theuerkauf</u> Internal Address: <u>Middleton Reutlinger</u> Street Address: <u>2500 Brown & Williamson Tower</u> City: <u>Louisville</u> State: <u>KY</u> Zip: <u>40202</u>	6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 3.41).....\$ <u>40.00</u> <div style="display: flex; justify-content: space-between;"><div><input checked="" type="checkbox"/> Enclosed</div><div><input type="checkbox"/> Authorized to be charged to deposit account</div></div> 8. Deposit account number: _____
DO NOT USE THIS SPACE	
9. Signature. <div style="display: flex; justify-content: space-between;"><div>Robert J. Theuerkauf, Reg. No. <u>55,843</u> Name of Person Signing</div><div style="text-align: center;"> Signature</div><div><u>04/29/2004</u> Date</div></div> Total number of pages including cover sheet, attachments, and documents: <u>1</u>	

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

OP \$40.00 D399022

04-28-2004 12:31PM

P.02

59550 U.S. PTO
29/079839FORM PTO-1308
(Rev. 4-93)
OMB No. 0831-0011 (Rev. 4/94)

RI

12-11-1997



SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings 0 0 0 0

100589719

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Haney, Dennis L. d/b/a/ DLH Design Services

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: November 12, 1997

2. Name and address of receiving party(ies)

Name: Heath Company, Inc.

Internal Address: _____

Street Address: 455 Riverview DriveCity: Benton Harbor State: MI ZIP: 49022Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: November 12, 1997

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John S. PaniaguasInternal Address: Fitch, Even, Tabin & FlanneryStreet Address: 135 S. LaSalle St., Ste 900City: Chicago State: IL ZIP: 60603

12/10/1997 08:11:00 00000079 29079839

00 FEB 1998

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41).....\$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John S. Paniaguas

Name of Person Signing

Signature

November 19, 1997

Date

Total number of pages including cover sheet, attachments, and document.

2

Mail documents to be recorded with required cover sheet information to:
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Washington, D.C. 20231PATENT
REEL: 8832 FRAME: 0140PATENT
REEL: 014580 FRAME: 0101

04-20-2004 12:31PM

P.03

ASSIGNMENT

IN CONSIDERATION of other good and valuable consideration, the receipt of which is hereby acknowledged, I, **DENNIS L. HANEY**, d/b/a **DLH Design Services** residing at 1401 Rocky Gap Rd., Benton Harbor, Michigan 49022, County of Berrien, do hereby sell, assign and transfer unto **HEATH COMPANY**, a corporation organized and existing under the laws of the State of Delaware, having its principal place of business at 455 Riverview Drive, Benton Harbor, Michigan 49022, its successors, assigns and legal representatives, the entire right, title and interest for the United States and its territories, and all foreign countries, in and to the invention set forth in the application for a United States patent, filed herewith entitled **BACKPLATE FOR A DUAL FLOODLAMP FIXTURE** and all the right, title and interest in and to any and all United States and foreign Letters Patents that may be granted for said invention, and all divisions, continuations, renewals, reissues, and extensions thereof, and the applications therefor, and the right to claim the priority of this Letters Patent in any and all foreign countries where application for patent on said invention may be filed; and I hereby agree to execute such other lawful documents and perform such other lawful acts as are requested for securing to said assignee, its successors, assigns and legal representatives, all of the rights to said invention.

IN WITNESS WHEREOF, I have signed, sealed and delivered this instrument this 12 day of Nov, 1997.

Dennis L. Haney
Dennis L. Haney

STATE OF MICHIGAN)
) ss:
COUNTY OF BERRIEN)

BE IT REMEMBERED that on this 12 day of Nov, 1997, before me, a Notary Public, duly commissioned, qualified and acting in and for the County and State aforesaid, came **DENNIS L. HANEY**, who is personally known to me to be the identical person described in and who executed and signed the foregoing instrument of writing, and duly acknowledged that he executed the same as his free act and deed, for the purposes and considerations therein expressed.

IN WITNESS WHEREOF, I hereunto set my hand and affix my official seal the day and year aforesaid.

Betty Leann Clark
Notary Public
My Commission
Expires: 7/2/04

RECORDED: 11/19/1997

PATENT
REEL: 8832 FRAME: 0141PATENT
REEL: 014580 FRAME: 0102

PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)


Application Number	29/079,839 (D399,022)
Filing Date	11/19/97 (09/29/98)
First Named Inventor	HANEY
Art Unit	
Examiner Name	
Attorney Docket Number	

Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)

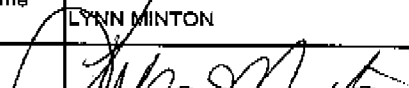
- | | | |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance communication to Technology Center (TC) |
| <input checked="" type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | ASSIGNMENT CORRECTION;
FACSIMILE TRANSMITTAL SHEET |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | Remarks _____ | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	ROBERT J. THEUERKAUF, Reg. No. 55,843
Signature	
Date	04/29/2004

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	LYNN MINTON	
Signature		Date 04/29/2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (10-03)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL

for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 40.00

Complete if Known

Application Number29/079,839 (D399,022)

Filing Date11/19/97 (09/29/98)

First Named InventorHANEY

Examiner Name

Art Unit

Attorney Docket No.

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number

Deposit Account Name

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$) 0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims Independent Claims Multiple Dependent

Extra Claims

-20** = X =

-3** = X =

Fee from below

Fee Paid

Large Entity Fee Code (\$)

Small Entity Fee Code (\$)

Fee Description

Fee Paid

1202 18	2202 9	Claims in excess of 20	
1201 86	2201 43	Independent claims in excess of 3	
1203 290	2203 145	Multiple dependent claim, if not paid	
1204 86	2204 43	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			(\$) 0

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	2053 130	Non-English specification	
1812 2,520	2812 2,520	For filing a request for ex parte reexamination	
1804 920*	2804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	2805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	2451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	2460 130	Petitions to the Commissioner	
1807 50	2807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	2806 180	Submission of Information Disclosure Stmt	
8021 40	28021 40	Recording each patent assignment per property (times number of properties)	40.00
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	2802 900	Request for expedited examination of a design application	
Other fee (Specify)			
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3)			(\$) 40.00

SUBMITTED BY

Name (Print/Type)ROBERT J. THEUERKAUF

Registration No. (Attorney/Agent)55,843

Telephone502-584-1135

Signature

Date04/29/2004

(Complete if applicable)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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RECORDED: 04/29/2004

PATENT REEL: 014580 FRAME: 0104