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10-16-2003



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R SHEET

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To the Honorable Commissioner for

1 original documents or copy thereof

## 1. Name(s) of conveying party/parties:

Hirofumi Katoh

10-14-03

## 2. Name and address of receiving party

International Business Machines Corporation  
New Orchard Road  
Armonk, New York 10504Additional Names Attached? Yes ☒ No

## 3. Nature of Conveyance

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| <input checked="" type="checkbox"/> Assignment | <input type="checkbox"/> Merger         |
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Execution Date(s): 7/30/03

Additional Names Attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is:

A. Patent Application No(s) 10/615,437

B. Patent No(s)

Additional Numbers Attached? Yes ☐ No

## 5. Name and Address of party to whom correspondence concerning the document should be sent:

Name: David R. Irvin

Internal Address:

IBM Corporation, T81/503

Street Address:

PO Box 12195

City/State/Zip: Res. Tri. Park, NC 27709

## 6. Total Number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41) .....\$ 40

Enclosed

☒ Charge to deposit account

## 8. Deposit account Number: 50-0629

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David R. Irvin

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Signature

10 Oct 2003

Date

Total Number of pages including cover sheet, attachments and document

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PATENT  
REEL: 014584 FRAME: 0405

## *Assignment*

**Whereas I,** Hirofumi Katoh  
**of:** Kanagawa-ken - Japan  
have invented certain improvements in

**Computer System That Predicts Impending Failure in Applications Such as Banking**  
and have executed a United States patent application therefor on *30 July 2003*

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I request the Commissioner of Patents and Trademarks to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I hereby agree that IBM may apply for foreign Letters Patent on said invention and I will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

### **SIGNED AND SEALED**

**at:** *Hakozaki*  
**on:** *30 July 2003*

*Hirofumi Katoh*  
Hirofumi Katoh