

10-16-2003

OMB No. 0651-0011 (exp. 4/94)



To the Honorable Commissioner of Patents

102575793

Shed original documents or copy thereof.

1. Name of conveying party(ies):

Andreas Billich, Erwin Paul Schreiner, Barbara Wolff-Winiski

2. Name and address of receiving party(ies)

Name: Novartis AG

Street Address: Lichtstrasse 35

City: Basel State: Switzerland ZIP: 4056

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 4/23/03

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

10/130,567

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas Hoxie

Internal Address: Novartis

Corporate Intellectual Property

Street Address: One Health Plaza, Building 430

City: East Hanover State: NJ ZIP: 07936-1080

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40

- ☐ Enclosed  
☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

19-0134 (in the name of Novartis)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

D. Gabrielle Brouillette

Name of Person Signing  
Reg. No. 51,384

D. Gabrielle Brouillette  
Signature

October 10, 2003

Date

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Total number of pages including cover sheet, attachments, and document: 2

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Date of Deposit

PATENT  
REEL: 014584 FRAME: 0871

ASSIGNMENT

I / We 1. **Andreas BILLICH**  
2. **Erwin Paul SCHREINER**  
3. **Barbara WOLFF-WINISKI**

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Novartis AG, a Company organized under the laws of the Swiss Confederation, of Lichtstrasse 35, 4056 Basel, Switzerland**, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:

**BENZOXA- AND BENZTHIAZOLES**

invented by me/us and described in the international application

No. **PCT/EP 00/11475** filed **November 17, 2000**

and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said **Novartis AG**, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said **Novartis AG**.

Signed on

Dr. Andreas B. Billich  
**Andreas BILLICH**

23/4/02

Erwin Paul Schreiner  
**Erwin Paul SCHREINER**

23/4/02

Barbara Wolff-Winiski  
**Barbara WOLFF-WINISKI**

23/4/02