

10/14/03

10-16-2003

FORM PTO-1595
(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

OFFICE OF PATENT



2003 OCT 14

102575556

PATENTS ONLY

U.S. Department of Commerce

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof.

1. Name of conveying party(ies):

Theodore W. Randolph, John F. Carpenter, Richard J. St. John, and Jonathan N. Webb

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other

Execution Date: 6/30/03, 7/14/03, 7/21/03, 7/22/03

2. Name and address of receiving party(ies):

Name: The Regents of the University of Colorado, a Body Corporate

Street Address: 201 Regents Administrative Center, 3 Sys

City: Boulder State: CO Zip: 80309

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s):

10/425,371

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Steven L. Highlander
FULBRIGHT & JAWORSKI, L.L.P.
600 Congress Avenue, Suite 2400

City: Austin State: Texas Zip: 78701

6. Total number of applications and patents involved: 17. Total fee (37 C.F.R. 3.41)----- \$ 40.00☒ Enclosed☒ Authorized to be charged to deposit account
if check insufficient or inadvertently omitted8. Deposit account number: 50-1212/BARO:004USC1
(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Steven L. Highlander

10/08/2003

Name of Person Signing, Reg. No. 37,642

Signature

Date

Total number of pages including cover sheet, attachments and documents: 13Mail documents to be recorded with required cover sheet information to:
Director of the U.S. Patent and Trademark, MSAssignment, P.O. Box 1450, Alexandria, VA 22313-1450CERTIFICATE OF MAILING
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Director of the U.S. Patent and Trademark, MSAssignment, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below:

October 8, 2003
Date

Steven L. Highlander

10/15/2003 6TON11 00000190 10425371

01 FC:0021

25305957.1

40.00 BP

PATENT
REEL: 014581 FRAME: 0753

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to The Regents of the University of Colorado, a Body Corporate (the "Assignee"), having a place of business at 201 Regents Administrative Center, 3 Sys, Boulder, CO 80309, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. 10/425,371 which has been filed on **April 29, 2003** and is entitled "**IMPROVED METHODS FOR PROTEIN REFOLDING**", such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature: Theodore W. Randolph
Name: Theodore W. Randolph

Date: 6-30-03

STATE OF _____)
) ss.
COUNTY OF BOULDER)

BEFORE ME, the undersigned authority, on this 30th day of June, 2003 personally appeared Theodore W. Randolph, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Gloria Makarenich
Notary or Consular Officer

[SEAL]

My commission expires
March 1, 2005

Signature: _____
Name: John F. Carpenter

Date: _____

STATE OF _____)
) ss.
COUNTY OF _____)

BEFORE ME, the undersigned authority, on this ____ day of _____, 2003 personally appeared John F. Carpenter, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Notary or Consular Officer

[SEAL]

Signature: _____

Date: _____

Name: Richard J. St. John

STATE OF _____)
) ss.
COUNTY OF _____)

BEFORE ME, the undersigned authority, on this ____ day of _____, 2003 personally appeared Richard J. St. John, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Notary or Consular Officer

[SEAL]

Signature: _____

Date: _____

Name: Jonathan N. Webb

STATE OF _____)
) ss.
COUNTY OF _____)

BEFORE ME, the undersigned authority, on this ____ day of _____, 2003 personally appeared Jonathan N. Webb, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Notary or Consular Officer

[SEAL]

FULBRIGHT & JAWORSKI L.L.P.
600 Congress Avenue, Suite 2400
Austin, Texas 78701

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to The Regents of the University of Colorado, a Body Corporate (the "Assignee"), having a place of business at 201 Regents Administrative Center, 3 Sys, Boulder, CO 80309, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. **10/425,371** which has been filed on **April 29, 2003** and is entitled "**IMPROVED METHODS FOR PROTEIN REFOLDING**", such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

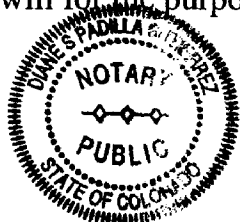
Signature: _____

Date: _____

Name: Theodore W. Randolph

STATE OF Colorado)
) ss.
COUNTY OF Denver)

BEFORE ME, the undersigned authority, on this 14 day of July, 2003 personally appeared Theodore W. Randolph, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.



[SEAL]

Diane S Padilla Gutierrez
Notary or Consular Officer

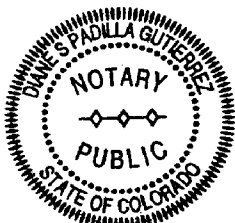
MY COMMISSION EXPIRES:
November 13, 2004

Signature: John F. Carpenter
Name: John F. Carpenter

Date: 7/14/03

STATE OF Colorado)
) ss.
COUNTY OF Denver)

BEFORE ME, the undersigned authority, on this 14 day of July, 2003 personally appeared John F. Carpenter, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.



[SEAL]

Diane S Padilla Gutierrez
Notary or Consular Officer

MY COMMISSION EXPIRES:
November 13, 2004

Signature: _____

Date: _____

Name: Richard J. St. John

STATE OF _____)
) ss.
COUNTY OF _____)

BEFORE ME, the undersigned authority, on this ____ day of _____, 2003 personally appeared Richard J. St. John, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Notary or Consular Officer

[SEAL]

Signature: _____

Date: _____

Name: Jonathan N. Webb

STATE OF _____)
) ss.
COUNTY OF _____)

BEFORE ME, the undersigned authority, on this ____ day of _____, 2003 personally appeared Jonathan N. Webb, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Notary or Consular Officer

[SEAL]

FULBRIGHT & JAWORSKI L.L.P.
600 Congress Avenue, Suite 2400
Austin, Texas 78701

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COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature: _____ Date: _____
Name: Theodore W. Randolph

STATE OF _____)
) ss.
COUNTY OF _____)

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Notary or Consular Officer

[SEAL]

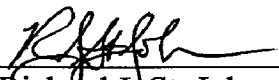
Signature: _____ Date: _____
Name: John F. Carpenter

STATE OF _____)
) ss.
COUNTY OF _____)

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Notary or Consular Officer

[SEAL]

Signature: 
Name: Richard J. St. John

Date: 21 JUL 03

STATE OF _____)
) ss.
COUNTY OF _____)

BEFORE ME, the undersigned authority, on this ____ day of _____, 2003 personally appeared Richard J. St. John, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Notary or Consular Officer

[SEAL]

Signature: _____
Name: Jonathan N. Webb

Date: _____

STATE OF _____)
) ss.
COUNTY OF _____)

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[SEAL]

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Signature: _____

Date: _____

Name: Theodore W. Randolph

STATE OF _____)
) ss.
COUNTY OF _____)

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Notary or Consular Officer

[SEAL]

Signature: _____

Date: _____

Name: John F. Carpenter

STATE OF _____)
) ss.
COUNTY OF _____)

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Notary or Consular Officer

[SEAL]

Signature: _____

Date: _____

Name: Richard J. St. John

STATE OF _____)
) ss.
COUNTY OF _____)

BEFORE ME, the undersigned authority, on this ____ day of _____, 2003 personally appeared Richard J. St. John, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Notary or Consular Officer

[SEAL]

Signature: 
Name: Jonathan N. Webb

Date: 07-22-03

STATE OF INDIANA)
) ss.
COUNTY OF MARION)

BEFORE ME, the undersigned authority, on this 22 day of July, 2003 personally appeared Jonathan N. Webb, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.


Notary or Consular Officer

[SEAL]

FULBRIGHT & JAWORSKI L.L.P.
600 Congress Avenue, Suite 2400
Austin, Texas 78701